## Modeling Terms by Graphs with Structure Constraints

 (An illustration with background)Clemens Grabmayer


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## structure constraints (L'Aquila)



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## Overview

Illustr.: Process interpretation of regular expressions

- LEE-witnesses: graph labelings based on a loop-condition LEE

Backgr.: Maximal sharing of functional programs

- higher-order $\lambda$-term graphs


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- Milner's questions, known results
- structure-constrained process graphs:
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- preservation under bisimulation collapse
- readback: from graph labelings to regular expressions

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- from terms in the $\lambda$-calculus with letrec to:
- higher-order $\lambda$-term graphs
- first-order $\lambda$-term graphs
- $\lambda$-NFAs, and $\lambda$-DFAs
- minimization / readback / efficiency / Haskell implementation


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- Comparison results


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Regular expressions under process semantics (bisimilarity $\leftrightarrows$ )
Given: process graph interpretation $\llbracket \cdot \rrbracket_{P}$, studied under $\leftrightarrows$

- not closed under $\lrcorner$, and $\leftrightarrows$, modulo $\leftrightarrows$ incomplete
$\lambda$-calculus with letrec under unfolding semantics


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understand incompleteness by a structural graph property
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Not available: term graph interpretation that is studied under $\leftrightarrows$

- graph representations used by compilers were not intended for use under $\leftrightarrows$
Desired: term graph interpretation that:
- natural correspondence with terms in $\boldsymbol{\lambda}_{\text {letrec }}$
- supports compactification under $\leftrightarrows$
- efficient translation and readback


# Process interpretation of regular expressions (current work with Wan Fokkink) 



## Regular Expressions (Copi-Elgot-Wright, 1958; based on Kleene, 1951)

## Definition

The set $\operatorname{Reg}(A)$ of regular expressions over alphabet $A$ is defined by the grammar:

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e, f::=0|1| a|(e+f)|(e \cdot f) \mid\left(e^{\star}\right) \quad(\text { for } a \in A) .
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Note, here:

- symbol 0 instead of $\varnothing$
- symbol 1 used (often dropped, definable as $0^{*}$ )
- no complementation operation $\bar{e}$
- is not expressible under language interpretation


## Language interpretation $\llbracket \cdot \rrbracket_{L} \quad$ (Copi-Elgot-Wright, 1958)

$0 \stackrel{\llbracket \cdot \rrbracket_{L}}{\longmapsto}$ empty language $\varnothing$
$1 \stackrel{\llbracket \rrbracket_{L}}{\longmapsto}\{\epsilon\} \quad(\epsilon$ the empty word $)$
$a \stackrel{\llbracket \cdot \rrbracket_{L}}{\longmapsto}\{a\}$

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$e+f \stackrel{\llbracket \cdot \rrbracket_{L}}{\longmapsto}$ union of $\llbracket e \rrbracket_{L}$ and $\llbracket f \rrbracket_{L}$
$e \cdot f \stackrel{\llbracket \cdot \rrbracket_{L}}{\longmapsto}$ element-wise concatenation of $\llbracket e \rrbracket_{L}$ and $\llbracket f \rrbracket_{L}$
$e^{*} \stackrel{\llbracket \cdot \rrbracket_{L}}{\longmapsto}$ set of words formed by concatenating words in $\llbracket e \rrbracket_{L}$ plus the empty word $\epsilon$

## Process interpretation $\llbracket \cdot \rrbracket_{P} \quad$ (Milner, 1984)

$0 \stackrel{\Vdash \cdot \|_{P}}{\longleftrightarrow}$ deadlock $\delta$, no termination
$1 \stackrel{\llbracket \eta_{P}}{\longleftrightarrow}$ empty process $\epsilon$, then terminate
$a \xrightarrow{\llbracket \cdot \|_{P}}$ atomic action $a$, then terminate

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$a \xrightarrow{\llbracket \cdot \|_{P}}$ atomic action $a$, then terminate
$e+f \stackrel{\llbracket \|_{P}}{\longleftrightarrow}$ alternative composition of $\llbracket e \rrbracket_{P}$ and $\llbracket f \rrbracket_{P}$
$e \cdot f \xrightarrow{\llbracket!\rrbracket_{P}}$ sequential composition of $\llbracket e \rrbracket_{P}$ and $\llbracket f \rrbracket_{P}$
$e^{*} \xrightarrow{\llbracket \|_{P}}$ unbounded iteration of $\llbracket e \rrbracket_{P}$, option to terminate

## Process interpretation of regular expressions



$$
a(a(b+b a))^{*} 0
$$


$\left(a a(b a)^{*} b\right)^{*} 0$

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## Process graphs and NFAs

## Definition

A process graph over actions in $A$ is a tuple $G=\left\langle V, v_{\mathbf{s}}, T, E\right\rangle$ where:

- $V$ is a set of vertices,
- $v_{\mathrm{s}} \in V$ is the start vertex,
- $T \subseteq V \times A \times V$ the set of transitions,
- $E \subseteq V \times\{\downarrow\}$ the set of termination extensions.


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With the finiteness restriction, process graphs can be viewed as:

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Antimirov (1996): NFA-definition of $\llbracket \cdot \rrbracket_{P}$ via partial derivatives.


