



# On Equal $\mu$ -Terms

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# Overview

1. Weak  $\mu$ -equality
2. Avoiding  $\alpha$ -conversion in  $\mu$ -reductions
3. Decidability of  $=_{\mu/\alpha}$  by a first-order proof
4. Decidability of  $=_{\mu/\alpha}$  by a higher-order proof
5. Decidability of  $=_{\mu/\alpha}$  using regular languages
6. Summary

# Finite representation of infinite pattern



finite representation?

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finite representation?

$$\mu X. \cup X$$

# Finite representation of infinite pattern



finite representation?

$$\mu X. \bigcup X$$

with  $\mu$ -rule

$$\mu X. S \rightarrow S[X := \mu X. S]$$

# Finite representation of infinite pattern



finite representation?

$$\mu X. \lambda X$$

with  $\mu$ -rule

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$$\mu X. \lambda X \rightarrow \lambda \mu X. \lambda X \rightarrow \lambda \lambda \mu X. \lambda X \rightarrow \lambda \lambda \lambda \mu X. \lambda X \rightarrow \dots$$

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with  $\mu$ -rule

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$$\mu X. \cup X \rightarrow \cup \mu X. \cup X \rightarrow \cup \cup \mu X. \cup X \rightarrow \cup \cup \cup \mu X. \cup X \rightarrow \dots$$

hieroglyph   $\Rightarrow$  phoenician  $\text{M}^{\sim}$   $\Rightarrow$  greek  $\mu$



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represented by

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other representations of same pattern?

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$$\mu x'. \cup x'$$

$$\cap \mu y. \cup y$$

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$$\mu x'. \lambda x'$$

$$\lambda \mu y. \lambda y$$

$$\lambda \mu z. \lambda z$$

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other representations of same pattern?

$$\mu x'. \cup x'$$

$$\cap \mu y. \cup y$$

$$\cup \mu z. \cup z$$

$$\mu w. \cup \cup w$$

when are two representations the same (finitely)?

# Weak $\mu$ -equality

- ▶ Weak  $\mu$ -equality on  $\mu$ -terms:

$$=_{\mu} := (\leftarrow_{\mu} \cup \rightarrow_{\mu})^*$$

(convertibility with respect to  $\rightarrow_{\mu}$ ).

- ▶ Weak  $\mu$ -equality on  $\mu$ -pseudoterms:

$$=_{\mu/\alpha} := (\leftarrow_{\mu/\alpha} \cup \rightarrow_{\mu/\alpha})^* \cup =_{\alpha}$$

(convertibility with respect to  $\rightarrow_{\mu/\alpha} := =_{\alpha} \cdot \rightarrow_{\mu} \cdot =_{\alpha}$ ).

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## Proposition

For all  $M, N \in \text{Ter}(\mu)$  and  $s, t \in \text{PTer}(\mu)$ :

$$s =_{\mu/\alpha} t \iff [s] =_{\mu} [t]$$



# $\mu$ -pseudoterms, $\mu$ -terms

Inductive definition of the set  $PTer(\mu)$  of  $\mu$ -pseudoterms:

- (i)  $x, y, z, \dots \in PTer(\mu)$  (variables);
- (ii)  $c, d, e, \dots \in PTer(\mu)$  (constants);
- (iii)  $s, t \in PTer(\mu) \implies F(s, t) \in PTer(\mu)$ ;
- (iv)  $s \in PTer(\mu)$  and  $x$  a variable  $\implies \mu x.s \in PTer(\mu)$ .

Notation:

- ▶  $s \rightarrow_{\alpha} t$  for  $\alpha$ -renaming, and  $s =_{\alpha} t$  for  $\alpha$ -equivalence induced by  $\alpha$ -conversion  $=_{\alpha} := (\leftarrow_{\alpha} \cup \rightarrow_{\alpha})^*$ .
- ▶  $s[x := t]$  for  $\alpha$ -converting substitution à la Curry.

The set  $Ter(\mu)$  of  $\mu$ -terms consists of  $\alpha$ -equivalence classes of  $\mu$ -pseudoterms.

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- ▶  $\mu$ -reduction  $\mu x.s \rightarrow s[x := \mu x.s]$  confluent but not terminating

$$\mu x.F(c, x) \rightarrow F(c, \mu x.F(c, x)) \rightarrow F(c, F(c, \mu x.F(c, x))) \rightarrow \dots$$

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- ▶  $\mu$ -expansion  $s[X := \mu X.s] \rightarrow \mu X.s$  terminating but not confluent

$$\not\rightarrow F(M, M) \rightarrow N \leftarrow \mu X.F(M, F(c, X)) \not\leftarrow$$

for

$$M = \mu Y.F(c, \mu X.F(Y, F(c, X)))$$

$$N = F(M, F(c, \mu X.F(M, F(c, X))))$$

How to overcome?

