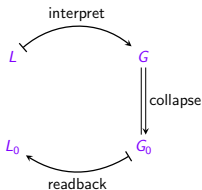


Maximal Sharing in the Lambda Calculus with letrec



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ICFP 2014

September 1–3, 2014

motivation, questions, and results

motivation

- ▶ desirable: increase sharing in programs
 - ▶ code that is as compact as possible
 - ▶ avoid duplication of reduction work at run-time
- ▶ useful: check equality of unfolding semantics of programs

questions

- (1): how to maximize sharing in programs?
- (2): how to check for unfolding equivalence?

we restrict to λ_{letrec} , the λ -calculus with **letrec**

- ▶ as abstraction & syntactical core of functional languages

our results:

- ▶ efficient methods solving questions (1) and (2) for λ_{letrec}

outline

- ▶ methods consist of the steps:

interpretation of λ_{letrec} -terms as term graphs

- ▶ higher-order: λ -ho-term-graphs
- ▶ first-order: λ -term-graphs

bisimilarity & bisimulation collapse of λ -term-graphs

readback of λ -term-graphs as λ_{letrec} -terms

- ▶ implementation
- ▶ complexity
- ▶ extensions and applications

contribution

conceptually

- ▶ reason about syntactically expressed sharing via an adequate term graph semantics
- ▶ reduction to problems accessible by standard methods

maximal sharing method

- ▶ extends 'maximal sharing' from first-order terms to **higher-order terms** (with binding)
- ▶ significantly extends **common subexpression elimination**
- ▶ is targeted at maximizing sharing **statically**
 - ▶ with respect to the **unfolding semantics**
 - ▶ **not**: organize/maximize sharing **dynamically** during evaluation

maximal sharing: example (fix)

$\lambda f. \text{let } r = f(f\ r) \text{ in } r$

L

maximal sharing: example (fix)

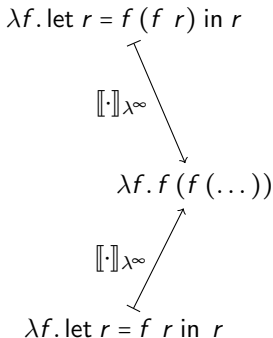
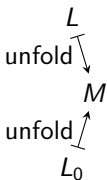
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L

L_0

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maximal sharing: the method



maximal sharing: the method

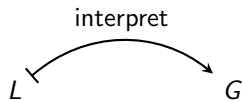
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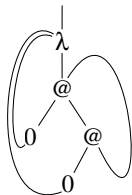
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maximal sharing: the method



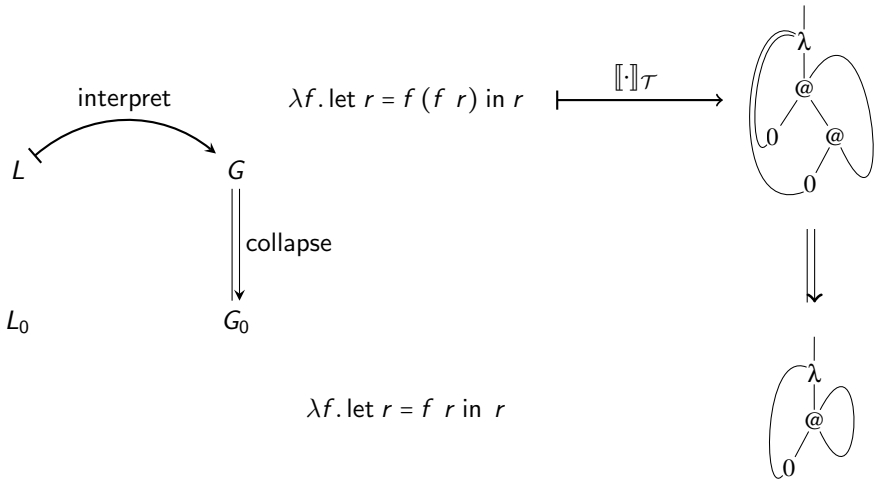
$\lambda f. \text{let } r = f(f r) \text{ in } r \quad \Vdash \llbracket \cdot \rrbracket_{\mathcal{T}}$



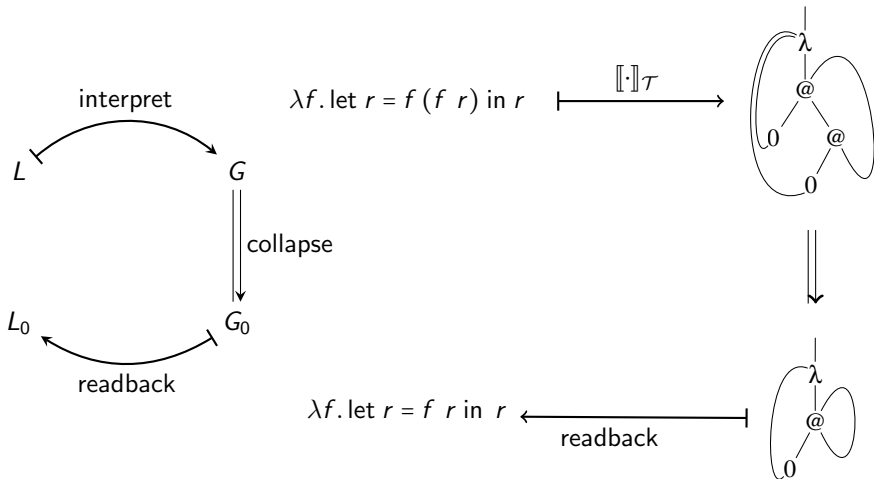
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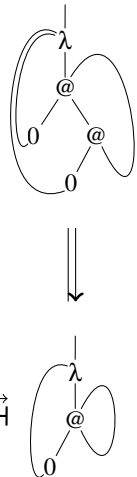
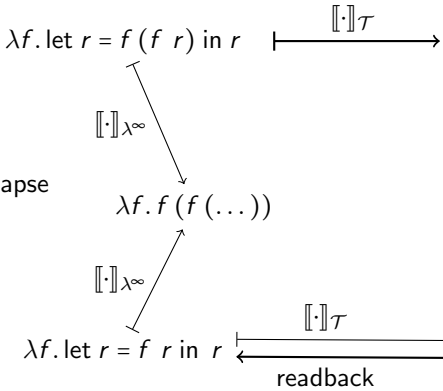
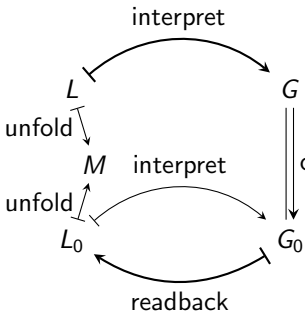
maximal sharing: the method



maximal sharing: the method



maximal sharing: the method



maximal sharing: the method

$$L \mapsto^{\llbracket \cdot \rrbracket_{\mathcal{H}}} \mathcal{G}$$

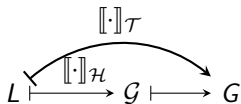
1. term graph interpretation $\llbracket \cdot \rrbracket$.
of λ_{letrec} -term L as:
 - a. higher-order term graph
 $\mathcal{G} = \llbracket L \rrbracket_{\mathcal{H}}$

maximal sharing: the method

$$L \xrightarrow{[[\cdot]]_{\mathcal{H}}} \mathcal{G} \xrightarrow{\quad} G$$

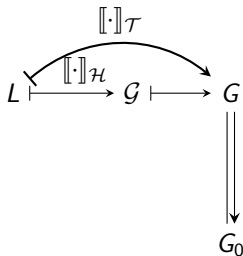
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maximal sharing: the method



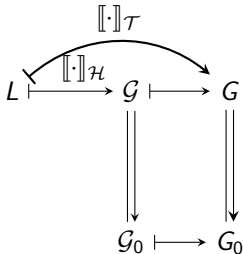
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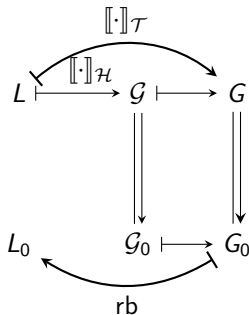
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2. bisimulation collapse \downarrow of f-o term graph G into G_0

maximal sharing: the method



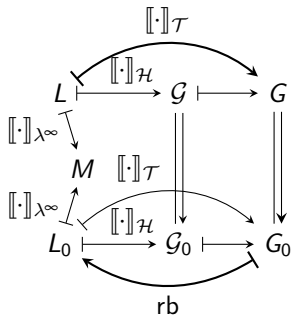
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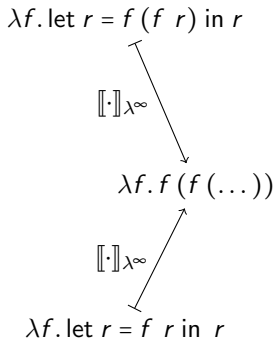
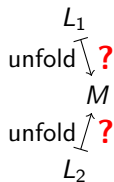
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3. **readback** rb
of f-o term graph G_0
yielding program $L_0 = rb(G_0)$.

maximal sharing: the method

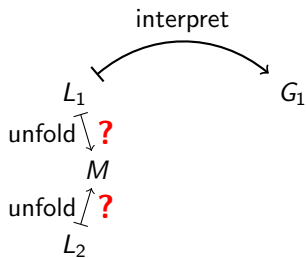


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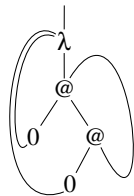
unfolding equivalence: example



unfolding equivalence: example



$$\lambda f. \text{let } r = f(f r) \text{ in } r \quad \vdash \llbracket \cdot \rrbracket_{\mathcal{T}}$$



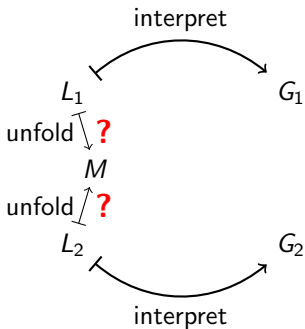
$$\llbracket \cdot \rrbracket_{\lambda^\infty}$$

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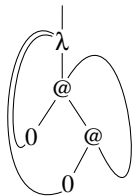
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unfolding equivalence: the method



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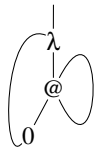


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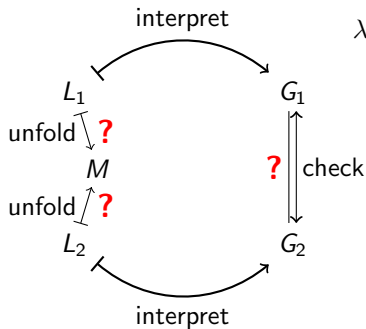
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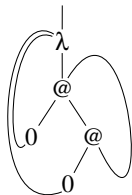
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unfolding equivalence: the method



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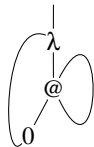


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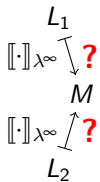
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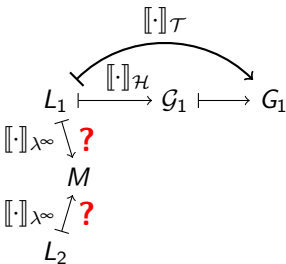
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unfolding equivalence: the method

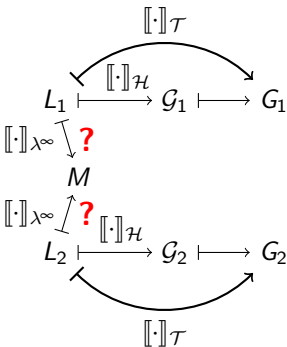


unfolding equivalence: the method



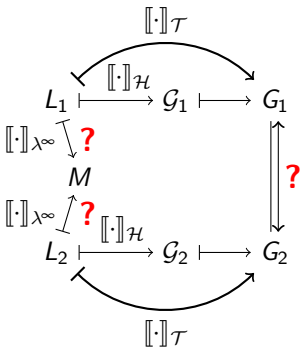
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 $G_1 = \llbracket L_1 \rrbracket_{\mathcal{T}}$

unfolding equivalence: the method



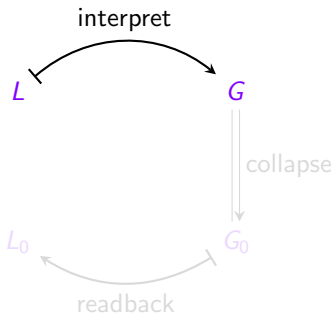
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 $G_1 = \llbracket L_1 \rrbracket_{\mathcal{T}}$ and $G_2 = \llbracket L_2 \rrbracket_{\mathcal{T}}$

unfolding equivalence: the method



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 - b. first-order term graphs
 $G_1 = [[L_1]]_{\mathcal{T}}$ and $G_2 = [[L_2]]_{\mathcal{T}}$
2. check bisimilarity
of f-o term graphs G_1 and G_2

interpretation



running example

instead of:

$$\lambda f. \text{let } r = f (f r) \text{ in } r$$
 $\mapsto_{\text{max-sharing}}$

$$\lambda f. \text{let } r = f r \text{ in } r$$

we use:

$$\lambda x. \lambda f. \text{let } r = f (f r x) x \text{ in } r$$
 $\mapsto_{\text{max-sharing}}$

