## Maximal Sharing in the Lambda Calculus with letrec



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## maximal sharing: example (fix)

$$
\lambda f \text {. let } r=f(f r) \text { in } r
$$

L

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## motivation, questions, and results

motivation

- desirable: increase sharing in programs
- code that is as compact as possible
- avoid duplication of reduction work at run-time
- useful: check equality of unfolding semantics of programs


## questions

(1): how to maximize sharing in programs?
(2): how to check for unfolding equivalence?
we restrict to $\lambda_{\text {letrec }}$, the $\lambda$-calculus with letrec

- as abstraction $\ell$ syntactical core of functional languages
our results:
- efficient methods solving questions (1) and (2) for


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our results:
- efficient methods solving questions (1) and (2) for $\lambda_{\text {letrec }}$


## outline

- methods consist of the steps:
interpretation of $\boldsymbol{\lambda}_{\text {letrec }}$-terms as term graphs
- higher-order: $\lambda$-ho-term-graphs
- first-order: $\lambda$-term-graphs
bisimilarity \& bisimulation collapse of $\lambda$-term-graphs
readback of $\lambda$-term-graphs as $\boldsymbol{\lambda}_{\text {letrec }}$-terms
- implementation
- complexity
- extensions and applications


## maximal sharing: example (fix)



## maximal sharing: the method



1. term graph interpretation 【.】. of $\boldsymbol{\lambda}_{\text {letrec }}$-term $L$ as:
a. higher-order term graph $\mathcal{G}=\llbracket L \rrbracket_{\mathcal{H}}$

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## contribution

conceptually

- reason about syntactically expressed sharing
via an adequate term graph semantics
- reduction to problems accessible by standard methods


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- reason about syntactically expressed sharing
via an adequate term graph semantics
- reduction to problems accessible by standard methods
maximal sharing method
- extends 'maximal sharing'
from first-order terms to higher-order terms (with binding)
- significantly extends common subexpression elimination
- is targeted at maximizing sharing statically
- with respect to the unfolding semantics
- not: organize/maximize sharing dynamically during evaluation


## unfolding equivalence: example


$\lambda f$. let $r=f(f r)$ in $r$


## unfolding equivalence: example



## unfolding equivalence: the method



## unfolding equivalence: the method



## unfolding equivalence: the method

$$
\begin{array}{r}
L_{1} \\
\left.\llbracket \cdot \rrbracket_{\lambda^{\infty}}\right\rfloor ? \\
M \\
\left.\llbracket \cdot \rrbracket_{\lambda^{\infty}}\right\rfloor ? \\
L_{2}
\end{array}
$$

## unfolding equivalence: the method



1. term graph interpretation 【.]. of $\boldsymbol{\lambda}_{\text {letrec }}$-term $L_{1}$ and $L_{2}$ as:
a. higher-order term graphs

$$
\mathcal{G}_{1}=\llbracket L_{1} \rrbracket_{\mathcal{H}}
$$

b. first-order term graphs

$$
G_{1}=\llbracket L_{1} \rrbracket \mathcal{T}
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## unfolding equivalence: the method



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of $\boldsymbol{\lambda}_{\text {letrec }}$-term $L_{1}$ and $L_{2}$ as:
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b. first-order term graphs

$$
G_{1}=\llbracket L_{1} \rrbracket_{\mathcal{T}} \text { and } G_{2}=\llbracket L_{2} \rrbracket_{\mathcal{T}}
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## unfolding equivalence: the method



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b. first-order term graphs

$$
G_{1}=\llbracket L_{1} \rrbracket_{\mathcal{T}} \text { and } G_{2}=\llbracket L_{2} \rrbracket_{\mathcal{T}}
$$

2. check bisimilarity of f-o term graphs $G_{1}$ and $G_{2}$

## interpretation



## running example

instead of:
$\lambda f$. let $r=f(f r)$ in $r$
we use:
$\lambda x$. $\lambda$. let $r=f(f r x) x$ in $r \quad \longmapsto_{\text {max-sharing }} \quad \lambda x$. $\lambda f$. let $r=f r x$ in $r$