## Expressible process graphs (under bisimulation $\leftrightarrows$ )



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$$
\begin{gathered}
\epsilon \operatorname{im}\left(\mathbb{I} \cdot \rrbracket_{P}\right) \\
\mathbb{I} \cdot \rrbracket_{P} \text {-expressible }
\end{gathered}
$$

$$
\notin \operatorname{im}\left(\mathbb{[} \rrbracket_{P}\right)
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## Expressible process graphs (under bisimulation $\leftrightarrows$ )



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$\in \operatorname{im}\left(\llbracket \cdot \rrbracket_{P}\right)$
$\llbracket \cdot \rrbracket_{P}$-expressible
$\notin \operatorname{im}\left(\llbracket \cdot \rrbracket_{P}\right)$
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## Properties of $P$

- Not every finite-state process is $\llbracket \cdot \rrbracket_{P}$-expressible.

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- Fewer identities hold for $\leftrightarrows_{P}$ than for $=_{L}: \quad \leftrightarrows_{P} \nsubseteq={ }_{L}$.


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$$
a \cdot(b+c)
$$

$4_{P}$
$a \cdot b+a \cdot c$

## Salomaa's axiomatization of $={ }_{L}$ (products commuted)

Axioms:
(B1) $e+(f+g)=(e+f)+g$
(B7) $e \cdot 1=e$
(B2) $\quad(e \cdot f) \cdot g=e \cdot(f \cdot g)$
(B8) $e \cdot 0=0$
(B3) $\quad e+f=f+e$
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(B4) $(e+f) \cdot g=e \cdot g+f \cdot g$
(B10) $\quad e^{*}=1+e \cdot e^{*}$
(B5) $e \cdot(f+g)=e \cdot f+e \cdot g$
(B11) $e^{*}=(1+e)^{*}$
(B6) $e+e=e$

Inference rules: equational logic plus

$$
\frac{e=f \cdot e+g}{e=f^{*} \cdot g} \text { FIX } \quad \text { if } \underbrace{\{\epsilon\} \notin \llbracket f \rrbracket_{L}}_{\begin{array}{c}
\text { non-empty-word } \\
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\end{array}})
$$

## Sound and unsound axioms with respect to $\leftrightarrows_{P}$

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(Corradini, De Nicola, Labella, 2002)


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- Mil is complete when restricted to 1-return-less expressions (Corradini, De Nicola, Labella, 2002)
- $\mathrm{Mil}^{-}$+ one of two stronger rules (than RSP*) is complete ( $G$, 2006)
- with a coinductive rule (based on Antimirov's partial derivatives)
- with a unique solvability principle USP


## Well-behaved form, looping palm trees


$\llbracket\left(a a(b a)^{*} b\right)^{*} \rrbracket_{P}$

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well-behaved form
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looping palm tree

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## Definition

A process graph is a loop chart if:
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A process graph is a loop chart if:
L-1. There is an infinite path from the start vertex.
L-2. Every infinite path from the start vertex returns to it.
L-3. Termination is only possible at the start vertex.

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## Loop elimination



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## Loop elimination



## Loop elimination



## Loop elimination



## Loop elimination



## Loop elimination



## Loop elimination



## Loop elimination



## Loop elimination



## Loop elimination


$\longrightarrow$ elim


## Loop elimination


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## Loop elimination



## Loop elimination, and properties

$\longrightarrow$ elim: eliminate a transition-induced loop by:

- removing the loop-entry transition(s)
- garbage collection
$\longrightarrow$ prune : remove a transition to a deadlocking state


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$\longrightarrow$ elim: eliminate a transition-induced loop by:

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$\longrightarrow$ prune : remove a transition to a deadlocking state

Lemma
(i) $\longrightarrow$ elim is terminating.
(ii) $\longrightarrow$ elim $\cup \longrightarrow$ prune is terminating and confluent.

## Loop elimination



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## Loop elimination





## Loop elimination





## Loop elimination




$\xrightarrow{ }{ }_{\text {elim }}$

## Loop elimination






## Loop elimination





$\xrightarrow{H}$ elim


## Loop elimination






## Loop elimination





$$
\xrightarrow{ } \mathrm{elim}
$$



## Loop elimination



$\xrightarrow{ } \mathrm{elim}$


## Loop elimination



$\xrightarrow{ } \mathrm{elim}$


## Loop elimination



$\xrightarrow{ } \mathrm{elim}$


## Loop elimination


$\longrightarrow \mathrm{elim}$
(-) $v_{1}$

## 





$$
\xrightarrow{ } \mathrm{elim}
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## Loop elimination



$\xrightarrow{H}$ elim

${ }^{\boldsymbol{H}}$ elim

## Structure property LEE

Definition
A process graph $G$ satisfies LEE (loop existence and elimination) if:

$$
\begin{aligned}
\exists G_{0}\left(G \longrightarrow{ }_{\text {elim }}^{*}\right. & G_{0} \dashv_{\mathrm{elim}} \\
& \left.\wedge G_{0} \text { has no infinite trace }\right) .
\end{aligned}
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## Structure property LEE

## Definition

A process graph $G$ satisfies LEE (loop existence and elimination) if:

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\begin{aligned}
\exists G_{0}\left(G \longrightarrow{ }_{\text {elim }}^{*}\right. & G_{0} \not{ }_{\text {elim }} \\
& \left.\wedge G_{0} \text { has no infinite trace }\right) .
\end{aligned}
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Lemma (by using confluence properties)
For every process graph $G$ the following are equivalent:
(i) $\operatorname{LEE}(G)$.
(ii) There is an $\longrightarrow$ elim normal form without an infinite trace.

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(iv) Every $\longrightarrow$ elim normal form is without an infinite trace.
(v) Every $\longrightarrow$ elim, prune normal form is without an infinite trace.