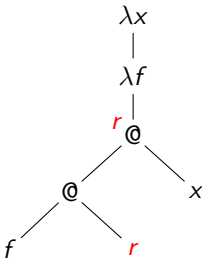
$$\lambda x. \lambda f. \text{let } r = f r x \text{ in } r$$
 L
 $\mapsto_{\text{max-sharing}}$
 L_0

graph interpretation (example 1)

$L_0 = \lambda x. \lambda f. \text{let } r = f r x \text{ in } r$

graph interpretation (example 1)

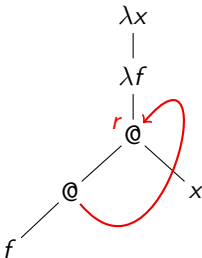
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syntax tree

graph interpretation (example 1)

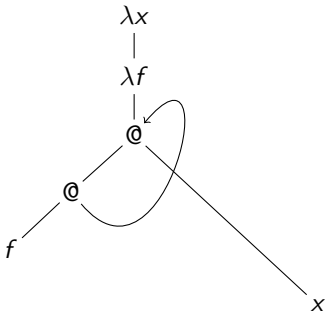
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syntax tree (+ recursive backlink)

graph interpretation (example 1)

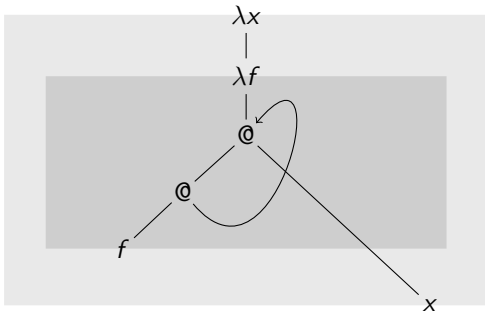
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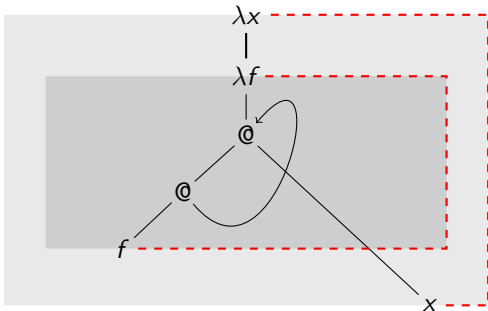
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syntax tree (+ recursive backlink, + scopes)

graph interpretation (example 1)

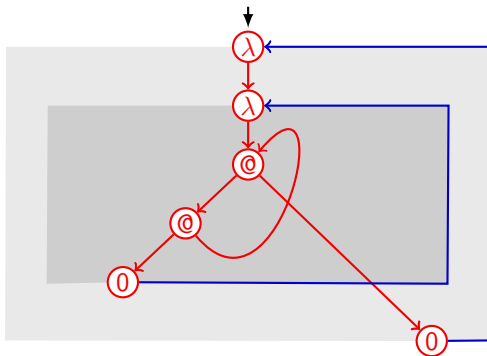
$$L_0 = \lambda x. \lambda f. \text{let } r = f r x \text{ in } r$$



syntax tree (+ recursive backlink, + scopes, + **binding links**)

graph interpretation (example 1)

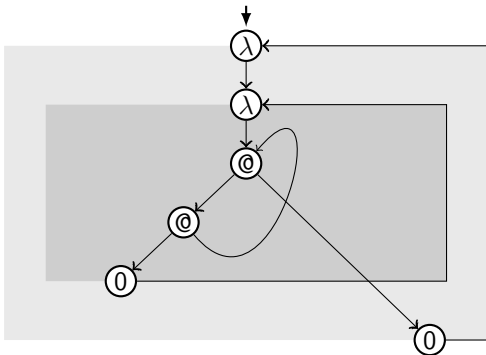
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first-order term graph with binding backlinks (+ scope sets)

graph interpretation (example 1)

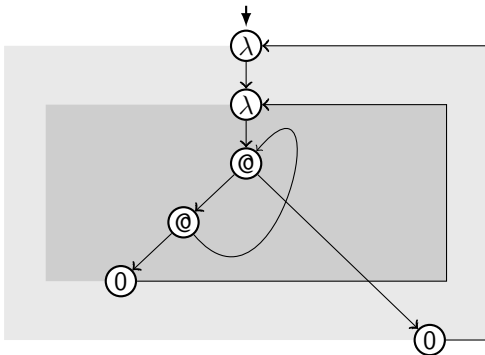
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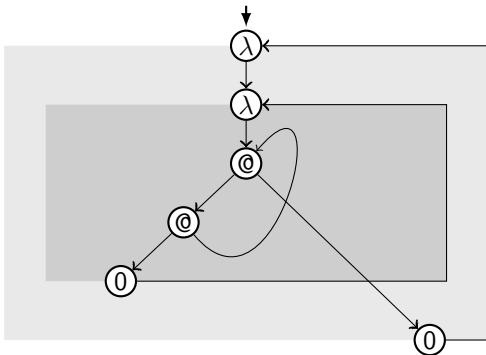
$$L_0 = \lambda x. \lambda f. \text{let } r = f r x \text{ in } r$$



λ -higher-order-term-graph $\llbracket L_0 \rrbracket_{\mathcal{H}}$

graph interpretation (example 1)

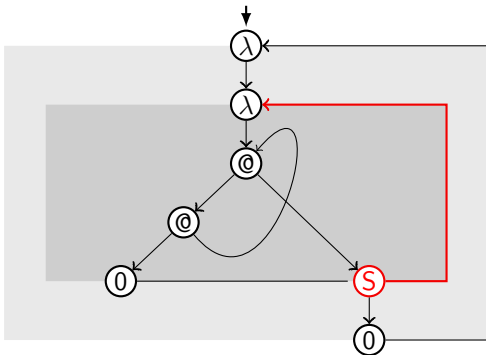
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first-order term graph with binding backlinks (+ scope sets)

graph interpretation (example 1)

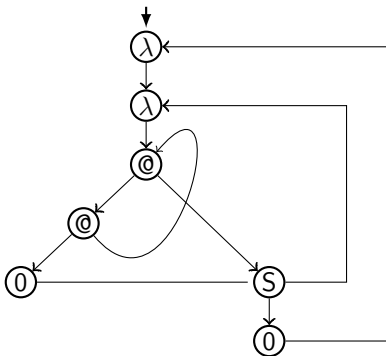
$$L_0 = \lambda x. \lambda f. \text{let } r = f r x \text{ in } r$$



first-order term graph with **scope vertices with backlinks** (+ scope sets)

graph interpretation (example 1)

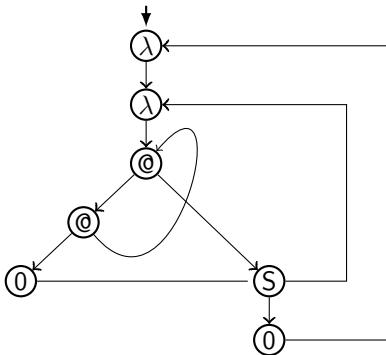
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first-order term graph with scope vertices with backlinks

graph interpretation (example 1)

$L_0 = \lambda x. \lambda f. \text{let } r = f r x \text{ in } r$



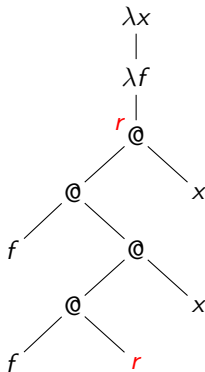
λ -term-graph $\llbracket L_0 \rrbracket_{\mathcal{T}}$

graph interpretation (example 2)

$L = \lambda x. \lambda f. \text{let } r = f(f r x) \text{ in } r$

graph interpretation (example 2)

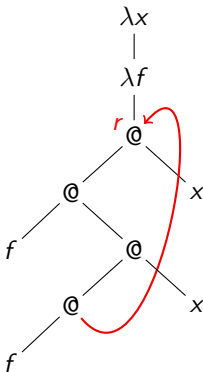
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syntax tree

graph interpretation (example 2)

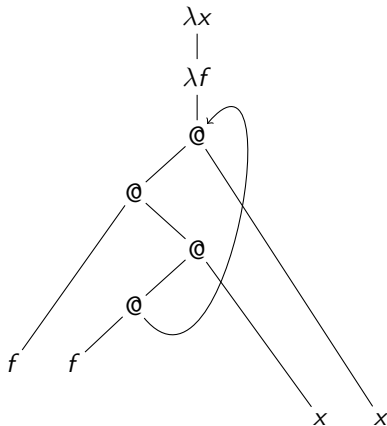
$L = \lambda x. \lambda f. \text{let } r = f(f r x) \text{ in } r$



syntax tree (+ recursive backlink)

graph interpretation (example 2)

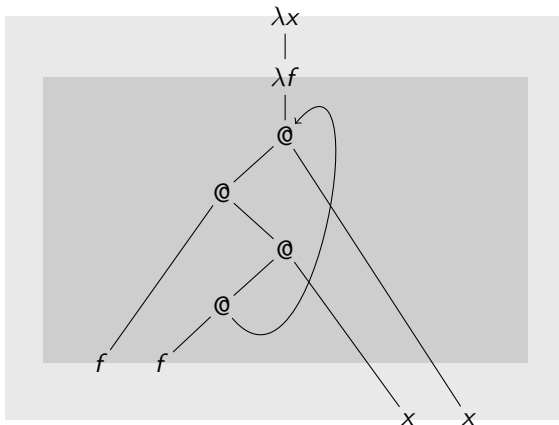
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syntax tree (+ recursive backlink)

graph interpretation (example 2)

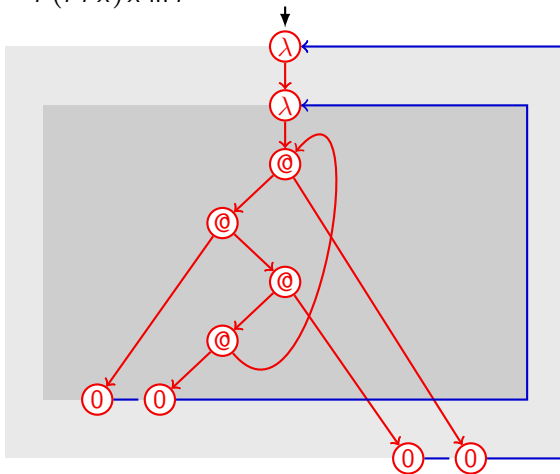
$$L = \lambda x. \lambda f. \text{let } r = f(f r x) \text{ in } r$$



syntax tree (+ recursive backlink, + scopes)

graph interpretation (example 2)

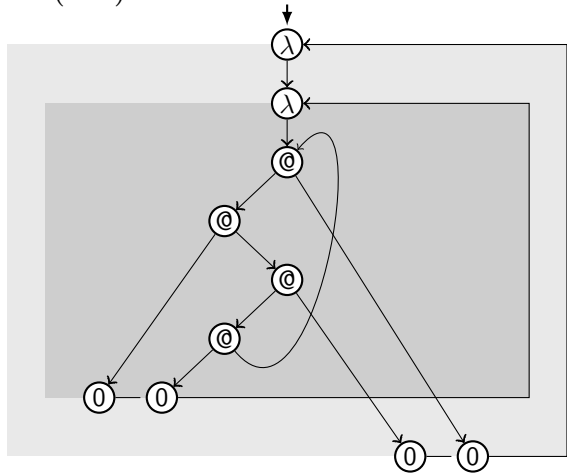
$L = \lambda x. \lambda f. \text{let } r = f(f r x) \text{ in } r$



first-order term graph with binding backlinks (+ scope sets)

graph interpretation (example 2)