L
$\longmapsto$ max-sharing

## graph interpretation (example 1)

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

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syntax tree (+ recursive backlink, + scopes)

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syntax tree (+ recursive backlink, + scopes, + binding links)

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first-order term graph with binding backlinks (+ scope sets)

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$\lambda$-higher-order-term-graph $\llbracket L_{0} \rrbracket_{\mathcal{H}}$

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$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

first-order term graph with scope vertices with backlinks (+ scope sets)

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$$
\lambda \text {-term-graph } \llbracket L_{0} \rrbracket_{\mathcal{T}}
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## graph interpretation (example 2)

$L=\lambda x . \lambda f$. let $r=f(f r x) x$ in $r$

## graph interpretation (example 2)

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first-order term graph with binding backlinks (+ scope sets)

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## graph interpretation (example 2)

$L=\lambda x$. $\lambda f$. let $r=f(f r x) x$ in $r$

first-order term graph with scope vertices with backlinks (+ scope sets)

## graph interpretation (example 2)

$L=\lambda x . \lambda f$. let $r=f(f r x) x$ in $r$

$\lambda$-term-graph $\llbracket L \rrbracket_{\mathcal{T}}$

## graph interpretation (examples 1 and 2)


$\llbracket L_{0} \rrbracket_{\mathcal{T}}$

$\llbracket L\rfloor \tau$

## interpretation $\llbracket \cdot \|_{\mathcal{T}}$ : properties (cont.)

interpretation $\boldsymbol{\lambda}_{\text {letrec }}$-term $L \longmapsto \lambda$-term-graph $\llbracket L \rrbracket_{\mathcal{T}}$

- defined by induction on structure of $L$
- similar analysis as fully-lazy lambda-lifting
- yields eager-scope $\lambda$-term-graphs: ~ minimal scopes

For $\lambda_{\text {letrec }}$-terms $L_{1}$ and $L_{2}$ it holds: Equality of infinite unfolding coincides with bisimilarity of $\lambda$-term-graph interpretations:

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## Theorem

For $\boldsymbol{\lambda}_{\text {letrec }}$-terms $L_{1}$ and $L_{2}$ it holds: Equality of infinite unfolding coincides with bisimilarity of $\lambda$-term-graph interpretations:

$$
\llbracket L_{1} \rrbracket_{\lambda^{\infty}}=\llbracket L_{2} \rrbracket_{\lambda^{\infty}} \quad \Longleftrightarrow \quad \llbracket L_{1} \rrbracket_{\mathcal{T}} \leftrightarrows \llbracket L_{2} \rrbracket_{\mathcal{T}}
$$

higher-order term graphs (scope sets/abstraction prefixes)
$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

first-order term graph (+ scope sets)
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higher-order term graph (with abstraction-prefix function)
higher-order term graphs (scope sets/abstraction prefixes)
$L=\lambda x . \lambda f$. let $r=f(f r x) x$ in $r$

$\lambda$-higher-order-term-graph $\llbracket L_{0} \rrbracket_{\mathcal{H}}$

## bisimulation check and collapse



## bisimulation check between $\lambda$-term-graphs


$\llbracket L_{0} \rrbracket_{\mathcal{T}}$

$\llbracket L\rfloor T$

## bisimulation check between $\lambda$-term-graphs



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\llbracket L_{0} \rrbracket_{\mathcal{T}}
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## bisimulation between $\lambda$-term-graphs



## bisimilarity between $\lambda$-term-graphs


$\llbracket L_{0} \rrbracket_{\mathcal{T}}$

$\llbracket L]_{\tau}$

## functional bisimilarity and bisimulation collapse


$\llbracket L_{0} \rrbracket_{\mathcal{T}}$

$\llbracket L]_{\tau}$

## bisimulation collapse: property

Theorem
The class of eager-scope $\lambda$-term-graphs is closed under functional bisimilarity $\rightarrow$.
$\Longrightarrow$ For a $\lambda_{\text {letrec }}$-term $L$ the bisimulation collapse of $\llbracket L \rrbracket_{\mathcal{T}}$ is again an eager-scope $\lambda$-term-graph.