## LEE fails



## LEE fails



## LEE fails


$\neg$ LEE


$\xrightarrow{H}$ elim

## LEE fails




## LEE holds



## LEE holds



## LEE holds / Recording loop elimination



## LEE holds / Recording loop elimination


$\longrightarrow$ elim


LEE

## LEE holds / Recording loop elimination


$\longrightarrow$ elim


LEE

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$\longrightarrow$ elim


LEE

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## LEE-witness



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loop-branch labeling: marking transitions $\xrightarrow{a}$ as:


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A loop-branch labeling is a LEE-witness, if:
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$\mathcal{L}\left(v, \rightarrow_{[n]}, \rightarrow_{\text {br, }[>n]}\right):=$ subchart induced by entry steps $\rightarrow[n]$ from $v$ followed by branch steps $\rightarrow_{b r}$ or entry steps $\rightarrow[m]$ with $m>n$, until $v$ is reached again

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\begin{aligned}
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## LEE-witness ?



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no!
(L1.) violated:
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not a loop chart

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infinite $\rightarrow$ br path
from start vertex

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no!
(L3.) violated: overlapping loop charts have same level

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infinite $\rightarrow$ br path
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## LEE-witness ?



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loop-branch labeling: marking transitions $\xrightarrow{a}$ as:

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loop-branch labeling: marking transitions $\xrightarrow{a}$ as:
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A loop-branch labeling is a LEE-witness, if:
L1. $\forall n \in \mathbb{N} \forall v \in V\left(\begin{array}{l}v \rightarrow{ }_{[n]} \Rightarrow \\ \mathcal{L}\left(v, \rightarrow_{[n]}, \rightarrow_{\text {br, [>n] }}\right) \\ \text { is a loop subchart })\end{array}\right)$.
L2. No infinite $\rightarrow_{b r}$ path from the start vertex.
L3. Overlapping/touching loop subcharts gen. from different vertices have different entry-step levels.
$\mathcal{L}\left(v, \rightarrow_{[n]}, \rightarrow_{\text {br, }[>n]}\right):=$ subchart induced by entry steps $\rightarrow{ }_{[n]}$ from $v$ followed by branch steps $\rightarrow$ br or entry steps $\rightarrow[m]$ with $m>n$, until $v$ is reached again

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L2. No infinite $\rightarrow$ br path from the start vertex.
L3. Overlapping/touching loop subcharts gen. from different vertices have different entry-step levels.
$\mathcal{L}\left(v_{0}, \rightarrow_{[2]}, \rightarrow_{\mathrm{br},[>2]}\right) \quad \mathcal{L}\left(v, \rightarrow_{[n]}, \rightarrow_{\mathrm{br},[>n]}\right):=$ subchart induced by entry steps $\rightarrow{ }_{[n]}$ from $v$
followed by branch steps $\rightarrow$ br

$$
\text { or entry steps } \rightarrow[m] \text { with } m>n \text {, }
$$

until $v$ is reached again


## LEE-witness


$\mathcal{L}\left(v_{2}, \rightarrow_{[1]}, \rightarrow_{\text {br, }[>1]}\right)$
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## O C-des PI pi Mil Milner's Qs loop-elim LEE L Layered LEE-WitneSS



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$$
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## Definition

A loop-branch labeling is a layered LEE-witness, if:
I-L1. $\forall n \in \mathbb{N} \forall v \in V\binom{v \rightarrow_{[n]} \Rightarrow \mathcal{L}\left(v, \rightarrow_{[n]}, \rightarrow_{\text {br }}\right)}{$ is a loop subchart $)}$.
I-L2. No infinite $\rightarrow_{b r}$ path from the start vertex.
I-L3. A loop subchart induced by a vertex in the body of another induced loop subchart has lower level.
$\mathcal{L}\left(v, \rightarrow_{[n]}, \rightarrow_{\text {br }}\right):=$ subchart induced by entry steps $\rightarrow[n]$ from $v$ followed by branch steps $\rightarrow_{\mathrm{br}}$
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layered
LEE-witness
$\mathcal{L}\left(v, \rightarrow_{[n]}, \rightarrow_{b r}\right):=$ subchart induced by entry steps $\rightarrow{ }_{[n]}$ from $v$ followed by branch steps $\rightarrow$ br
until $v$ is reached again

## LEE versus LEE-witness

Theorem
For every process graph $G$ :
$\operatorname{LEE}(G) \Longleftrightarrow G$ has a LEE-witness.

## LEE versus LEE-witness

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\operatorname{LEE}(G) \Longleftrightarrow G \text { has a LEE-witness. }
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Proof (Idea).
$\Rightarrow$ : record loop elimination

## LEE versus LEE-witness

## Theorem

For every process graph $G$ :

$$
\operatorname{LEE}(G) \Longleftrightarrow G \text { has a LEE-witness. }
$$

## Proof (Idea).

$\Rightarrow$ : record loop elimination
$\Leftarrow$ : carry out loop-elimination as indicated in the LEE-witness, in inside-out direction, e.g.:


## LEE and (layered) LEE-witness

## Lemma

Every layered LEE-witness is a LEE-witness.

## Lemma

Every LEE-witness $\widehat{G}$ of a process graph $G$
can be transformed by an effective procedure (cut-elimination-like) into a layered LEE-witness $\widehat{G}^{\prime}$ of $G$.

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## Lemma

Every LEE-witness $\widehat{G}$ of a process graph $G$
can be transformed by an effective procedure (cut-elimination-like) into a layered LEE-witness $\widehat{G}^{\prime}$ of $G$.