# Deciding weak $\mu$ -equality by rewriting!

$\mu$ -reduction non-terminating but **active part repeats**

$$\mu x.F(c, x) \rightarrow F(c, \mu x.F(c, x)) \rightarrow F(c, F(c, \mu x.F(c, x))) \rightarrow \dots$$

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Active part and repetition intuitions formalised in rest of talk

- ▶ Clemens: proof system
- ▶ Jörg: automata

Allows to bound the search space (loop checking).

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Active part and repetition intuitions formalised in rest of talk

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Allows to bound the search space (loop checking).

Problem dealt with now: dealing with  $\alpha$ -equivalence

$$\mu x.F(c, x) \rightarrow F(c, \mu y.F(c, y)) \rightarrow F(c, F(c, \mu z.F(c, z))) \rightarrow \dots$$

Repetition?

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# $\alpha$ -conversion unavoidable in $\lambda$ -calculus

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- ▶ first step: non-linear (duplicating)
- ▶ second step: non-development (redex was created by first)
- ▶ third step: non-weak (redex below  $\lambda$ )

$\alpha$ -conversion **can** be avoided if one of these does hold.

# Safe reduction

term is **safe** if  $\alpha$ -free substitution  $s[x := t]$  correct during reduction

## Definition ( $\alpha$ -free substitution)

- ▶  $x[x := t] = t$
- ▶  $y[x := t] = y$
- ▶  $(F(s, s'))[x := t] = F(s[x := t], s'[x := t])$
- ▶  $(\mu x.s)[x := t] = \mu x.s$
- ▶  $(\mu y.s)[x := t] = \mu y.s[x := t]$

# Unsafe $\mu$ -terms

Is the following term safe?

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but can be  $\alpha$ -converted to safe  $\mu$ -term

$$\mu x. F(y, \mu z. x)$$

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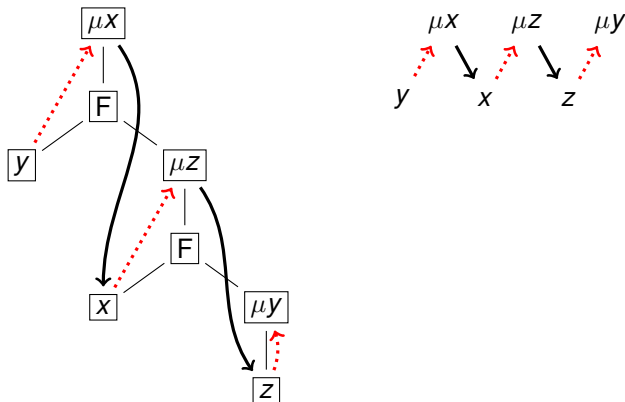
$$\mu x.F(y, \mu z.x)$$

$$\rightarrow F(y, \mu z.\mu x.F(y, \mu z.x))$$

$$\rightarrow \dots$$

can this always be done?

# Analysis of problem: self-capturing chains



A self-capturing chain of length 5 for the term  $\mu X.F(y, \mu Z.F(x, \mu Y.Z))$ .

# Self-capture-freeness guarantees safety

## Definition

Term is **self-capture-free** if no self-capturing chains

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*If  $s \rightarrow t$  and  $s$  self-capture-free then  $t$  self-capture-free.*

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Term is **self-capture-free** if no self-capturing chains

## Theorem (Preservation of Self-capture-freeness)

*If  $s \rightarrow t$  and  $s$  self-capture-free then  $t$  self-capture-free.*

## Theorem (Self-capture-free $\alpha$ -conversion)

*Every term can be  $\alpha$ -converted to a self-capture-free term.*

## Proof.

Choose all bound-variables distinct and distinct from free ones. □

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# Decision problem for weak $\mu$ -equality

We address:

**WEAK  $\mu$ -EQUALITY PROBLEM**

*Instance:*  $\mu$ -terms  $M, N$

*Question:* Does  $M =_{\mu} N$  hold?

and its ‘first-order’ version:

**WEAK  $\mu$ -EQUALITY PROBLEM on  $\mu$ -pseudoterms**

*Instance:*  $\mu$ -pseudoterms  $s, t$

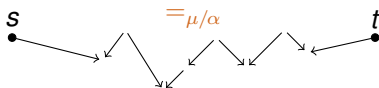
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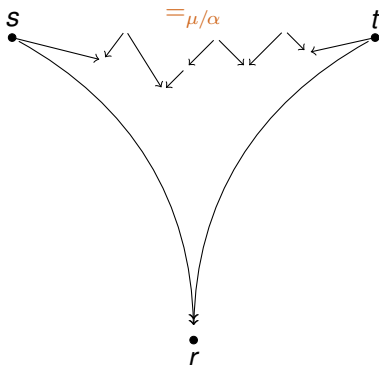
# Structure of the first-order proof

$$\begin{array}{c} s \\ \bullet \end{array} \quad =_{\mu/\alpha} \quad \begin{array}{c} t \\ \bullet \end{array}$$