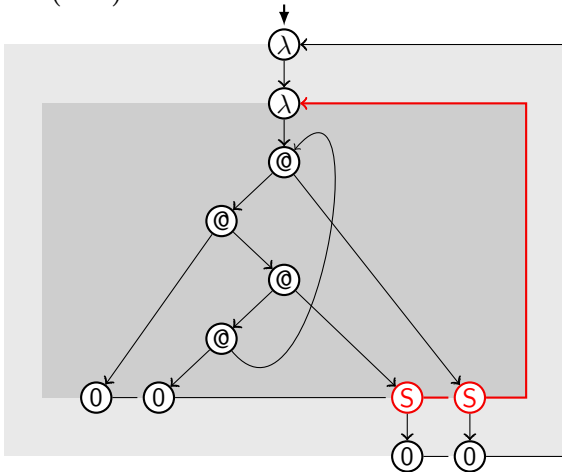
$$L = \lambda x. \lambda f. \text{let } r = f(f r x) \text{ in } r$$



λ -higher-order-term-graph $\llbracket L \rrbracket_{\mathcal{H}}$

graph interpretation (example 2)

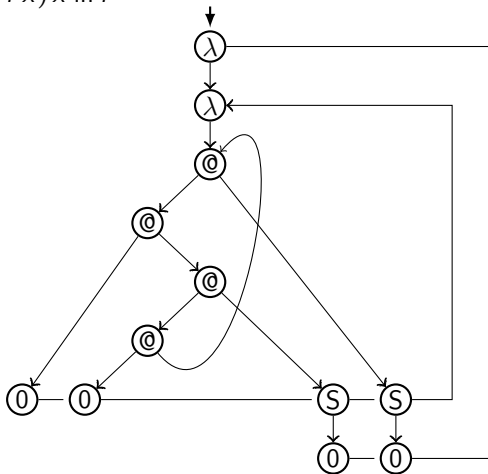
$L = \lambda x. \lambda f. \text{let } r = f(f r x) \text{ in } r$



first-order term graph with **scope vertices with backlinks** (+ scope sets)

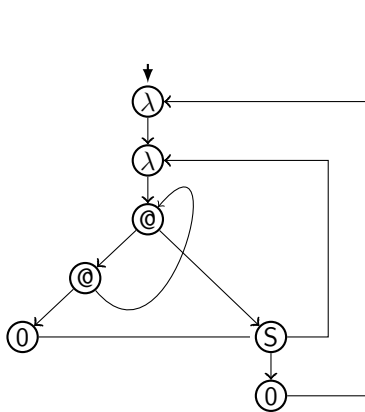
graph interpretation (example 2)

$$L = \lambda x. \lambda f. \text{let } r = f(f r x) \text{ in } r$$

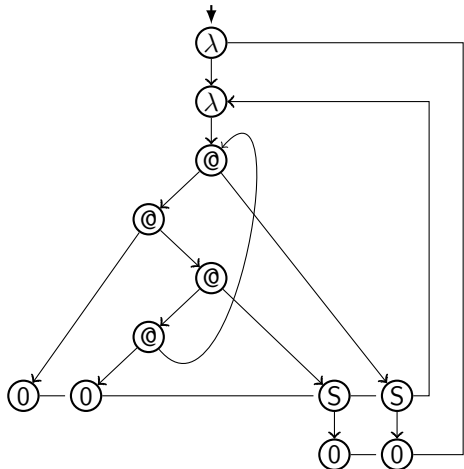


λ -term-graph $[[L]]_{\mathcal{T}}$

graph interpretation (examples 1 and 2)



$[[L_0]]_{\mathcal{T}}$



$[[L]]_{\mathcal{T}}$

interpretation $\llbracket \cdot \rrbracket_{\mathcal{T}}$: properties (cont.)

interpretation λ_{letrec} -term $L \mapsto \lambda\text{-term-graph } \llbracket L \rrbracket_{\mathcal{T}}$

- ▶ defined by induction on structure of L
- ▶ similar analysis as fully-lazy lambda-lifting
- ▶ yields **eager-scope λ -term-graphs**: \sim minimal scopes

Theorem

For λ_{letrec} -terms L_1 and L_2 it holds: Equality of infinite unfolding coincides with **bisimilarity** of λ -term-graph interpretations:

$$\llbracket L_1 \rrbracket_{\lambda^\infty} = \llbracket L_2 \rrbracket_{\lambda^\infty} \iff \llbracket L_1 \rrbracket_{\mathcal{T}} \Leftrightarrow \llbracket L_2 \rrbracket_{\mathcal{T}}$$

interpretation $\llbracket \cdot \rrbracket_{\mathcal{T}}$: properties (cont.)

interpretation λ_{letrec} -term $L \mapsto \lambda\text{-term-graph } \llbracket L \rrbracket_{\mathcal{T}}$

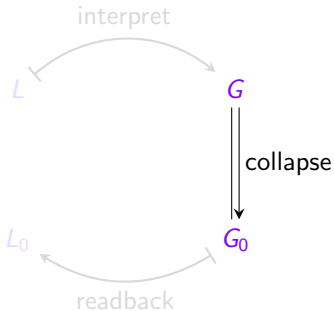
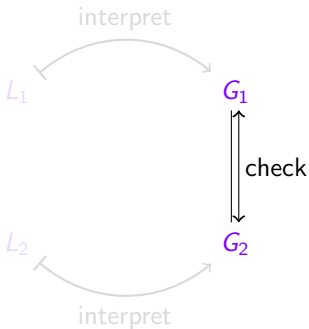
- ▶ defined by induction on structure of L
- ▶ similar analysis as fully-lazy lambda-lifting
- ▶ yields *eager-scope* $\lambda\text{-term-graphs}$: \sim minimal scopes

Theorem

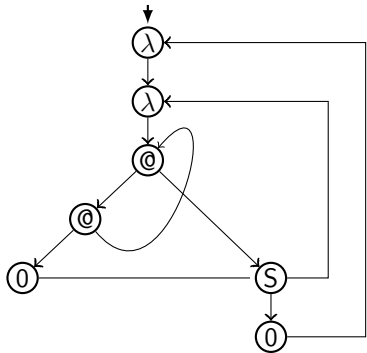
For λ_{letrec} -terms L_1 and L_2 it holds: Equality of infinite unfolding coincides with *bisimilarity* of $\lambda\text{-term-graph}$ interpretations:

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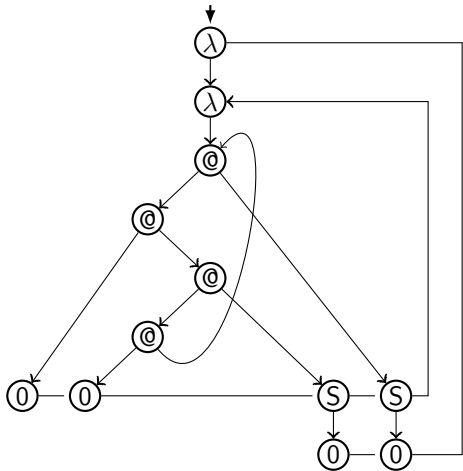
bisimulation check and collapse



bisimulation check between λ -term-graphs

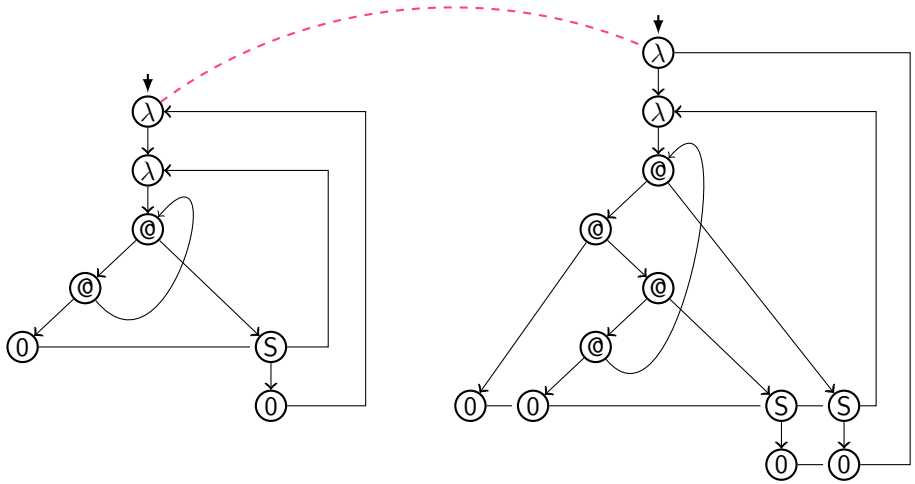


$[[L_0]]_{\mathcal{T}}$



$[[L]]_{\mathcal{T}}$

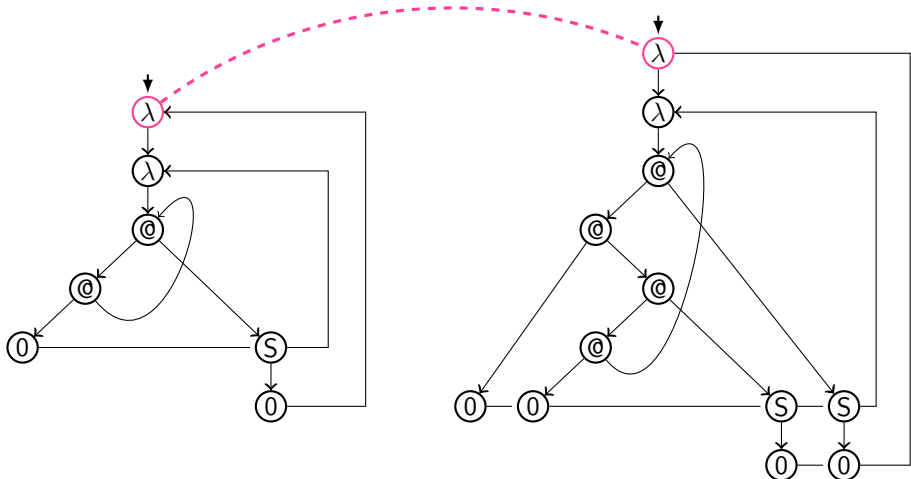
bisimulation check between λ -term-graphs



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$[[L]]_{\mathcal{T}}$

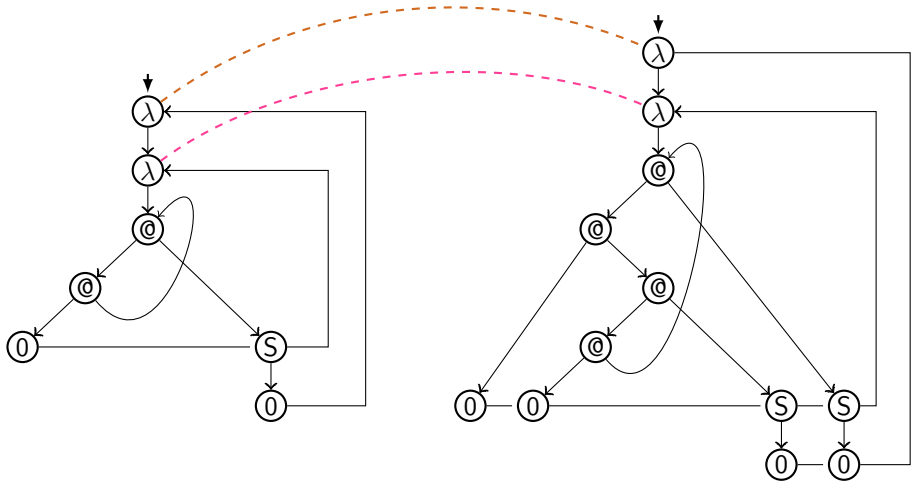
bisimulation check between λ -term-graphs