## Theorem

For every process graph $G$ the following are equivalent:
(i) $\operatorname{LEE}(G)$.
(ii) $G$ has a LEE-witness.
(iii) $G$ has a layered LEE-witness.

## 7 LEE-witnesses



## 7 LEE-witnesses



## 7 LEE-witnesses


layered



## 7 LEE-witnesses


layered



## 7 LEE-witnesses


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## 7 LEE-witnesses


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## 7 LEE-witnesses


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## 7 LEE-witnesses


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## LEE under bisimulation?

## LEE under bisimulation

Observation

- LEE is not invariant under bisimulation.


## LEE under bisimulation

## Observation

- LEE is not invariant under bisimulation.



## LEE under bisimulation

## Observation

- LEE is not invariant under bisimulation.


LEE
$\neg L E E$


LEE
$\neg$ LEE

## LEE under bisimulation

## Observation

- LEE is not invariant under bisimulation.
- LEE is not preserved by converse functional bisimulation.


LEE
$\neg$ LEE
LEE
$\neg$ LEE

## LEE under functional bisimulation

Lemma
(i) LEE is preserved by functional bisimulations:
$\operatorname{LEE}\left(G_{1}\right) \wedge G_{1} \xrightarrow{ } G_{2} \Longrightarrow \operatorname{LEE}\left(G_{2}\right)$.

## LEE under functional bisimulation

Lemma
(i) LEE is preserved by functional bisimulations:

$$
\operatorname{LEE}\left(G_{1}\right) \wedge G_{1} \rightarrow G_{2} \Longrightarrow \operatorname{LEE}\left(G_{2}\right) .
$$

Proof (Idea).
Use loop elimination in $G_{1}$ to carry out loop elimination in $G_{2}$.

## Collapsing LEE-witnesses



$$
\llbracket a(a(b+b a))^{*} 0 \rrbracket_{P}
$$

## Collapsing LEE-witnesses



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## Collapsing LEE-witnesses


$\llbracket a(a(b+b a))^{*} 0 \rrbracket_{P}$

$\llbracket\left(a a(b a)^{*} b\right)^{*} 0 \rrbracket_{P}$

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$$

Idea of Proof for (i)
Use loop elimination in $G_{1}$ to carry out loop elimination in $G_{2}$.

## LEE under functional bisimulation / bisimulation collapse

Lemma
(i) LEE is preserved by functional bisimulations:

$$
\operatorname{LEE}\left(G_{1}\right) \wedge G_{1} \rightarrow G_{2} \Longrightarrow \operatorname{LEE}\left(G_{2}\right) .
$$

(ii) LEE is preserved from a process graph to its bisimulation collapse:
$\operatorname{LEE}(G) \wedge C$ is bisimulation collapse of $G \Longrightarrow \operatorname{LEE}(C)$.

## Idea of Proof for (i)

Use loop elimination in $G_{1}$ to carry out loop elimination in $G_{2}$.

## Readback

## Lemma

Process graphs with LEE are $\llbracket \cdot \rrbracket_{P}$-expressible:

$$
\operatorname{LEE}(G) \Longrightarrow \exists e \in \operatorname{Reg}(A)\left(G \leftrightarrows \llbracket e \rrbracket_{P}\right) .
$$

## Readback from layered LEE-witness (example)



## Readback from layered LEE-witness (example)


layered
LEE-witness

## Readback from layered LEE-witness (example)


layered
LEE-witness

$$
\begin{aligned}
s\left(v_{0}\right) & =0^{*} \cdot a \cdot s\left(v_{1}\right) \\
& =\text { Mil }^{-} a \cdot s\left(v_{1}\right) \\
& =\text { Mil }^{-} a \cdot(a \cdot(b+b \cdot a))^{*} \cdot 0 \\
s\left(v_{1}\right) & =\left(a \cdot s\left(v_{2}, v_{1}\right)\right)^{*} \cdot 0 \\
& =\text { Mil }^{( }(a \cdot(b+b \cdot a))^{*} \cdot 0 \\
s\left(v_{2}, v_{1}\right) & =0^{*} \cdot\left(b \cdot s\left(v_{1}, v_{1}\right)+b \cdot s\left(v_{0}, v_{1}\right)\right) \\
& =\text { Mil }^{*} 0^{*} \cdot(b \cdot 1+b \cdot a) \\
& =\text { Mil }^{-} b+b \cdot a \\
s\left(v_{1}, v_{1}\right) & =1 \\
s\left(v_{0}, v_{1}\right) & =0^{*} \cdot a \cdot s\left(v_{1}, v_{1}\right) \\
& =0^{*} \cdot a \cdot 1 \\
& =\text { Mil }^{*} a
\end{aligned}
$$