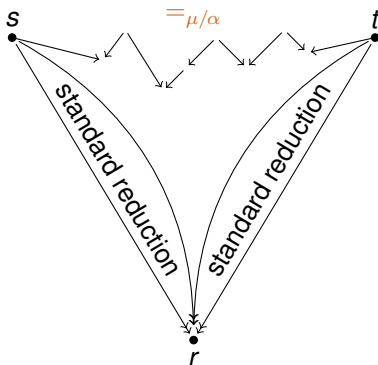
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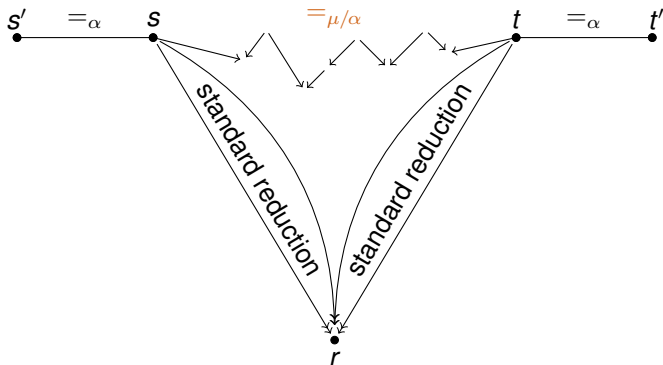
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capture-avoiding

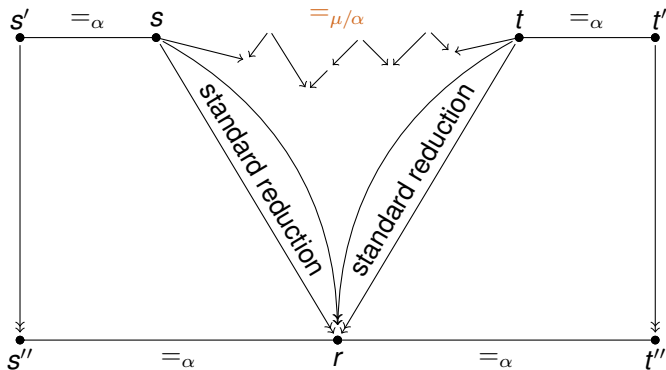
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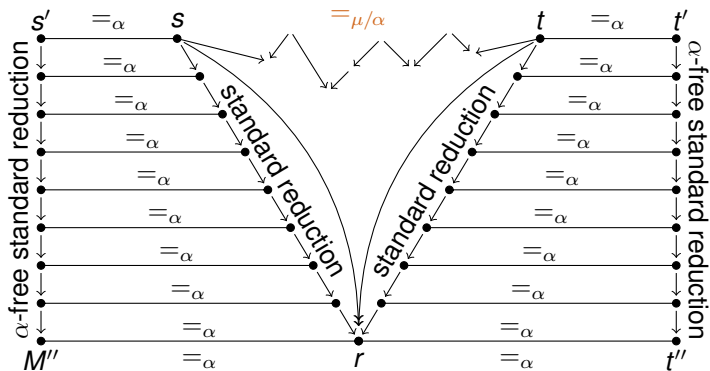
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# Structure of the first-order proof

Thus:

- ▶ the weak  $\mu$ -equality problem for  $\mu$ -terms

can be reduced to:

JOINABILITY PROBLEM UP TO  $=_{\alpha}$  FOR  $\rightarrow_{\mu}$  on  
capture-avoiding  $\mu$ -pseudoterms

▶

*Instance:* capture avoiding  $\mu$ -pseudoterms  $s, t$

*Question:* Are there  $s', t'$  with  $s \rightarrow_{\text{std}} s' =_{\alpha} t' \leftarrow_{\text{std}} t$  ?



# Structure of the first-order proof

Thus:

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*Instance:* capture avoiding  $\mu$ -pseudoterms  $s, t$

*Question:* Are there  $s', t'$  with  $s \twoheadrightarrow_{\text{std}} s' =_{\alpha} t' \longleftarrow_{\text{std}} t$  ?