$[[L_0]]_{\mathcal{T}}$

$[[L]]_{\mathcal{T}}$

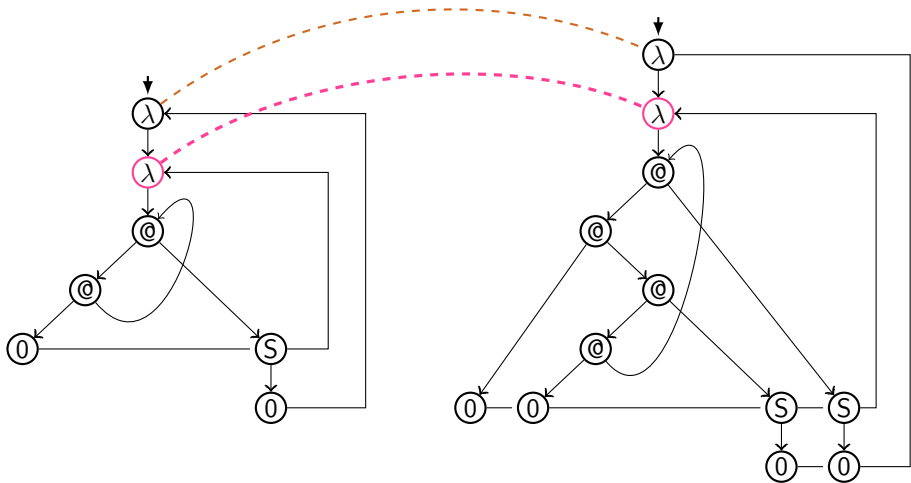
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$\llbracket L_0 \rrbracket_{\mathcal{T}}$

$\llbracket L \rrbracket_{\mathcal{T}}$

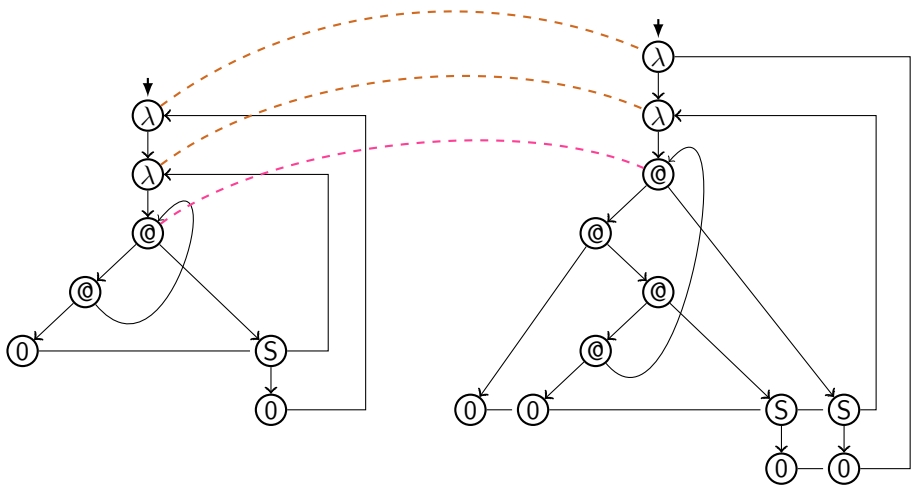
bisimulation check between λ -term-graphs



$\llbracket L_0 \rrbracket_{\mathcal{T}}$

$\llbracket L \rrbracket_{\mathcal{T}}$

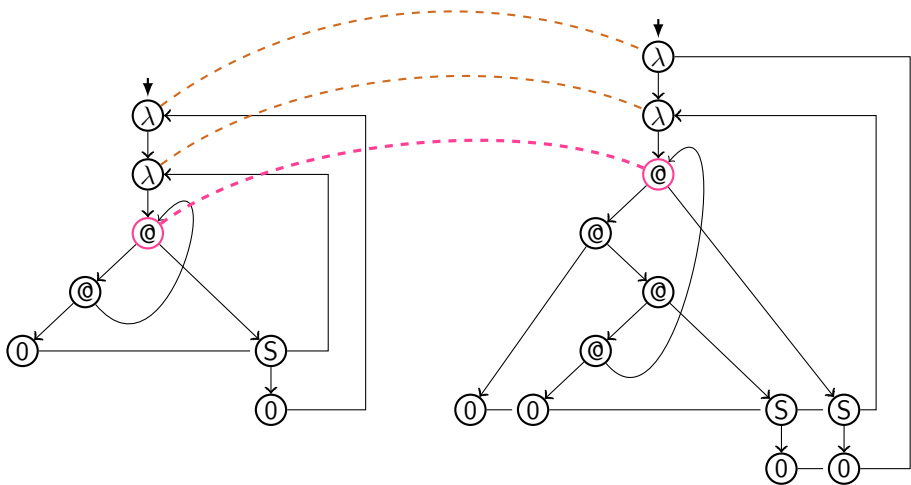
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$\llbracket L_0 \rrbracket_{\mathcal{T}}$

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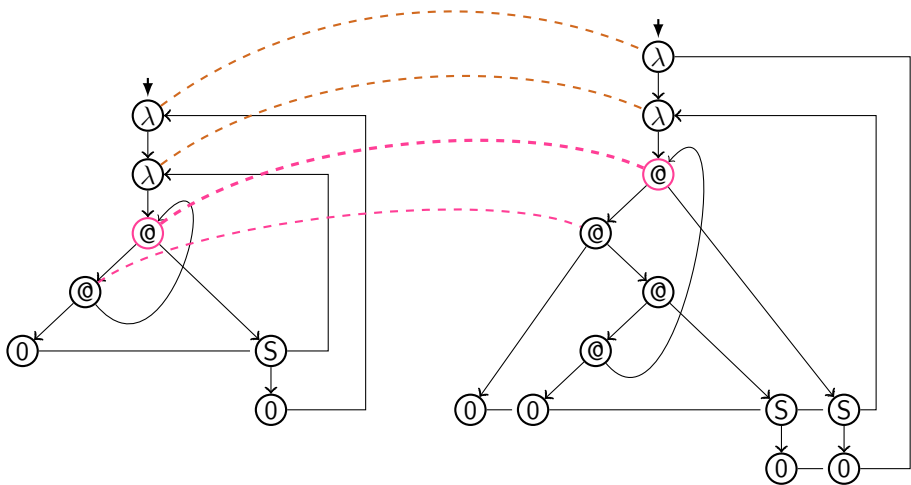
bisimulation check between λ -term-graphs



$\llbracket L_0 \rrbracket_{\mathcal{T}}$

$\llbracket L \rrbracket_{\mathcal{T}}$

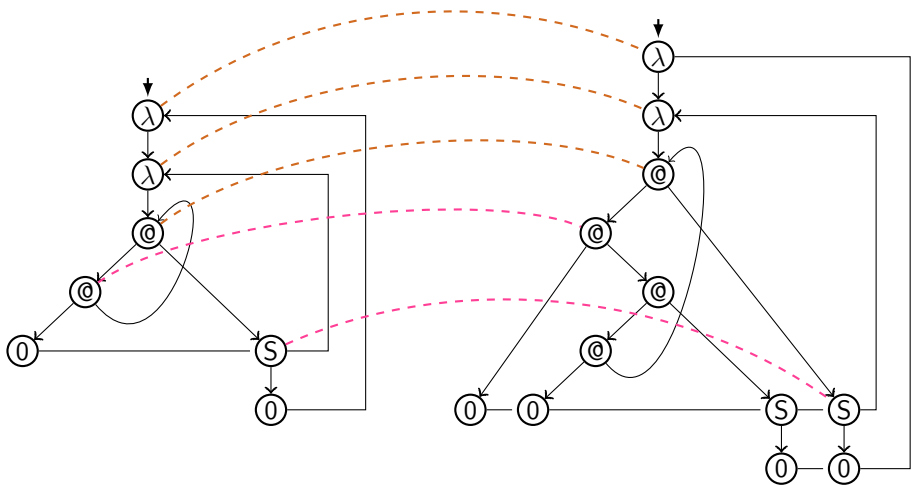
bisimulation check between λ -term-graphs



$\llbracket L_0 \rrbracket_{\mathcal{T}}$

$\llbracket L \rrbracket_{\mathcal{T}}$

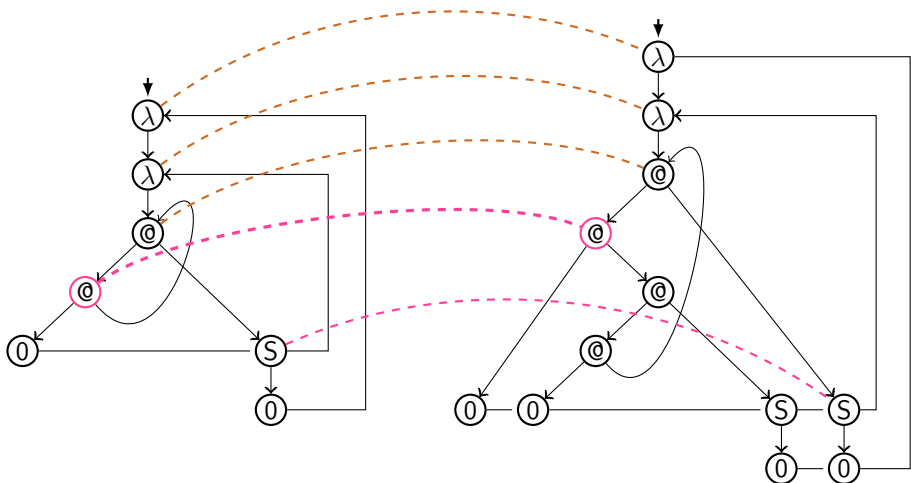
bisimulation check between λ -term-graphs



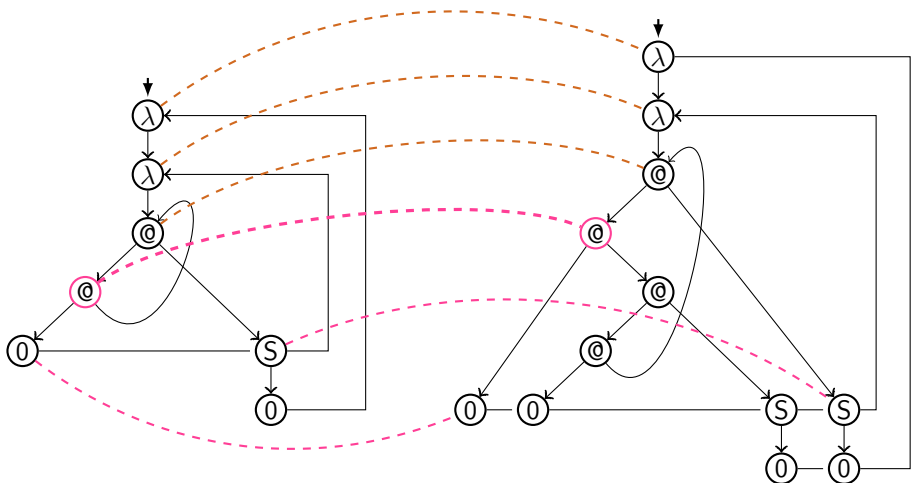
$$[[L_0]]_{\mathcal{T}}$$

$$[[L]]_{\mathcal{T}}$$

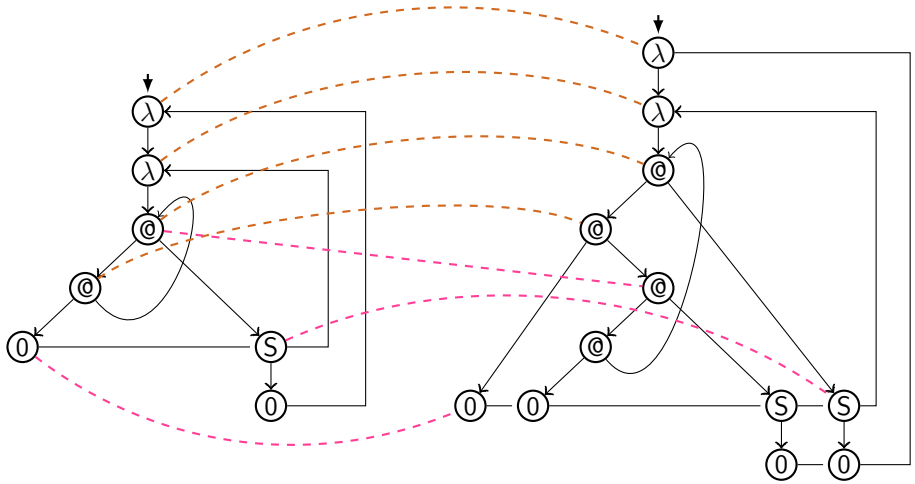
bisimulation check between λ -term-graphs