## Readback from layered LEE-witness (example)

$$
s\left(v_{0}\right)=0^{*} \cdot a \cdot s\left(v_{1}\right)
$$


layered
LEE-witness

## Readback from layered LEE-witness (example)

$$
\begin{aligned}
& s\left(v_{0}\right)=0^{*} \cdot a \cdot s\left(v_{1}\right) \\
& s\left(v_{1}\right)=\quad\left(a \cdot s\left(v_{2}, v_{1}\right)\right)^{*} \cdot 0
\end{aligned}
$$

layered
LEE-witness

## Readback from layered LEE-witness (example)



$$
s\left(v_{0}\right)=0^{*} \cdot a \cdot s\left(v_{1}\right)
$$

$$
s\left(v_{1}\right)=\left(a \cdot s\left(v_{2}, v_{1}\right)\right)^{*} \cdot 0
$$

$$
s\left(v_{2}, v_{1}\right)=0^{*} \cdot\left(b \cdot s\left(v_{1}, v_{1}\right)+b \cdot s\left(v_{0}, v_{1}\right)\right)
$$

layered
LEE-witness

## Readback from layered LEE-witness (example)

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s\left(v_{0}\right) & =0^{*} \cdot a \cdot s\left(v_{1}\right) \\
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s\left(v_{2}, v_{1}\right) & =0^{*} \cdot\left(b \cdot s\left(v_{1}, v_{1}\right)+b \cdot s\left(v_{0}, v_{1}\right)\right) \\
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\end{aligned}
$$

layered
LEE-witness

## Readback from layered LEE-witness (example)



## Readback from layered LEE-witness (example)



## Readback from layered LEE-witness (example)



## Readback from layered LEE-witness (example)



$$
s\left(v_{0}\right)=0^{*} \cdot a \cdot s\left(v_{1}\right)
$$

$$
\begin{aligned}
s\left(v_{1}\right) & =\left(a \cdot s\left(v_{2}, v_{1}\right)\right)^{*} \cdot 0 \\
s\left(v_{2}, v_{1}\right) & =0^{*} \cdot\left(b \cdot s\left(v_{1}, v_{1}\right)+b \cdot s\left(v_{0}, v_{1}\right)\right) \\
& =\text { Mil }^{-} 0^{*} \cdot(b \cdot 1+b \cdot a)
\end{aligned}
$$

layered
LEE-witness

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\end{aligned}
$$

## Readback from layered LEE-witness (example)



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layered
LEE-witness

$$
\begin{aligned}
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& =\text { Mil }^{-} a \cdot s\left(v_{1}\right) \\
s\left(v_{1}\right) & =\left(a \cdot s\left(v_{2}, v_{1}\right)\right)^{*} \cdot 0 \\
& =\text { Mil }^{*}(a \cdot(b+b \cdot a))^{*} \cdot 0 \\
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s\left(v_{1}, v_{1}\right) & =1 \\
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## Readback from layered LEE-witness (example)


layered
LEE-witness

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## 1-return-less regular expressions

## Lemma

Process graphs with LEE are $\llbracket \cdot \rrbracket_{P}$-expressible:

$$
\operatorname{LEE}(G) \Longrightarrow \exists e \in \operatorname{Reg}(A)\left(G \leftrightarrows \llbracket e \rrbracket_{P}\right) .
$$

## 1-return-less regular expressions

## Lemma

Process graphs with LEE are $\llbracket \cdot \|_{P}^{1 \times \| \star}$-expressible:

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Definition (Corradini, De Nicola, Labella (here intuitive version))
A regular expression $e$ is 1-return-less(-under-*) $\left(e \in \operatorname{Reg}^{17 \mid \star}(A)\right)$ if:

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Definition (Corradini, De Nicola, Labella (here intuitive version))
A regular expression $e$ is 1 -return-less(-under- $\star)\left(e \in \operatorname{Reg}^{1+\| \star}(A)\right)$ if:

- for no iteration subexpression $f^{*}$ of $e$ does $\llbracket f \rrbracket_{P}$ proceed to a process $p$ such that:
- $p$ has the option to immediately terminate, and
- $p$ has the option to do a proper step, and terminate later.

Non-/Examples of 1-return-less regular expressions

- $(a \cdot(1+b))^{*}$


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Non-/Examples of 1-return-less regular expressions

- $(a \cdot(1+b))^{*}$
$\times$
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Non-/Examples of 1 -return-less regular expressions

- $(a \cdot(1+b))^{*} \times$
- $\left(a \cdot\left(0^{*}+b\right)\right)^{*} \times$
- $a \cdot(a \cdot(b+b \cdot a))^{*} \cdot 0$


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Non-/Examples of 1-return-less regular expressions

- $(a \cdot(1+b))^{*} \times \quad$ ( $\left.a^{*}\left(b^{*}+c \cdot 0\right)^{*}\right)^{*}$
- $\left(a \cdot\left(0^{*}+b\right)\right)^{*}$
$\times$
- $a \cdot(a \cdot(b+b \cdot a))^{*} \cdot 0$


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Process graphs with LEE are $\llbracket \cdot \|_{P}^{1 \times \| \star}$-expressible:

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## 1-return-less regular expressions

## Lemma

Process graphs with LEE are $\llbracket \cdot \|_{P}^{1 \times \| \star}$-expressible:

$$
\operatorname{LEE}(G) \Longrightarrow \exists e \in \operatorname{Reg}^{\sharp \star \star \star}(A)\left(G \leftrightarrows \llbracket e \rrbracket_{P}\right) .
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A regular expression $e$ is 1 -return-less(-under- $)\left(e \in \operatorname{Reg}^{1+\|^{\star}}(A)\right)$ if:

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Non-/Examples of 1-return-less regular expressions

- $(a \cdot(1+b))^{*} \times$
- $\left(a^{*}\left(b^{*}+c \cdot 0\right)^{*}\right)^{*} \quad \times$
- $\left(a \cdot\left(0^{*}+b\right)\right)^{*} \times$
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A regular expression $e$ is 1 -return-less(-under- $)\left(e \in \operatorname{Reg}^{1+\|^{\star}}(A)\right)$ if:

- for no iteration subexpression $f^{*}$ of $e$ does $\llbracket f \rrbracket_{P}$ proceed to a process $p$ such that:
- $p$ has the option to immediately terminate, and
- $p$ has the option to do a proper step, and terminate later.