## readback


defined with property:
$\underbrace{L}_{r b} \lambda^{G \text { eager-scope }}$
readback
defined with property:


## readback

defined with property:


## Theorem

For all eager-scope $\lambda$-term-graphs $G$ :

$$
\left(\llbracket \cdot \|_{\mathcal{T}} \circ \mathrm{rb}\right)(G) \simeq G
$$

The readback rb is a right-inverse of $[!]_{\mathcal{T}}$ modulo isomorphism $\simeq$.

## readback

defined with property:


## Theorem

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\left(\llbracket \cdot \rrbracket_{\mathcal{T}} \circ \mathrm{rb}\right)(G) \simeq G
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The readback rb is a right-inverse of $\llbracket \cdot \rrbracket_{\mathcal{T}}$ modulo isomorphism $\simeq$.
idea:

1. construct a spanning tree $T$ of $G$
2. using local rules, in a bottom-up traversal of $T$ synthesize $L=\operatorname{rb}(G)$

## readback: example (fix)



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## readback: example (fix)


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readback: example (fix)


$$
\left(v_{1}[] \cdots v_{n}[]\right) v_{n}
$$



## readback: example (fix)



$$
\left(v_{1}[] \cdots v_{n}[] v_{n+1}[r=?]\right) r
$$



## readback: example (fix)



## readback: example (fix)



$$
\begin{aligned}
& \left(\vec{p} v_{n+1}[B, r=L]\right) r \\
& \left(v s(\vec{p}) v_{n+1}\right) \\
& \left(\vec{p} v_{n+1}[B,(r=?)]\right) L
\end{aligned}
$$

readback: example (fix)

$(\vec{p}) \lambda v_{n}$. let $B$ in $L$

$\left(\vec{p} v_{n}[B]\right) L$

## implementation

- tool maxsharing on hackage.haskell.org
- uses Utrecht University Attribute Grammar Compiler (UUAGC)
- uses DFA-minimization instead of bisimulation collapse
- reason: trace equivalence $=$ bisimilarity for deterministic LTSs
- examples and explanation
- in accompanying report


## $\lambda$-DFAs from $\lambda$-term-graphs

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

$\lambda$-term-graph $\llbracket L_{0} \rrbracket_{\mathcal{H}}$

## $\lambda$-DFAs from $\lambda$-term-graphs

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$

finite-state automaton (missing transitions)

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## Demo: console output

jan:~/papers/maxsharing-ICFP/talks/ICFP-2014> maxsharing running.l $\lambda$-letrec-term:
$\lambda x$. $\lambda f$. let $r=f(f r x) x$ in $r$
derivation:


| $(x f[r]) f$ | $(x f[r]) f r x$ | $(x) x$ |
| :--- | :--- | :--- |
| $(x f[r]) f(f r x)$ |  |  |

( $x$ f[r]) f (f r x) $x$
(x f) let r = f (f r x) $x$ in $r$
(x) $\lambda f$. let $r=f(f r x) x$ in $r$
() $\lambda x$. $\lambda f$. let $r=f(f r x) x$ in $r$
writing DFA to file: running-dfa.pdf
readback of DFA:
$\lambda x$. $\lambda y$. let $F=y(y F x) x$ in $F$
writing minimised DFA to file: running-mindfa.pdf
readback of minimised DFA:
$\lambda x$. $\lambda y$. let $F=y ~ F x$ in $F$
jan:~/papers/maxsharing-ICFP/talks/ICFP-2014>