 $[L_0]_{\mathcal{T}}$
 $[L]_{\mathcal{T}}$

bisimulation check between λ -term-graphs

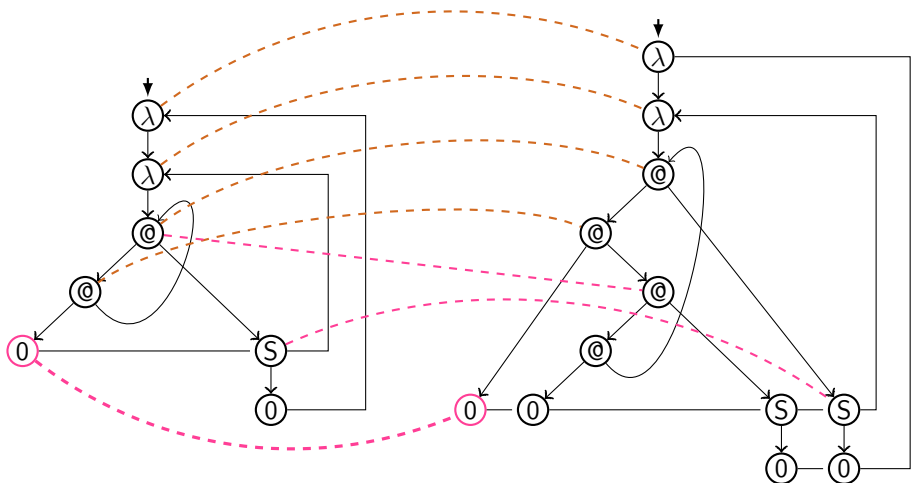

 $\llbracket L_0 \rrbracket_{\mathcal{T}}$
 $\llbracket L \rrbracket_{\mathcal{T}}$

bisimulation check between λ -term-graphs

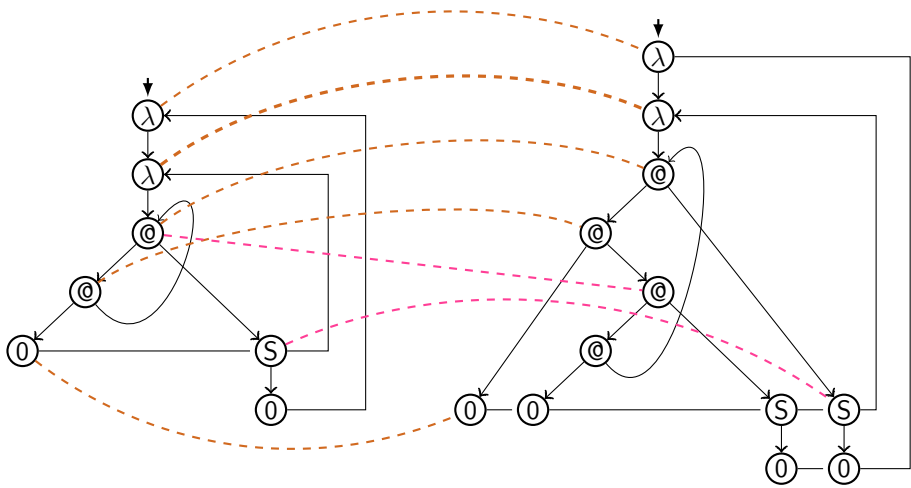


$[[L_0]]_{\mathcal{T}}$

$[[L]]_{\mathcal{T}}$

bisimulation check between λ -term-graphs $[[L_0]]_{\mathcal{T}}$ $[[L]]_{\mathcal{T}}$

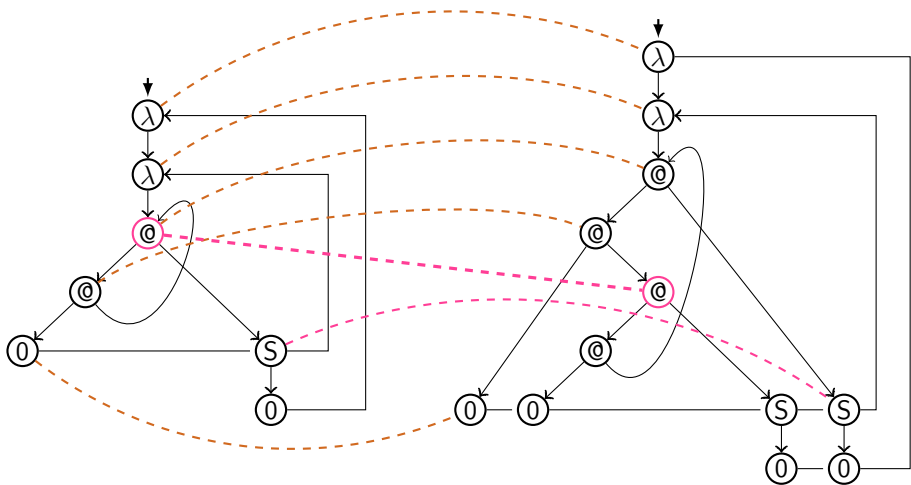
bisimulation check between λ -term-graphs



$[L_0]_{\mathcal{T}}$

$[L]_{\mathcal{T}}$

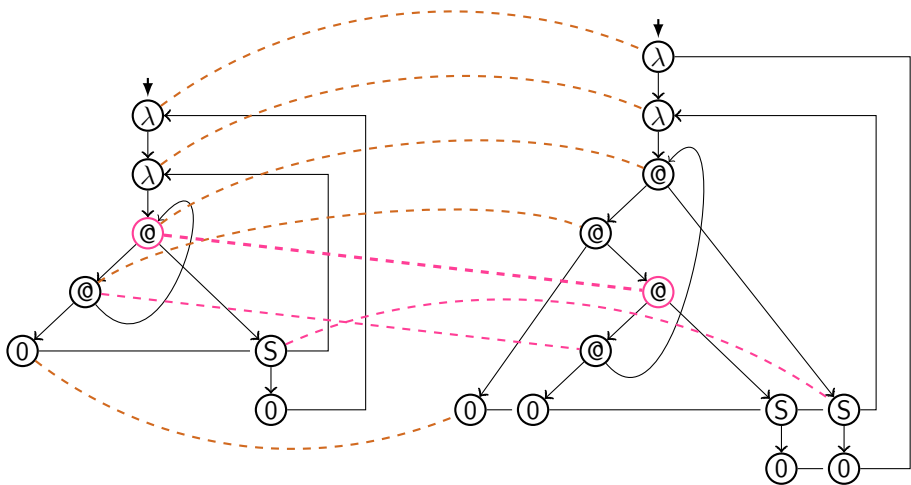
bisimulation check between λ -term-graphs



$[[L_0]]_{\mathcal{T}}$

$[[L]]_{\mathcal{T}}$

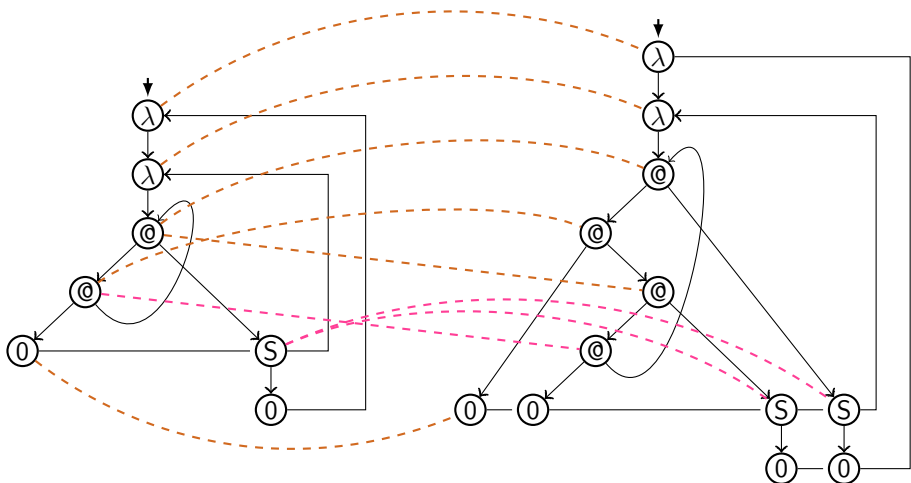
bisimulation check between λ -term-graphs



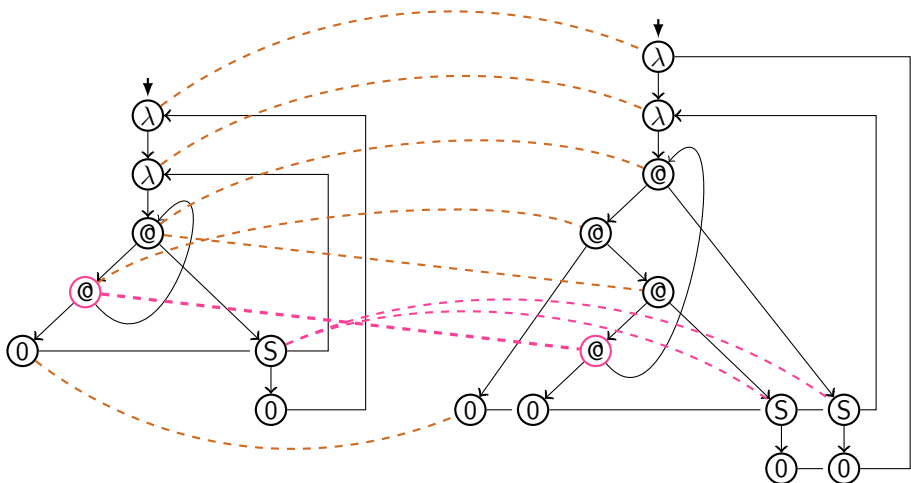
$\llbracket L_0 \rrbracket_{\mathcal{T}}$

$\llbracket L \rrbracket_{\mathcal{T}}$

bisimulation check between λ -term-graphs


 $[[L_0]]_{\mathcal{T}}$
 $[[L]]_{\mathcal{T}}$

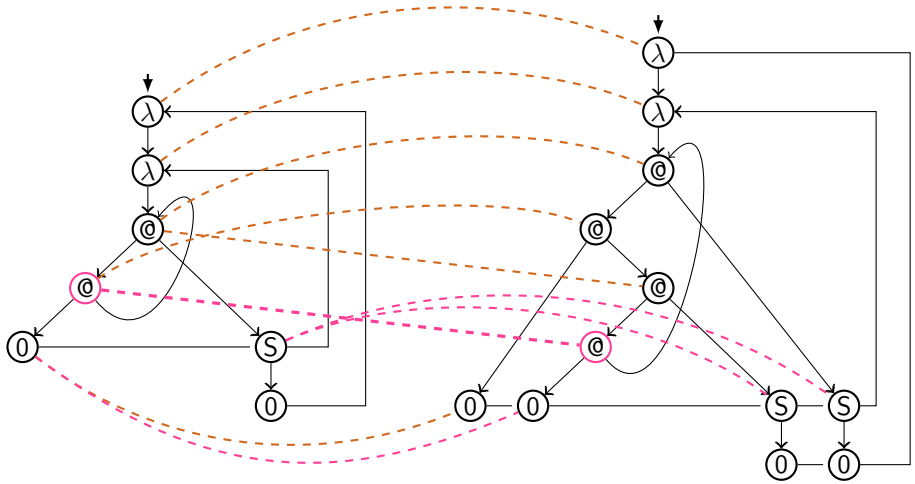
bisimulation check between λ -term-graphs



$[[L_0]]_{\mathcal{T}}$

$[[L]]_{\mathcal{T}}$

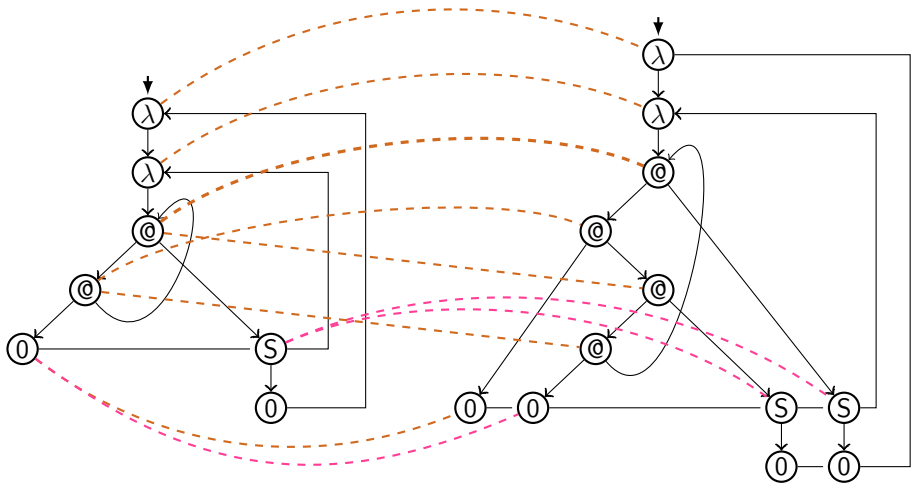
bisimulation check between λ -term-graphs