Non-/Examples of 1-return-less regular expressions

- $(a \cdot(1+b))^{*} \times$
- $\left(a^{*}\left(b^{*}+c \cdot 0\right)^{*}\right)^{*} \times$
- $\left(a \cdot\left(0^{*}+b\right)\right)^{*} \times$
- $\left(a^{*}\left(b^{*}+c \cdot 0\right)\right)^{*} \times$
- $a \cdot(a \cdot(b+b \cdot a))^{*} \cdot 0$
- $\left(a^{*}(b+c \cdot 0)\right)^{*}$


## 1-return-less regular expressions

## Lemma

Process graphs with LEE are $\llbracket \cdot \|_{P}^{1 \times \| \star}$-expressible:

$$
\operatorname{LEE}(G) \Longrightarrow \exists e \in \operatorname{Reg}^{\sharp \star \star \star}(A)\left(G \leftrightarrows \llbracket e \rrbracket_{P}\right) .
$$

Definition (Corradini, De Nicola, Labella (here intuitive version))
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## Characterization of expressibility ${ }^{1 \uparrow \mid \star}$ modulo $\leftrightarrows$

## Theorem

For every process graph $G$ with bisimulation collapse $C$ the following are equivalent:
(i) $G$ is $\llbracket \cdot \|_{P}^{1+\mid \star}$-expressible modulo $\leftrightarrows$.
(ii) $\operatorname{LEE}(C)$.
(iii) $C$ has a LEE-witness.
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Milners characterization question:
Q1. Which structural property of finite process graphs characterizes $\llbracket \cdot \rrbracket_{P}$-expressibility modulo $\leftrightarrows$ ?

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Milners characterization question restricted:
Q1'. Which structural property of finite process graphs

$$
\text { characterizes } \llbracket \cdot \rrbracket_{P}^{1+\uparrow \star} \text {-expressibility modulo } \leftrightarrows \text { ? }
$$

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Milners characterization question restricted, and adapted:
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## Characterization of expressibility ${ }^{1 \times \|_{\star}}$ modulo $\leftrightarrows$

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Answering Milners characterization question restricted, and adapted:
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- The loop-existence and elimination property LEE.


## Characterization of expressibility ${ }^{1 \times \|_{\star}}$ modulo $\leftrightarrows$

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Answering Milners characterization question restricted, and adapted:
Q1". Which structural property of collapsed finite process graphs characterizes $\llbracket \cdot \rrbracket_{P}^{1 \nmid \star}$-expressibility modulo $\leftrightarrows$ ?

- The loop-existence and elimination property LEE.

Also yields: efficient decision method of $\llbracket \cdot \rrbracket_{P}^{1 \times \mid \star}$-expressibility modulo $\leftrightarrows$.

## Structure constrained finite process graphs

## graphs with LEE / a (layered) LEE-witness

Benefits of the class of process graphs with LEE:

- is closed under $\xrightarrow{\longrightarrow}$
- forth-/back-correspondence with 1-return-less regular expressions


## Structure constrained finite process graphs

## graphs with LEE / a (layered) LEE-witness

$\subsetneq$ graphs whose collapse satisfies LEE
$=$ graphs that are $\llbracket \cdot \|_{P}^{\ddagger+\|}$-expressible modulo $\leftrightarrows$

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## Structure constrained finite process graphs

$$
\begin{aligned}
& \llbracket \cdot \|_{P}^{1+\| \star} \text {-expressible graphs } \\
\subsetneq & \text { graphs with LEE / a (layered) LEE-witness } \\
\varsubsetneqq & \text { graphs whose collapse satisfies LEE } \\
= & \text { graphs that are } \llbracket \cdot \|_{P}^{\mathbb{I}^{+\mid \star}} \text {-expressible modulo } \leftrightarrows
\end{aligned}
$$

Benefits of the class of process graphs with LEE:

- is closed under $\rightarrow$
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$$
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\ddagger & \text { graphs whose collapse satisfies LEE } \\
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\subsetneq & \text { finite process graphs }
\end{aligned}
$$

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## Structure constrained finite process graphs

$$
\begin{aligned}
\text { loop-exit palm trees } & \varsubsetneqq \llbracket \cdot \rrbracket_{P}^{1 \times \|^{\star}} \text {-expressible graphs } \\
& \subsetneq \text { graphs with LEE } / \text { a (layered) LEE-witness } \\
& \varsubsetneqq \text { graphs whose collapse satisfies LEE } \\
& =\text { graphs that are } \llbracket \cdot \rrbracket_{P}^{1 \times \Downarrow \star} \text {-expressible modulo } \leftrightarrows \\
& \varsubsetneqq \text { graphs that are } \llbracket \cdot \rrbracket_{P} \text {-expressible modulo } \leftrightarrows \\
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\end{aligned}
$$

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## Structure constrained finite process graphs

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\begin{aligned}
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& \text { 〔 graphs whose collapse satisfies LEE } \\
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$$

## Benefits of the class of process graphs with LEE:

- is closed under $\xrightarrow{\longrightarrow}$
- forth-/back-correspondence with 1-return-less regular expressions

Application to Milner's questions yields partial results:
Q1: characterization/efficient decision of $\llbracket \cdot \|_{P}^{1+\rrbracket \star}$-expressibility modulo $\leftrightarrows$ Q2: alternative compl. proof of Mil on 1-return-less expressions (C/DN/L)