**Further proof strategy.** Obtain a proof system  $\mathcal{S}$  such that:

- (1)  $\mathcal{S}$  is complete for  $\rightarrow_{\mu}$ -joinability up to  $=_{\alpha}$  on capture-avoiding  $\mu$ -pseudoterms.
- (2) the search-space for **irredundant derivations** in  $\mathcal{S}$  is always finite.

# Complete proof system (I) for $=_{\mu/\alpha}$ on $\mu$ -pseudoterms

$$\frac{(\mu\text{-unfolding})}{\mu X.S = S[X := \mu X.S]}$$

$$\frac{(\alpha\text{-renaming})}{\mu X.S = \mu Y.S[X := Y]}$$

$$\frac{(\text{REFL})}{S = S}$$

$$\frac{S = T}{T = S} \text{ SYMM}$$

$$\frac{S = R \quad R = T}{S = T} \text{ TRANS}$$

$$\frac{S = T}{\mu X.S = \mu X.T} \mu\text{-COMPAT}$$

$$\frac{S_1 = T_1 \quad S_2 = T_2}{F(S_1, S_2) = F(T_1, T_2)} \text{ F-COMPAT}$$

- ▶ extension of a complete proof system for  $=_{\alpha}$  (i.e.  $\rightarrow_{\alpha}$ -conversion)
- ▶ derivations correspond to  $\rightarrow_{\mu/\alpha}$ -conversions

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- ▶ extension of a complete proof system for  $=_{\alpha}$  (i.e.  $\rightarrow_{\alpha}$ -conversion)
- ▶ derivations correspond to  $\rightarrow_{\mu/\alpha}$ -conversions
- ▶ *Disadvantages:*
  - ▶ complex search space for proofs (**no** subformula property)
  - ▶ does not directly give rise to a decision method

# Example

$$\mu X_3 X_2 X_1 . X_2 =_{\mu/\alpha} \mu YZ . Y$$

holds because of:

$$\mu X_3 X_2 X_1 . X_2 \rightarrow_{\mu} \mu X_2 X_1 . X_2 \rightarrow_{\mu} \mu X_2 . X_2 =_{\alpha} \mu Y . Y \leftarrow_{\mu} \mu YZ . Y$$

which gives rise to the derivation:

$$\frac{\frac{\frac{\mu X_3 X_2 X_1 . X_2 = \mu X_2 X_1 . X_2}{(\mu\text{-unfolding})} \quad \frac{\frac{\mu X_1 . X_2 = X_2}{(\mu\text{-unfolding})} \quad \mu \quad \frac{\mu X_2 X_1 . X_2 = \mu X_2 . X_2}{(\alpha\text{-renaming})} \quad \frac{\mu X_2 . X_2 = \mu Y . Y}{(\mu\text{-unfolding})}}{\mu X_3 X_2 X_1 . X_2 = \mu X_2 . X_2} \quad \frac{\mu X_2 . X_2 = \mu Y . Y}{\mu YZ . Y = \mu Y . Y}}{\text{TRANS} \quad \frac{\mu X_3 X_2 X_1 . X_2 = \mu Y . Y}{\mu Y . Y = \mu YZ . Y}} \quad \frac{\mu X_3 X_2 X_1 . X_2 = \mu Y . Y}{\mu X_3 X_2 X_1 . X_2 = \mu YZ . Y}}$$

# Complete proof system (II) for $=_{\mu/\alpha}$ on $\mu$ -pseudoterms

$$\frac{}{s = s} \text{ (if } s \text{ a variable or a constant)}$$

$$\frac{s[x := z] = t[y := z]}{\mu x. s = \mu y. t} \mu \text{ (} z \text{ fresh)}$$

$$\frac{s_1 = t_1 \quad s_2 = t_2}{F(s_1, s_2) = F(t_1, t_2)} \text{F-COMPAT}$$

$$\frac{s[x := \mu x. s] = t}{\mu x. s = t} \text{FOLD}_l$$

$$\frac{s = t[y := \mu y. t]}{s = \mu y. t} \text{FOLD}_r$$

- ▶ extension of **Schroer's characterisation of  $\rightarrow_\alpha$ -conversion**
- ▶ derivations can be obtained by **transitivity/symmetry-elimination** in derivations of the previous system.
- ▶ derivations correspond to  **$\rightarrow_{\mu/\alpha}$ -standard reductions**

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- ▶ extension of **Schroer's characterisation of  $\rightarrow_\alpha$ -conversion**
- ▶ derivations can be obtained by **transitivity/symmetry-elimination** in derivations of the previous system.
- ▶ derivations correspond to  **$\rightarrow_{\mu/\alpha}$ -standard reductions**
- ▶ **advantage:** (much more) restricted search space for derivations
- ▶ certain **disadvantage:** capture of free variables in  $\mu$ -applications