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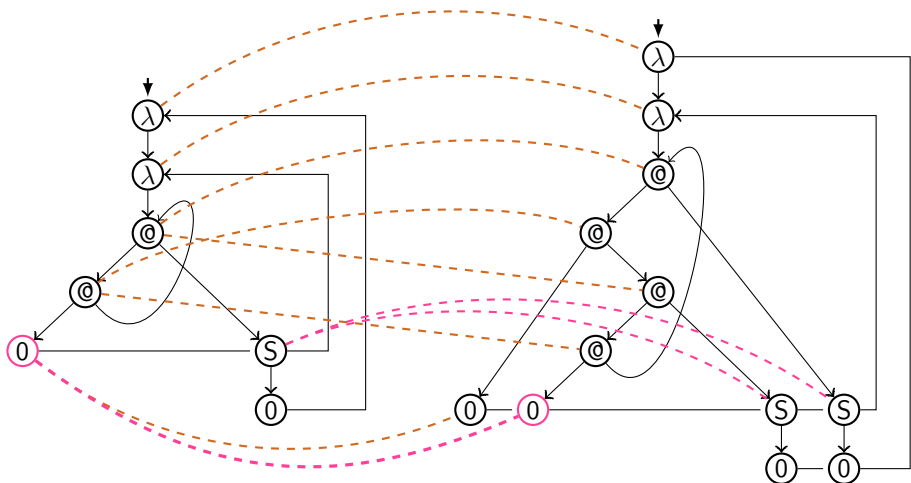
bisimulation check between λ -term-graphs



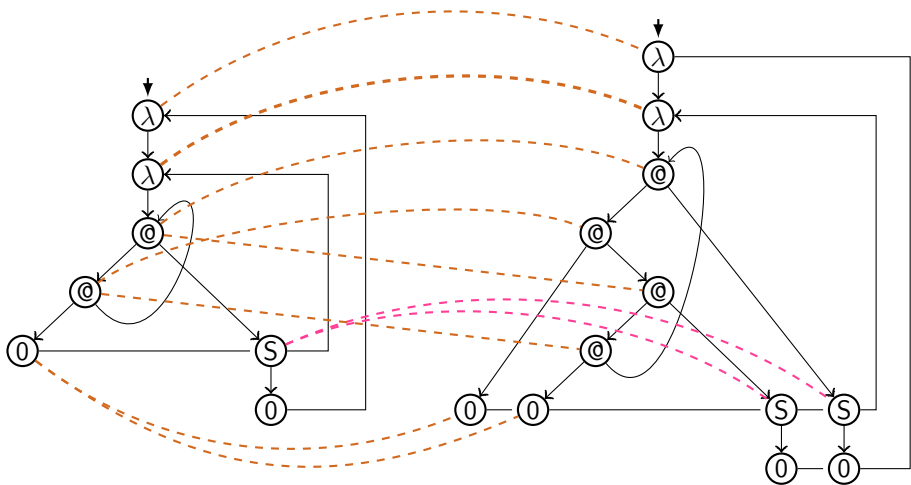
$\llbracket L_0 \rrbracket_{\mathcal{T}}$

$\llbracket L \rrbracket_{\mathcal{T}}$

bisimulation check between λ -term-graphs


 $[L_0]_{\mathcal{T}}$
 $[L]_{\mathcal{T}}$

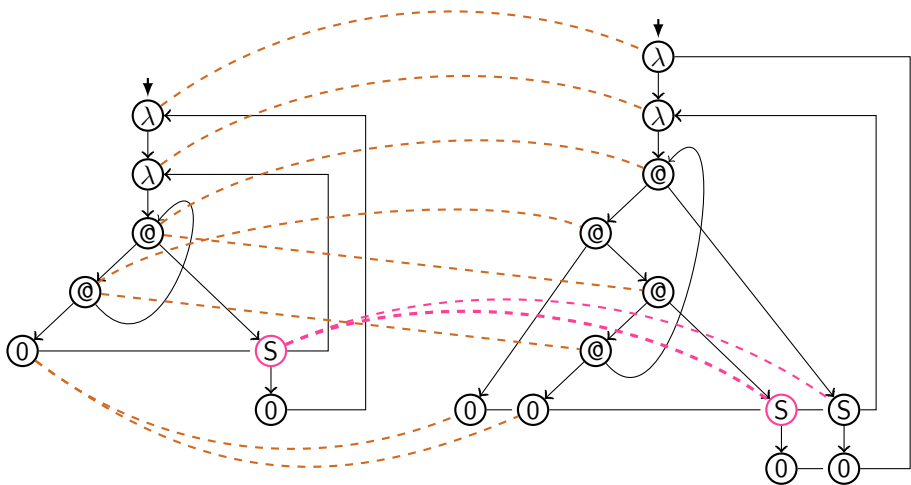
bisimulation check between λ -term-graphs



$\llbracket L_0 \rrbracket_{\mathcal{T}}$

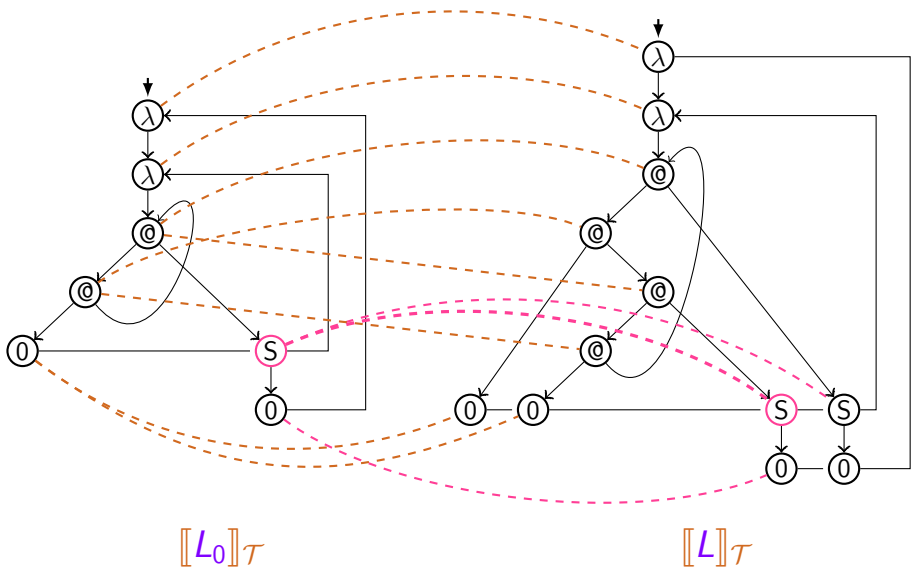
$\llbracket L \rrbracket_{\mathcal{T}}$

bisimulation check between λ -term-graphs

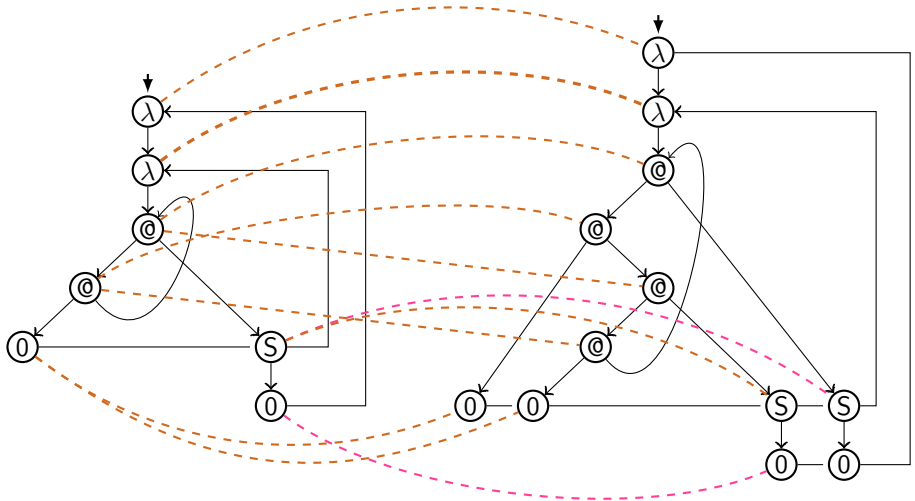


$[L_0]_{\mathcal{T}}$

$[L]_{\mathcal{T}}$

bisimulation check between λ -term-graphs

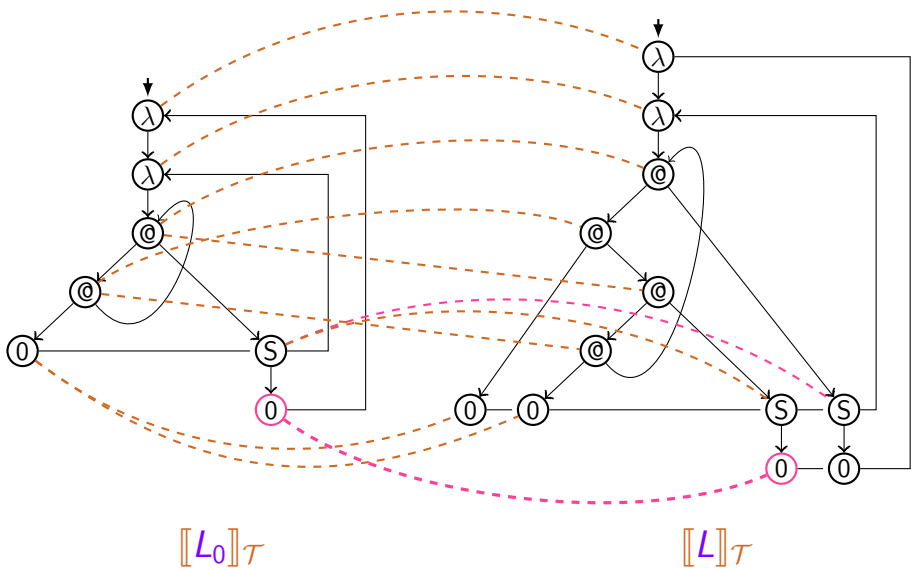
bisimulation check between λ -term-graphs



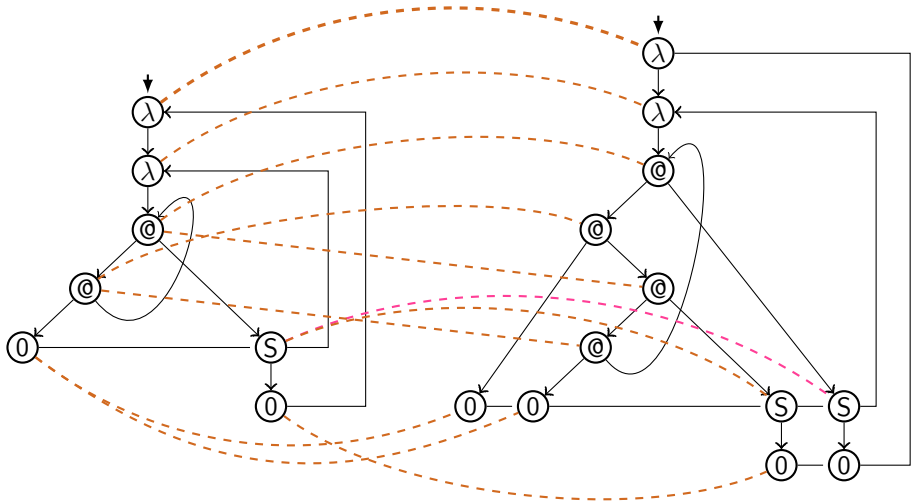
$[L_0]_{\mathcal{T}}$

$[L]_{\mathcal{T}}$

bisimulation check between λ -term-graphs



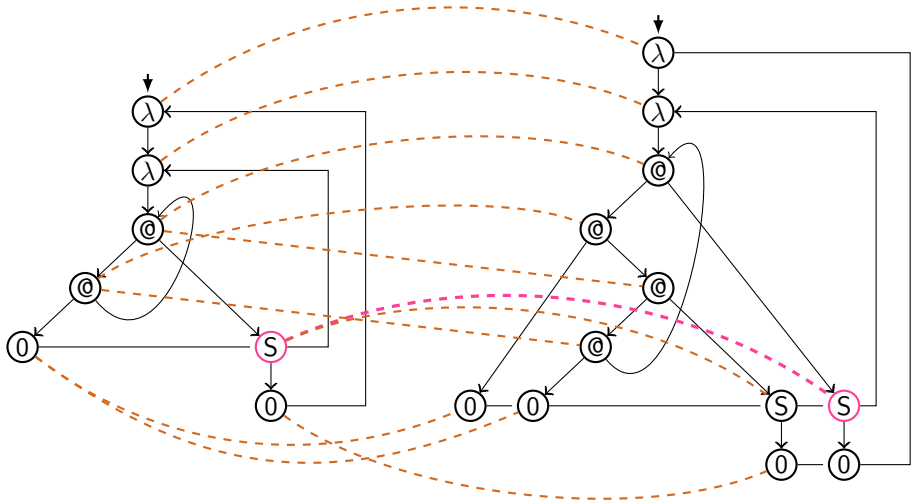
bisimulation check between λ -term-graphs