# Maximal sharing of functional programs 

(joint work with Jan Rochel)


## maximal sharing: example (fix)



## maximal sharing: the method



## maximal sharing: the method



1. term graph interpretation $\llbracket \cdot \rrbracket$. of $\boldsymbol{\lambda}_{\text {letrec }}$-term $L$ as:
a. higher-order term graph

$$
\mathcal{G}=\llbracket L \rrbracket_{\mathcal{H}}
$$

b. first-order term graph $G=\llbracket L \rrbracket_{\mathcal{T}}$

## maximal sharing: the method



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## interpretation



## running example

instead of:
$\lambda f$. let $r=f(f r)$ in $r \quad \longmapsto_{\text {max-sharing }} \quad \lambda f$. let $r=f r$ in $r$
we use:
$\lambda x . \lambda f$. let $r=f(f r x) x$ in $r$
$\longmapsto_{\text {max-sharing }}$
$\lambda x . \lambda f$. let $r=f r x$ in $r$
$L$
$\longmapsto$ max-sharing

## graph interpretation (example 1)

$$
L_{0}=\lambda x . \lambda f . \text { let } r=f r x \text { in } r
$$

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

syntax tree

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

syntax tree (+ recursive backlink)

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## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

syntax tree (+ recursive backlink, + scopes)

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

syntax tree (+ recursive backlink, + scopes, + binding links)

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

first-order term graph with binding backlinks (+ scope sets)

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higher-order term graph (with scope sets, Blom [2003])

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$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

higher-order term graph (with scope sets, + abstraction-prefix function)

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higher-order term graph (with abstraction-prefix function)

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

$\lambda$-higher-order-term-graph $\llbracket L_{0} \rrbracket_{\mathcal{H}}$

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

first-order term graph (+ abstraction-prefix function)

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

first-order term graph with binding backlinks (+ scope sets)

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

first-order term graph with scope vertices with backlinks (+ scope sets)

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$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

first-order term graph with scope vertices with backlinks

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$


$$
\lambda \text {-term-graph } \llbracket L_{0} \rrbracket_{\mathcal{T}}
$$

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$


## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

$\lambda$-NFA

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$


## graph interpretation (example 2)

$$
L=\lambda x . \lambda f . \text { let } r=f(f r x) x \text { in } r
$$

## graph interpretation (example 2)

$L=\lambda x . \lambda f$. let $r=f(f r x) x$ in $r$

syntax tree

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$$
\lambda \text {-term-graph } \llbracket L \rrbracket_{\mathcal{T}}
$$

## graph interpretation (examples 1 and 2)

$\left.\llbracket L_{0}\right]_{T}$

$$
\llbracket L \rrbracket \tau
$$

## interpretation $\llbracket \cdot \|_{\mathcal{T}}$ : properties (cont.)

interpretation $\boldsymbol{\lambda}_{\text {letrec }}$-term $L \longmapsto \lambda$-term-graph $\llbracket L \rrbracket_{\mathcal{T}}$

- defined by induction on structure of $L$
- similar analysis as fully-lazy lambda-lifting
- yields eager-scope $\lambda$-term-graphs: ~ minimal scopes
$\square$


## interpretation $\llbracket \cdot \|_{\mathcal{T}}$ : properties (cont.)

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- defined by induction on structure of $L$
- similar analysis as fully-lazy lambda-lifting
- yields eager-scope $\lambda$-term-graphs: ~ minimal scopes


## Theorem

For $\boldsymbol{\lambda}_{\text {letrec }}$-terms $L_{1}$ and $L_{2}$ it holds: Equality of infinite unfolding coincides with bisimilarity of $\lambda$-term-graph interpretations:

$$
\llbracket L_{1} \rrbracket_{\lambda \infty}=\llbracket L_{2} \rrbracket_{\lambda_{\infty}} \quad \Longleftrightarrow \llbracket L_{1} \rrbracket_{\mathcal{T}} \leftrightarrows \llbracket L_{2} \rrbracket_{\mathcal{T}}
$$

## collapse



## bisimulation check between $\lambda$-term-graphs



## bisimulation between $\lambda$-term-graphs



## bisimilarity between $\lambda$-term-graphs



## functional bisimilarity and bisimulation collapse



## bisimulation collapse: property

## Theorem

The class of eager-scope $\lambda$-term-graphs is closed under functional bisimilarity $\rightarrow$.
$\Longrightarrow$ For a $\boldsymbol{\lambda}_{\text {letrec }}$-term $L$
the bisimulation collapse of $\llbracket L \rrbracket_{\mathcal{T}}$ is again an eager-scope $\lambda$-term-graph.

## readback



## readback

## defined with property:



## readback

defined with property:


## readback

defined with property:


## Theorem

For all eager-scope $\lambda$-term-graphs $G$ :

$$
\left(\llbracket \|_{\mathcal{T}} \circ \mathrm{rb}\right)(G) \simeq G
$$

The readback rb is a right-inverse of $[!]_{\mathcal{T}}$ modulo isomorphism $\simeq$.

## readback

defined with property:


## Theorem

For all eager-scope $\lambda$-term-graphs $G$ :

$$
\left(\llbracket \cdot \rrbracket_{\mathcal{T}} \circ \mathrm{rb}\right)(G) \simeq G
$$

The readback rb is a right-inverse of $\left[\cdot \|_{\mathcal{T}}\right.$ modulo isomorphism $\simeq$. idea:

1. construct a spanning tree $T$ of $G$
2. using local rules, in a bottom-up traversal of $T$ synthesize $L=\mathrm{rb}(G)$

## maximal sharing: complexity



1. interpretation
of $\boldsymbol{\lambda}_{\text {letrec }}$-term $L$ with $|L|=n$
as $\lambda$-term-graph $G=\llbracket L \rrbracket_{\mathcal{T}}$

- in time $O\left(n^{2}\right)$, size $|G| \in O\left(n^{2}\right)$.