# Example

## Proof System (II)

$$\frac{\frac{\frac{}{U = U} \text{ FOLD}_r}{U = \mu Z.U} \text{ FOLD}_l}{\mu X_1.U = \mu Z.U} \mu}{\frac{\mu X_2 X_1.X_2 = \mu Y Z.Y}{\mu X_3 X_2 X_1.X_2 = \mu Y Z.Y} \text{ FOLD}_l}$$

# Example

## Proof System (II)

$$\frac{\frac{\frac{}{u = u} \text{ FOLD}_r}{u = \mu Z. u} \text{ FOLD}_l}{\mu X_1. u = \mu Z. u} \mu}{\mu X_2 X_1. X_2 = \mu Y Z. Y} \text{ FOLD}_l}{\mu X_3 X_2 X_1. X_2 = \mu Y Z. Y}$$

## Proof System (III)

$$\frac{\frac{\frac{}{x_2 = y \vdash x_2 = y} \text{ FOLD}_r}{x_2 = y \vdash x_2 = \mu Z. y} \text{ FOLD}_l}{x_2 = y \vdash \mu X_1. X_2 = \mu Z. Y} \mu}{\vdash \mu X_2 X_1. X_2 = \mu Y Z. Y} \text{ FOLD}_l}{\vdash \mu X_3 X_2 X_1. X_2 = \mu Y Z. Y}$$



# Complete proof system (III) for $=_{\mu/\alpha}$ on $\mu$ -pseudoterms

$$\frac{}{x = y \vdash x = y}$$

$$\frac{}{\vdash s = s} \text{ (restr-REFL) } \begin{array}{l} \text{(if } s \text{ a variable} \\ \text{or a constant)} \end{array}$$

$$\frac{\Gamma, \vec{z} = \vec{u} \vdash s = t}{\Gamma, x = y, \vec{z} = \vec{u} \vdash s = t} \text{ COMPR } \begin{array}{l} \text{(if } x \notin \text{FV}(\mu\vec{z}.s) \\ \text{and } y \notin \text{FV}(\mu\vec{u}.t)) \end{array}$$

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$$\frac{\Gamma \vdash s_1 = t_1 \quad \Gamma \vdash s_2 = t_2}{\Gamma \vdash F(s_1, s_2) = F(t_1, t_2)} F$$

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- ▶ extension of **Kahrs'** characterisation of  $\alpha$ -conversion
- ▶ der's obtainable by **trans./symm.-elim.** from der's in system (I)
- ▶ derivations correspond to  $\rightarrow_{\mu/\alpha}$ -**standard reductions**

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- ▶ der's obtainable by **trans./symm.-elim.** from der's in system (I)
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- ▶ **advantage:** restricted search space for derivations

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$$\frac{x = y \vdash x = y}{}$$

$$\frac{\vdash s = s}{(\text{restr-REFL})} \text{ (if } s \text{ a variable or a constant)}$$

$$\frac{\Gamma, x = y, \vec{z} = \vec{u} \vdash s = t}{\Gamma, \vec{z} = \vec{u} \vdash s = t} \text{ COMPR} \text{ (if } x \notin \text{FV}(\mu\vec{z}.s) \text{ and } y \notin \text{FV}(\mu\vec{u}.t))$$

$$\frac{\Gamma \vdash \mu x.s = \mu y.t}{\Gamma, x = y \vdash s = t} \mu$$

$$\frac{\Gamma \vdash F(s_1, s_2) = F(t_1, t_2)}{\Gamma \vdash s_1 = t_1 \quad \Gamma \vdash s_2 = t_2} F$$

$$\frac{\Gamma \vdash \mu x.s = t}{\Gamma \vdash s[x := \mu x.s] = t} \text{ UNFOLD}_l$$

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- ▶ extension of **Kahrs'** characterisation of  $\alpha$ -conversion
- ▶ der's obtainable by **trans./symm.-elim.** from der's in system (I)
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- ▶ **advantage:** restricted search space for derivations

# Complete proof system (III) for $=_{\mu/\alpha}$ on $\mu$ -pseudoterms

$$\frac{(\mu x)x = (\mu y)y}{}$$

$$\frac{()s = ()s}{(\text{restr-REFL})} \quad (\text{if } s \text{ a variable or a constant})$$

$$\frac{(\mu \vec{z}_1 x \vec{z}_2)s = (\mu \vec{u}_1 y \vec{u}_2)t}{(\mu \vec{z}_1 \vec{z}_2)s = (\mu \vec{u}_1) \vec{u}_2 t} \text{ COMPR} \quad (\text{if } |\vec{z}_2| = |\vec{u}_2|, x \notin \text{FV}(\mu \vec{z}_2.s) \text{ and } y \notin \text{FV}(\mu \vec{u}_2.t))$$

$$\frac{(\mu \vec{z})\mu x.s = (\mu \vec{u})\mu y.t}{(\mu \vec{z}x)s = (\mu \vec{u}y)t} \mu \quad \frac{(\mu \vec{z})F(s_1, s_2) = (\mu \vec{u})F(t_1, t_2)}{(\mu \vec{z})s_1 = (\mu \vec{u})t_1 \quad (\mu \vec{z})s_2 = (\mu \vec{u})t_2} F$$