## Demo: generated DFAs



## $\lambda$-DFA

$L_{0}=\lambda x . \lambda f$. let $r=f r x$ in $r$


## maximal sharing: complexity



1. interpretation

$$
\begin{aligned}
& \text { of } \boldsymbol{\lambda}_{\text {letrec }} \text {-term } L \\
& \text { as } \lambda \text {-term-graph } G=\llbracket L \rrbracket_{\mathcal{T}}
\end{aligned}
$$

2. bisimulation collapse $\mid \downarrow$ of f-o term graph $G$ into $G_{0}$
3. readback rb of f-o term graph $G_{0}$ yielding $\boldsymbol{\lambda}_{\text {letrec }}$-term $L_{0}=\operatorname{rb}\left(G_{0}\right)$.

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## maximal sharing: complexity



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2. bisimulation collapse $\downarrow \downarrow$ of f-o term graph $G$ into $G_{0}$
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## maximal sharing: complexity

1. interpretation

$\begin{aligned} & \text { of } \lambda_{\text {letrec-term }} L \text { with }|L|=n \\ & \text { as } \lambda \text {-term-graph } G=\llbracket L \rrbracket_{\mathcal{T}} \\ \text { - } & \text { in time } O\left(n^{2}\right), \text { size }|G| \in O\left(n^{2}\right) .\end{aligned}$
2. bisimulation collapse $\mid \downarrow$ of f-o term graph $G$ into $G_{0}$

- in time $O(|G| \log |G|)=O\left(n^{2} \log n\right)$

3. readback rb
of f-o term graph $G_{0}$ yielding $\boldsymbol{\lambda}_{\text {letrec }}$-term $L_{0}=\operatorname{rb}\left(G_{0}\right)$.

## maximal sharing: complexity

1. interpretation

of $\lambda_{\text {letrec }}$-term $L$ with $|L|=n$
as $\lambda$-term-graph $G=\llbracket L \rrbracket_{\mathcal{T}}$

- in time $O\left(n^{2}\right)$, size $|G| \in O\left(n^{2}\right)$.

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of f-o term graph $G$ into $G_{0}$

- in time $O(|G| \log |G|)=O\left(n^{2} \log n\right)$

3. readback rb of f-o term graph $G_{0}$ yielding $\boldsymbol{\lambda}_{\text {letrec }}$-term $L_{0}=\operatorname{rb}\left(G_{0}\right)$.

- in time $O(|G| \log |G|)=O\left(n^{2} \log n\right)$


## Theorem

Computing a maximally compact form $L_{0}=\left(\mathrm{rb} \circ \downarrow \circ \llbracket \cdot \rrbracket_{\mathcal{T}}\right)(L)$ of $L$ for a $\lambda_{\text {letrec }}$-term $L$ requires time $O\left(n^{2} \log n\right)$, where $|L|=n$.

## unfolding equivalence: complexity



1. interpretation
of $\boldsymbol{\lambda}_{\text {letrec }}$-term $L_{1}, L_{2}$
as $\lambda$-term-graphs $G_{1}=\llbracket L_{1} \rrbracket_{\mathcal{T}}$ and $G_{2}=\llbracket L_{2} \rrbracket_{\mathcal{T}}$
2. check bisimilarity
of $\lambda$-term-graphs $G_{1}$ and $G_{2}$

## unfolding equivalence: complexity



1. interpretation
of $\boldsymbol{\lambda}_{\text {letrec-term }} L_{1}, L_{2}$ with $n=\max \left\{\left|L_{1}\right|,\left|L_{2}\right|\right\}$ as $\lambda$-term-graphs $G_{1}=\llbracket L_{1} \rrbracket_{\mathcal{T}}$ and $G_{2}=\llbracket L_{2} \rrbracket_{\mathcal{T}}$ - in time $O\left(n^{2}\right)$, sizes $\left|G_{1}\right|,\left|G_{2}\right| \in O\left(n^{2}\right)$.
2. check bisimilarity
of $\lambda$-term-graphs $G_{1}$ and $G_{2}$