2. bisimulation collapse $\mid \downarrow$ of f-o term graph $G$ into $G_{0}$

- in time $O(|G| \log |G|)=O\left(n^{2} \log n\right)$

3. readback rb
of f-o term graph $G_{0}$
yielding $\boldsymbol{\lambda}_{\text {letrec }}$-term $L_{0}=\operatorname{rb}\left(G_{0}\right)$.

- in time $O(|G| \log |G|)=O\left(n^{2} \log n\right)$


## Theorem

Computing a maximally compact form $L_{0}=\left(\mathrm{rb} \circ \| \vee \llbracket \cdot \rrbracket_{\mathcal{T}}\right)(L)$ of $L$ for a $\boldsymbol{\lambda}_{\text {letrec }}$-term $L$ requires time $O\left(n^{2} \log n\right)$, where $|L|=n$.

## Demo: console output

jan:~/papers/maxsharing-ICFP/talks/ICFP-2014> maxsharing running.l
$\lambda$-letrec-term:
$\lambda x$. $\lambda f$. let $r=f(f r x) x$ in $r$
derivation:


| ( x f[r]) f | (x) x |
| :---: | :---: |
| $(x \mathrm{f}[\mathrm{r}]) \mathrm{f}$ (f r x ) | (x f[r]) $x$ |

(x f[r]) f (f r x) x
(x f) let r = f (f r x) $x$ in r
(x) $\lambda f$. let $r=f(f r x) x$ in $r$
() $\lambda x$. $\lambda f$. let $r=f(f r x) x$ in $r$
writing DFA to file: running-dfa.pdf
readback of DFA:
$\lambda x$. $\lambda y$. let $F=y(y F x) x$ in $F$
writing minimised DFA to file: running-mindfa.pdf
readback of minimised DFA:
$\lambda x$. $\lambda y$. let $F=y F x$ in $F$
jan: ~/papers/maxsharing-ICFP/talks/ICFP-2014>

## Demo: generated $\lambda$-NFAs



## Resources (maximal sharing)

- tool maxsharing on hackage.haskell.org
- papers and reports
- Maximal Sharing in the Lambda Calculus with Letrec
- ICFP 2014 paper
- accompanying report arXiv:1401.1460
- Term Graph Representations for Cyclic Lambda Terms
- TERMGRAPH 2013 proceedings
- extended report arXiv:1308.1034
- Vincent van Oostrom, CG: Nested Term Graphs
- TERMGRAPH 2014 post-proceedings in EPTCS 183
- thesis Jan Rochel
- Unfolding Semantics of the Untyped $\lambda$-Calculus with letrec
- Ph.D. Thesis, Utrecht University, 2016


## Comparison results: structure-constrained graphs

## Regular expressions under $\leftrightarrows_{P}$

Given: graph interpretation $\llbracket \cdot \|_{P}$, studied under bisimulation $\leftrightarrows$

- not closed under $\xrightarrow{\rightarrow}$, and $\leftrightarrows$, incomplete under $\leftrightarrows$
$\lambda$-calculus with letrec under $=\boldsymbol{\lambda}^{\infty}$
Not available: graph interpretation that is studied under $\leftrightarrows$


## Comparison results: structure-constrained graphs

Regular expressions under $\leftrightarrows_{P}$
Given: graph interpretation $\llbracket \cdot \rrbracket_{P}$, studied under bisimulation $\leftrightarrows$

- not closed under $\xrightarrow{ }$, and $\leftrightarrows$, incomplete under $\leftrightarrows$

Defined: class of process graphs with LEE / (layered) LEE-witness

- closed under $\rightarrow$ (hence under collapse)
- back-/forth correspondence with 1-return-less expr's
- contains the collapse of a process graph $G$
$\Longleftrightarrow G$ is $\llbracket \cdot \rrbracket_{P}^{1+\| \star}$-expressible modulo $\leftrightarrows$
$\lambda$-calculus with letrec under $=\lambda^{\infty}$
Not available: graph interpretation that is studied under $\leftrightarrows$


## Comparison results: structure-constrained graphs

Regular expressions under $\leftrightarrows_{P}$
Given: graph interpretation $\llbracket \|_{P}$, studied under bisimulation $\leftrightarrows$

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- contains the collapse of a process graph $G$ $\Longleftrightarrow G$ is $\llbracket \cdot \rrbracket_{P}^{1+\| \star}$-expressible modulo $\leftrightarrows$
$\lambda$-calculus with letrec under $=\lambda^{\infty}$
Not available: graph interpretation that is studied under $\leftrightarrows$
Defined: int's $\llbracket \cdot \rrbracket_{\mathcal{H}} / \mathbb{\llbracket} \cdot \rrbracket_{\mathcal{T}}$ as higher-order/first-order $\lambda$-term graphs
- closed under $\rightarrow$ (hence under collapse)
- back-/forth correspondence with $\lambda$-calculus with letrec
- efficient translation and readback
- translation is inverse of readback


## L'Aquila (from Monte Castelvecchia la Crocetta)



## Corno Grande, Gran Sasso (from close to GSSI, L'Aquila)