$[L_0]_{\mathcal{T}}$

$[L]_{\mathcal{T}}$

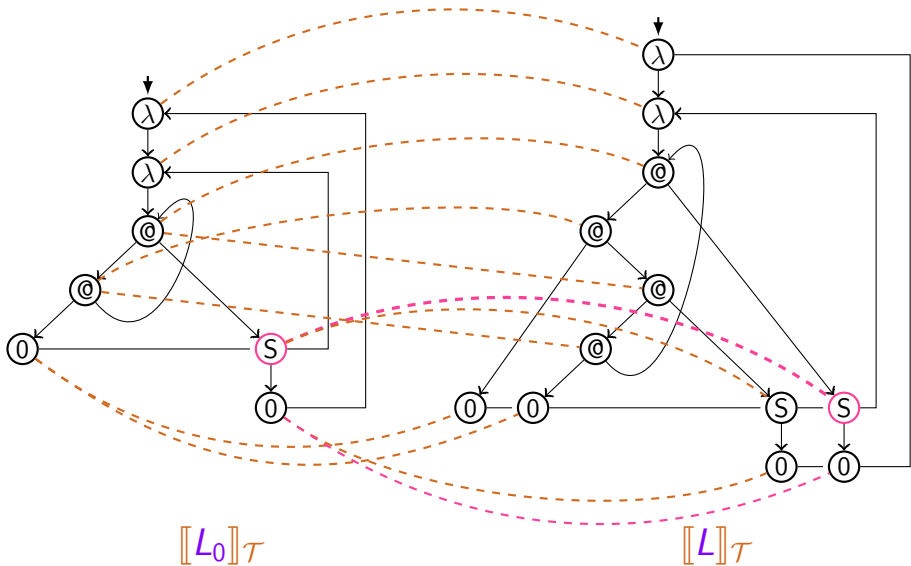
bisimulation check between λ -term-graphs



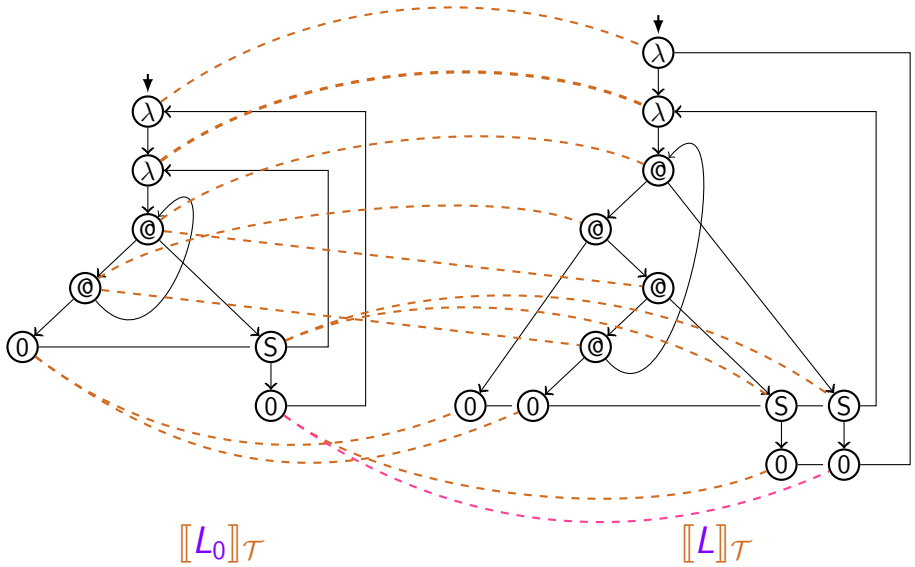
$\llbracket L_0 \rrbracket_{\mathcal{T}}$

$\llbracket L \rrbracket_{\mathcal{T}}$

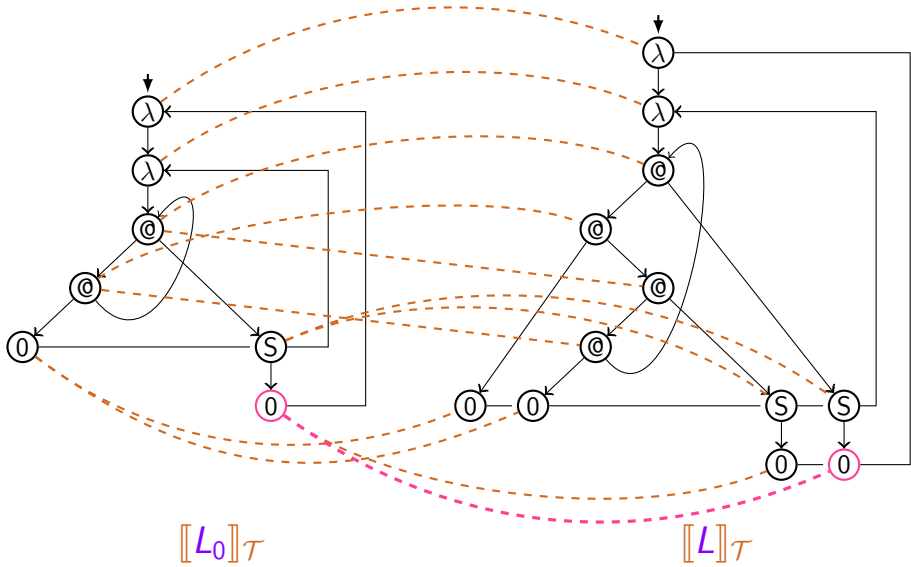
bisimulation check between λ -term-graphs



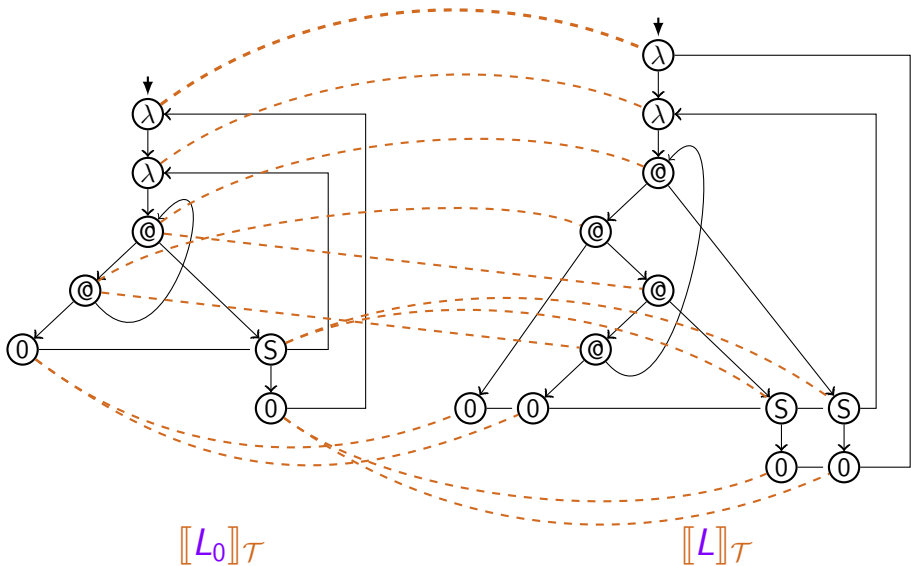
bisimulation check between λ -term-graphs



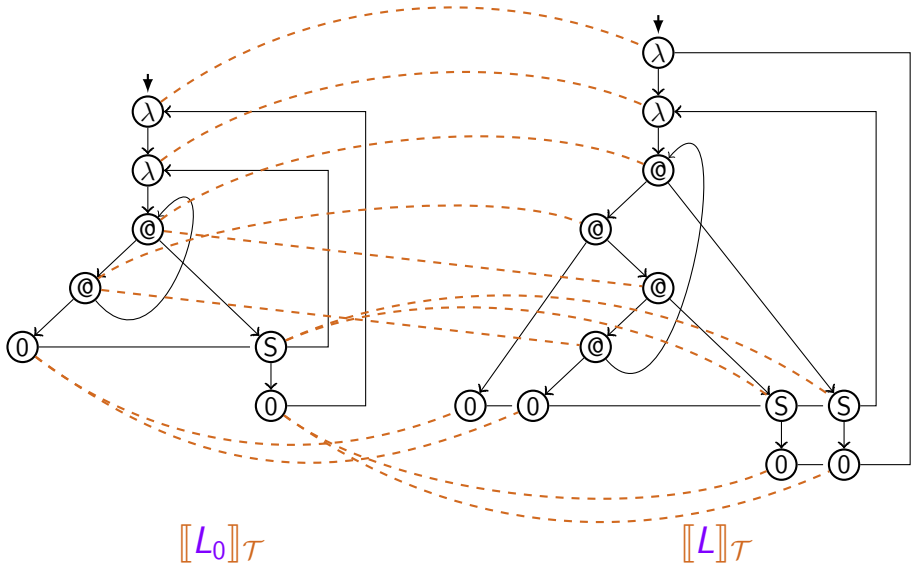
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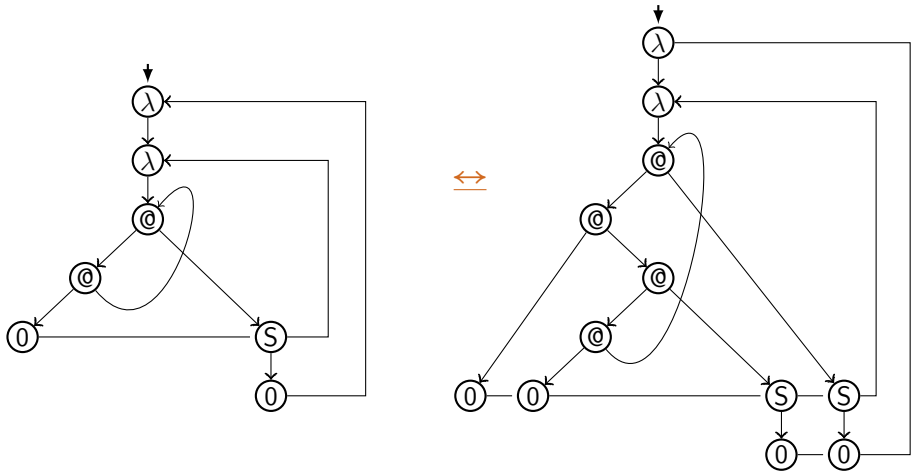
bisimulation check between λ -term-graphs



bisimulation between λ -term-graphs



bisimilarity between λ -term-graphs

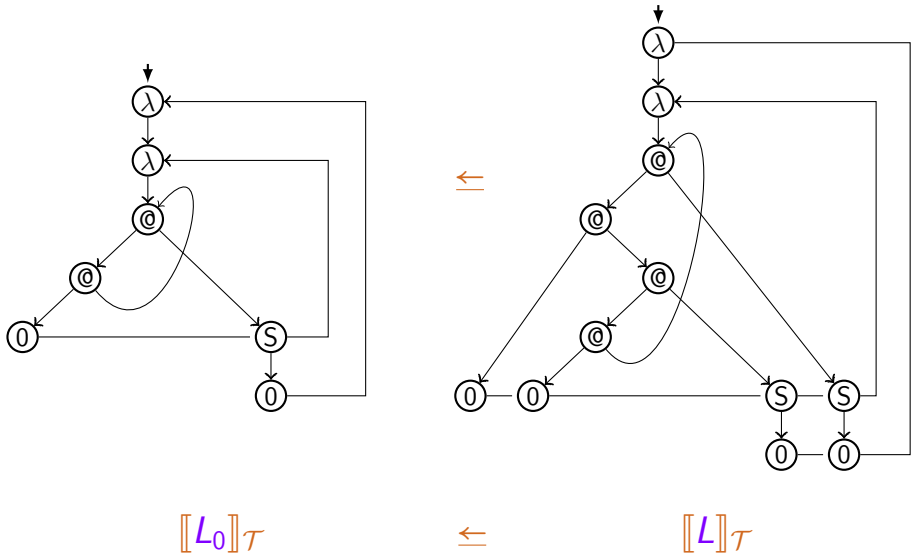


$[[L_0]]_{\mathcal{T}}$

\Leftrightarrow

$[[L]]_{\mathcal{T}}$

functional bisimilarity and bisimulation collapse



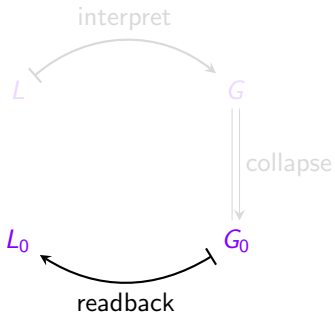
bisimulation collapse: property

Theorem

The class of *eager-scope λ -term-graphs*
is closed under *functional bisimilarity* \Rightarrow .