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# Example

$$\begin{array}{c}
 \frac{}{\vdash \mu X_3 X_2 X_1 . X_2 = \mu Y Z . Y} \text{ UNFOLD}_l \\
 \frac{}{\vdash \mu X_2 X_1 . X_2 = \mu Y Z . Y} \text{ UNFOLD}_l \\
 \frac{}{X_2 = Y \vdash \mu X_1 . X_2 = \mu Z . Y} \mu \\
 \frac{}{X_2 = Y \vdash X_2 = \mu Z . Y} \text{ UNFOLD}_l \\
 \frac{}{X_2 = Y \vdash X_2 = Y} \text{ UNFOLD}_r
 \end{array}$$

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 \frac{() \mu X_3 X_2 X_1 . X_2 = () \mu Y Z . Y}{() \mu X_2 X_1 . X_2 = () \mu Y Z . Y} \text{ UNFOLD}_l \\
 \frac{() \mu X_2 X_1 . X_2 = () \mu Y Z . Y}{(\mu X_2) \mu X_1 . X_2 = (\mu Y) \mu Z . Y} \mu \\
 \frac{(\mu X_2) \mu X_1 . X_2 = (\mu Y) \mu Z . Y}{(\mu X_2) X_2 = (\mu Y) \mu Z . Y} \text{ UNFOLD}_l \\
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 \hline
 (\mu X_2) X_2 = (\mu Y) Y
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Extraction of reductions:

$$\begin{aligned}
 \mu X_3 X_2 X_1 . X_2 &\rightarrow_{\mu} \mu X_2 X_1 . X_2 \triangleright_{\text{frz}} (\mu X_2) \mu X_1 . X_2 \rightarrow_{\mu} (\mu X_2) X_2 \\
 &=_{\alpha} (\mu Y) Y \leftarrow_{\mu} (\mu Y) \mu Z . Y \triangleleft_{\text{frz}} \mu Y Z . Y
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gives a joining pair of standard reductions:

$$\mu X_3 X_2 X_1 . X_2 \rightarrow_{\mu} \mu X_2 X_1 . X_2 \rightarrow_{\mu} \mu X_2 . X_2 =_{\alpha} \mu Y . Y \leftarrow_{\mu} \mu Y Z . Y$$



# Subterm closure

The  $\mu\pi$ -calculus on  $\mu$ -pseudoterms:

$$F(s_1, s_2) \rightarrow s_i \quad \text{for } i \in \{1, 2\} \quad (\text{F-projection})$$

$$\mu X.s \rightarrow s \quad (\mu\text{-projection})$$

$$\mu X.s \rightarrow s[X := \mu X.s] \quad (\mu\text{-reduction})$$

By  $\rightarrow_{\mu\pi}^\varepsilon$  we denote  $\mu\pi$ -root-reduction.

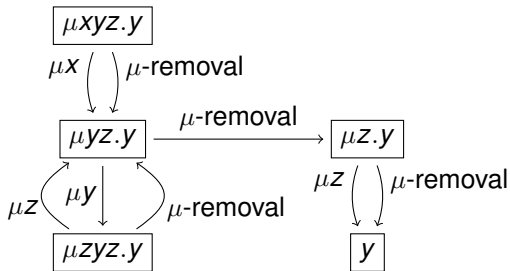
The **subterm closure**  $\text{SC}(s)$  of a capture-avoiding  $s \in P\text{Ter}(\mu)$  is:

$$\text{SC}(s) := \{t \in P\text{Ter}(\mu) \mid s \rightarrow_{\mu\pi}^\varepsilon t\}.$$