## unfolding equivalence: complexity



1. interpretation
of $\lambda_{\text {letrec }}$-term $L_{1}, L_{2}$ with $n=\max \left\{\left|L_{1}\right|,\left|L_{2}\right|\right\}$ as $\lambda$-term-graphs $G_{1}=\llbracket L_{1} \rrbracket_{\mathcal{T}}$ and $G_{2}=\llbracket L_{2} \rrbracket_{\mathcal{T}}$ - in time $O\left(n^{2}\right)$, sizes $\left|G_{1}\right|,\left|G_{2}\right| \in O\left(n^{2}\right)$.
2. check bisimilarity
of $\lambda$-term-graphs $G_{1}$ and $G_{2}$

- in time $O\left(\left|G_{i}\right| \alpha\left(\left|G_{i}\right|\right)\right)=O\left(n^{2} \alpha(n)\right)$


## unfolding equivalence: complexity



1. interpretation
of $\boldsymbol{\lambda}_{\text {letrec }}$-term $L_{1}, L_{2}$ with $n=\max \left\{\left|L_{1}\right|,\left|L_{2}\right|\right\}$ as $\lambda$-term-graphs $G_{1}=\llbracket L_{1} \rrbracket \mathcal{T}$ and $G_{2}=\llbracket L_{2} \rrbracket_{\mathcal{T}}$

- in time $O\left(n^{2}\right)$, sizes $\left|G_{1}\right|,\left|G_{2}\right| \in O\left(n^{2}\right)$.

2. check bisimilarity
of $\lambda$-term-graphs $G_{1}$ and $G_{2}$

- in time $O\left(\left|G_{i}\right| \alpha\left(\left|G_{i}\right|\right)\right)=O\left(n^{2} \alpha(n)\right)$


## Theorem

Deciding whether $\boldsymbol{\lambda}_{\text {letrec }}$-terms $L_{1}$ and $L_{2}$ are unfolding-equivalent requires almost quadratic time $O\left(n^{2} \alpha(n)\right)$ for $n=\max \left\{\left|L_{1}\right|,\left|L_{2}\right|\right\}$.

## extensions

- support for full functional languages
- work on a Core language with constructors, case statements
- model these by enriching $\boldsymbol{\lambda}_{\text {letrec }}$ with function symbols
- adapt our method to this $\boldsymbol{\lambda}_{\text {letrec }}$-extension
- prevent space leaks caused by disadvantageous sharing
- identify 'sharing-unfit' positions/vertices
- modify $\lambda$-term-graph interpretation
in order to constrain the bisimulation collapse
- fully-lazy lambda-lifting
- necessary analysis is similar
- can be implemented as: $\mathrm{rb}_{L L} \circ \llbracket \cdot \rrbracket_{\mathcal{T}}$ (with modified readback $\mathrm{rb} b_{L L}$ )


## applications

- maximal sharing at run-time
- repeatedly compactify at run-time
- possible directly on supercombinator graphs
- can be coupled with garbage collection
- code improvement
- detect code duplication
- provide guidance on how to obtain a more compact form
- function equivalence
- detecting unfolding equivalence provides partial solution
- relevant for proof assistants, theorem provers, dependently-typed programming languages


## resources

- tool maxsharing on hackage.haskell.org
- papers and reports
- Maximal Sharing in the Lambda Calculus with Letrec
- ICFP 2014 paper
- accompanying report arXiv:1401.1460
- Term Graph Representations for Cyclic Lambda Terms
- TERMGRAPH 2013 proceedings
- extended report arXiv:1308.1034
- Vincent van Oostrom, CG: Nested Term Graphs
- TERMGRAPH 2014 post-proceedings in EPTCS 183
- thesis Jan Rochel
- Unfolding Semantics of the Untyped $\lambda$-Calculus with letrec
- Ph.D. Thesis, Utrecht University, 2016