\Rightarrow For a λ_{letrec} -term L
the *bisimulation collapse* of $\llbracket L \rrbracket_{\mathcal{T}}$ is again an *eager-scope λ -term-graph*.

readback



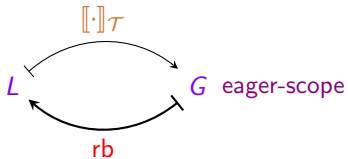
readback

defined with property:



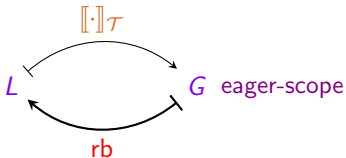
readback

defined with property:



readback

defined with property:



Theorem

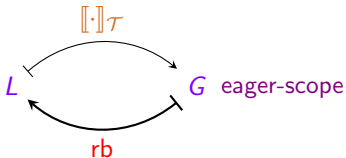
For all *eager-scope* λ -term-graphs G :

$$([[\cdot]]_{\mathcal{T}} \circ rb)(G) \simeq G$$

The readback rb is a right-inverse of $[[\cdot]]_{\mathcal{T}}$ modulo isomorphism \simeq .

readback

defined with property:



Theorem

For all *eager-scope* λ -term-graphs G :

$$([[\cdot]]_{\mathcal{T}} \circ rb)(G) \simeq G$$

The readback rb is a right-inverse of $[[\cdot]]_{\mathcal{T}}$ modulo isomorphism \simeq .

main idea:

1. construct a spanning tree T of G
2. using local rules, in a bottom-up traversal of T synthesize $L = rb(G)$

implementation

- ▶ tool **maxsharing** on `hackage.haskell.org`
 - ▶ uses Utrecht University Attribute Grammar Compiler (UUAGC)
- ▶ examples and explanation
 - ▶ in accompanying report

Demo: console output

```
jan:~/papers/maxsharing-ICFP/talks/ICFP-2014> maxsharing running.l
```

```
λ-letrec-term:
```

```
λx. λf. let r = f (f r x) x in r
```

```
derivation:
```

```

----- 0
(x f[r]) f   (x f[r]) r   (x) x
----- @
(x f[r]) f r   (x f[r]) x
----- 0
(x f[r]) f   (x f[r]) f r x   (x) x
----- @
(x f[r]) f (f r x)   (x f[r]) x
----- @
(x f[r]) f (f r x) x   (x f[r]) r
----- let
(x f) let r = f (f r x) x in r
----- λ
(x) λf. let r = f (f r x) x in r
----- λ
() λx. λf. let r = f (f r x) x in r

```

```
writing DFA to file: running-dfa.pdf
```

```
readback of DFA:
```

```
λx. λy. let F = y (y F x) x in F
```

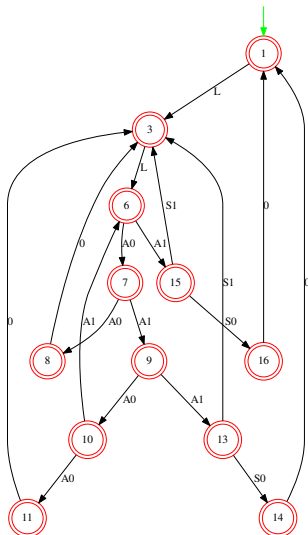
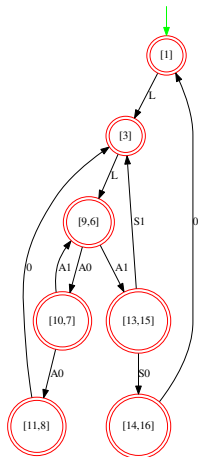
```
writing minimised DFA to file: running-mindfa.pdf
```

```
readback of minimised DFA:
```

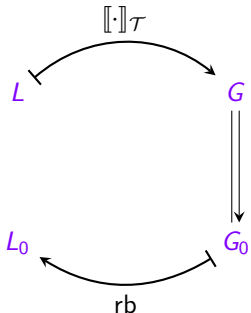
```
λx. λy. let F = y F x in F
```

```
jan:~/papers/maxsharing-ICFP/talks/ICFP-2014> █
```

Demo: generated DFAs

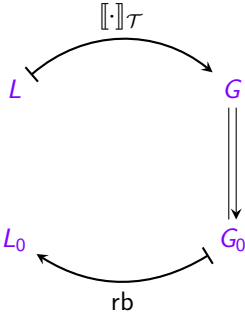


maximal sharing: complexity



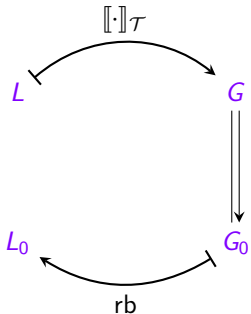
1. interpretation
of λ_{letrec} -term L
as λ -term-graph $G = [[L]]_{\mathcal{T}}$
2. bisimulation collapse \Downarrow
of f-o term graph G into G_0
3. readback rb
of f-o term graph G_0
yielding λ_{letrec} -term $L_0 = rb(G_0)$.

maximal sharing: complexity



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maximal sharing: complexity



1. interpretation

of λ_{letrec} -term L with $|L| = n$

as λ -term-graph $G = [[L]]_{\mathcal{T}}$

▶ in time $O(n^2)$, size $|G| \in O(n^2)$.

2. bisimulation collapse \Downarrow

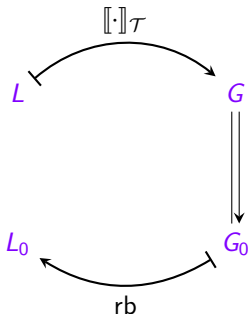
of f-o term graph G into G_0

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of f-o term graph G_0

yielding λ_{letrec} -term $L_0 = rb(G_0)$.

maximal sharing: complexity



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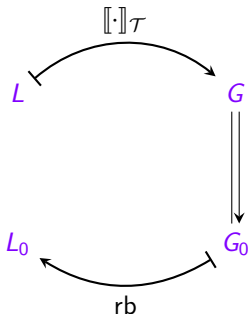
▶ in time $O(|G| \log |G|) = O(n^2 \log n)$

3. readback rb

of f-o term graph G_0

yielding λ_{letrec} -term $L_0 = rb(G_0)$.

maximal sharing: complexity



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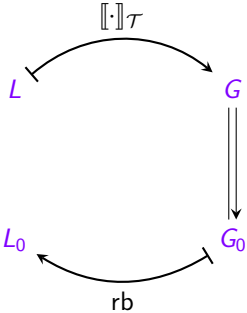
3. readback rb

of f-o term graph G_0

yielding λ_{letrec} -term $L_0 = rb(G_0)$.

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maximal sharing: complexity

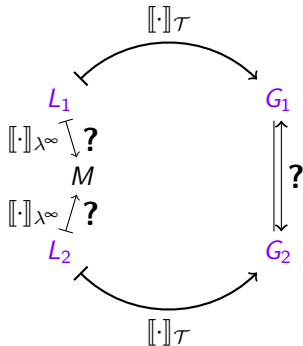


1. interpretation
 - of λ_{letrec} -term L with $|L| = n$
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 - ▶ in time $O(n^2)$, size $|G| \in O(n^2)$.
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3. readback rb
 - of f-o term graph G_0
 - yielding λ_{letrec} -term $L_0 = \text{rb}(G_0)$.
 - ▶ in time $O(|G| \log |G|) = O(n^2 \log n)$

Theorem

Computing a maximally compact form $L_0 = (\text{rb} \circ \Downarrow \circ \llbracket \cdot \rrbracket_{\mathcal{T}})(L)$ of L for a λ_{letrec} -term L requires time $O(n^2 \log n)$, where $|L| = n$.

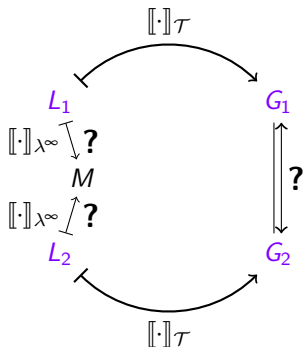
unfolding equivalence: complexity



1. interpretation
 of λ_{letrec} -term L_1, L_2

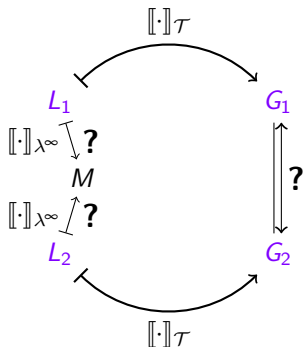
 as λ -term-graphs $G_1 = [[L_1]]_{\mathcal{T}}$ and $G_2 = [[L_2]]_{\mathcal{T}}$
2. check bisimilarity
 of λ -term-graphs G_1 and G_2

unfolding equivalence: complexity



- interpretation
of λ_{letrec} -term L_1, L_2 with
 $n = \max\{|L_1|, |L_2|\}$
as λ -term-graphs $G_1 = [[L_1]]_{\mathcal{T}}$ and $G_2 = [[L_2]]_{\mathcal{T}}$
▶ in time $O(n^2)$, sizes $|G_1|, |G_2| \in O(n^2)$.
- check bisimilarity
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unfolding equivalence: complexity



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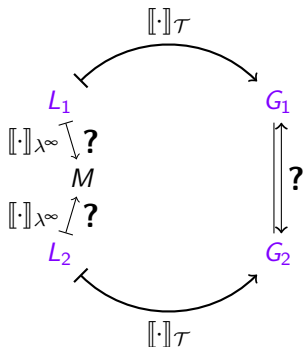
▶ in time $O(n^2)$, sizes $|G_1|, |G_2| \in O(n^2)$.

2. check bisimilarity

of λ -term-graphs G_1 and G_2

▶ in time $O(|G_i| \alpha(|G_i|)) = O(n^2 \alpha(n))$

unfolding equivalence: complexity



1. interpretation

of λ_{letrec} -term L_1, L_2 with

$$n = \max \{|L_1|, |L_2|\}$$

as λ -term-graphs $G_1 = \llbracket L_1 \rrbracket_{\mathcal{T}}$ and $G_2 = \llbracket L_2 \rrbracket_{\mathcal{T}}$

▶ in time $O(n^2)$, sizes $|G_1|, |G_2| \in O(n^2)$.

2. check bisimilarity

of λ -term-graphs G_1 and G_2

▶ in time $O(|G_i| \alpha(|G_i|)) = O(n^2 \alpha(n))$

Theorem

Deciding whether λ_{letrec} -terms L_1 and L_2 are unfolding-equivalent requires **almost quadratic time** $O(n^2 \alpha(n))$ for $n = \max \{|L_1|, |L_2|\}$.

extensions

- ▶ support for full functional languages
 - ▶ work on a Core language with constructors, case statements
 - ▶ model these by enriching λ_{letrec} with function symbols
 - ▶ adapt our method to this λ_{letrec} -extension
- ▶ prevent space leaks caused by disadvantageous sharing
 - ▶ identify 'sharing-unfit' positions/vertices
 - ▶ modify λ -term-graph interpretation
in order to constrain the bisimulation collapse

applications

- ▶ maximal sharing at run-time
 - ▶ repeatedly compactify at run-time
 - ▶ possible directly on supercombinator graphs
 - ▶ can be coupled with garbage collection
- ▶ code improvement
 - ▶ detect code duplication
 - ▶ provide guidance on how to obtain a more compact form
- ▶ function equivalence
 - ▶ detecting unfolding equivalence provides partial solution
 - ▶ relevant for proof assistants, theorem provers, dependently-typed programming languages