## Theorem

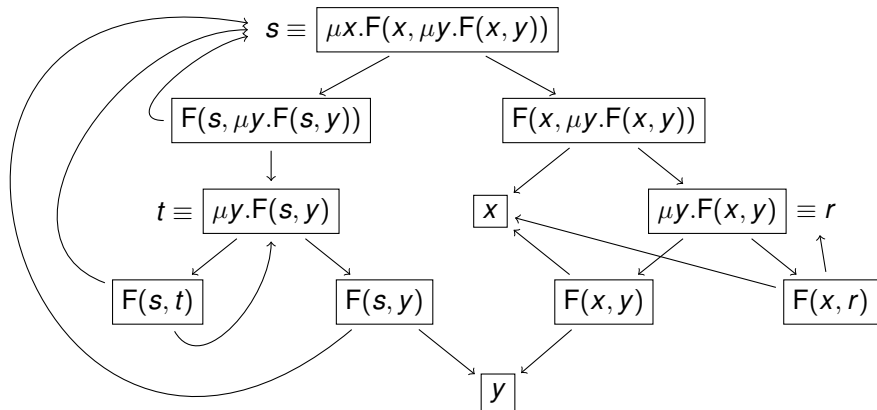
*For all capture-avoiding  $s \in P\text{Ter}(\mu)$ ,  $\text{SC}(s)$  is finite.*

# Subterm closure



The subterm closure of  $\mu x y z . y$ .

# Subterm closure



The subterm closure of  $\mu x. F(x, \mu y. F(x, y))$ .

# Decidability of $=_{\mu/\alpha}$ by a first-order proof

## Lemma

*Provability in system (III) of formulas  $\vdash s = t$ , where  $s, t \in P\text{Ter}(\mu)$  are capture-avoiding, is decidable.*

### *Proof.*

- ▶ **subformula property**: for an equation  $(\mu \dots)s' = (\mu \dots)t'$  in a derivation  $\mathcal{D}$  with conclusion  $()s = ()t$  it holds that  $s' \in \text{SC}(s)$  and  $t' \in \text{SC}(t)$ .

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- ▶ **bound  $L$  on annotation lengths**: if rule COMPR is applied 'greedily',  $L :=$  no. of binder occurrs in ps.terms in conclusion.

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- ▶ **bound on the size of irredundant derivations**: as a consequence, the size of an irredundant derivation (no formula repetitions) with conclusion  $s = t$  is bounded by  $|\pi(L)| \cdot |\text{SC}(s)| \cdot |\text{SC}(t)|$ .

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## Theorem

*Weak  $\mu$ -equality is decidable.*

# Overview

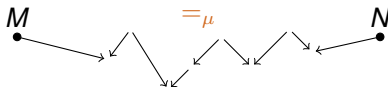
1. Weak  $\mu$ -equality
2. Avoiding  $\alpha$ -conversion in  $\mu$ -reductions
3. Decidability of  $=_{\mu/\alpha}$  by a first-order proof
4. Decidability of  $=_{\mu/\alpha}$  by a higher-order proof
5. Decidability of  $=_{\mu/\alpha}$  using regular languages
6. Summary



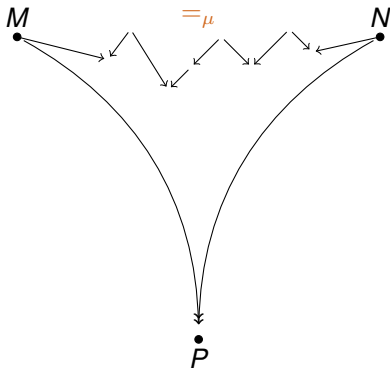
# Structure of the higher-order proof

$$M \stackrel{=_{\mu}}{=} N$$

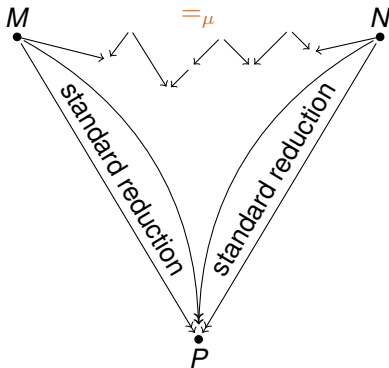
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# Complete proof system (II) for $=_{\mu/\alpha}$ on $\mu$ -pseudoterms

$$\frac{}{s = s} \text{ (if } s \text{ a variable or a constant)}$$

$$\frac{s[x := z] = t[y := z]}{\mu x. s = \mu y. t} \mu \text{ (} z \text{ fresh)}$$

$$\frac{s_1 = t_1 \quad s_2 = t_2}{F(s_1, s_2) = F(t_1, t_2)} \text{ F-COMPAT}$$

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- ▶ extension of **Schroer's** characterisation of  $\rightarrow_\alpha$ -conversion
- ▶ derivations correspond to  $\rightarrow_{\mu/\alpha}$ -**standard reductions**
- ▶ **advantage:** restricted search space for derivations
- ▶ **disadvantage:** capture of free variables in  $\mu$ -applications

# Complete proof system for $=_{\mu}$ on $\mu$ -terms

$$\frac{}{s = s} \text{ (if } s \text{ a variable or a constant)}$$

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- ▶ a certain **subformula property**

# Overview

1. Weak  $\mu$ -equality
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An alternative approach: using **regular languages**.



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This problem is known to be decidable.

# Step 1: a regular grammar for $\mu$ -reducts

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We construct a regular grammar  $\mathcal{G}_M$  for the  $\mu$ -reducts of  $M$ :

The start symbol of  $\mathcal{G}_M$  is  $V_M$ , and the rules are:

$$V_{\mu X.N} \Rightarrow V_{N[X:=\mu X.N]} \quad (1)$$

$$V_{\mu X.N} \Rightarrow \mu X.V_N \quad (2)$$

$$V_{F(N,N')} \Rightarrow F(V_N, V_{N'}) \quad (3)$$

$$V_x \Rightarrow x \quad (4)$$

for every  $V_s$  such that  $s \in \text{SC}(M)$ .

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## Lemma

$$\mathcal{L}(\mathcal{G}_M) = \{N \mid M \rightarrow^* N\}$$

where  $\rightarrow$  is  $\alpha$ -conversion free  $\mu$ -reduction.

# Step 1: a regular grammar for $\mu$ -reducts

## Example

Let  $M \equiv \mu y.F(x, y)$ , then  $\mathcal{G}_M$  consists of:

$$V_{\mu y.F(x, y)} \Rightarrow (1) V_{F(x, \mu y.F(x, y))}$$

$$V_{\mu y.F(x, y)} \Rightarrow (2) \mu y.V_{F(x, y)}$$

$$V_{F(x, y)} \Rightarrow (3) F(V_x, V_y)$$

$$V_{F(x, \mu y.F(x, y))} \Rightarrow (3) F(V_x, V_{\mu y.F(x, y)})$$

$$V_x \Rightarrow (4) x$$

$$V_y \Rightarrow (4) y$$

The start symbol of  $\mathcal{G}_M$  is  $V_{\mu y.F(x, y)}$ .



## Step 2: $\alpha$ -conversion

Let  $\mathcal{G}$  be **normalised** with start variable  $V$  over a finite set of binder  $\mathbb{B}$ .

We define a grammar accepting all  $\alpha$ -equivalent terms over  $\mathbb{B}$ :

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Let  $\mathcal{G}^\alpha$  have start variable  $V_{id, \emptyset}$ , and for all:

- ▶  $\sigma : \mathbb{B} \rightarrow \mathbb{B}$  (**renaming map**),
- ▶  $\dagger \subseteq \mathbb{B}$  (**forbidden variables**),

consist of rules:

- ▶  $V_{\sigma, \dagger} \Rightarrow \sigma(x) \in \mathcal{G}^\alpha$  (**renaming**)                      if  $V \Rightarrow x \in G$  and  $x \notin \dagger$
- ▶  $V_{\sigma, \dagger} \Rightarrow \perp \in \mathcal{G}^\alpha$  (**name clash**)                      if  $V \Rightarrow x \in G$  and  $x \in \dagger$
- ▶  $V_{\sigma, \dagger} \Rightarrow F(V'_{\sigma, \dagger}, V''_{\sigma, \dagger}) \in \mathcal{G}^\alpha$  (**propagation**)              if  $V \Rightarrow F(V', V'') \in G$
- ▶  $V_{\sigma, \dagger} \Rightarrow \mu y(V'_{\sigma', \dagger'}) \in G$  (**pick renaming**)              if  $V \Rightarrow \mu x(V') \in G$

where  $y \in \mathbb{B}$ ,  $\sigma' = \sigma[x \mapsto y]$ ,  $\dagger' = (\dagger \cup \sigma^{-1}(y)) \setminus \{x\}$ .

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**pick renaming:**  $V_{\sigma, \dagger} \Rightarrow \mu y (V'_{\sigma', \dagger'}) \in G$  if  $V \Rightarrow \mu x (V') \in G$   
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Let  $G$  have start variable  $V_1$  and consist of the rules:

$$V_1 \Rightarrow \mu x.V_2 \quad V_2 \Rightarrow \mu y.V_3 \quad V_3 \Rightarrow F(V_4, V_5) \quad V_4 \Rightarrow x \quad V_5 \Rightarrow y$$

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## Step 2: $\alpha$ -conversion

**pick renaming:**  $V_{\sigma, \dagger} \Rightarrow \mu y (V'_{\sigma', \dagger'}) \in G$  if  $V \Rightarrow \mu x (V') \in G$   
 where  $y \in \mathbb{B}$ ,  $\sigma' = \sigma[x \mapsto y]$ ,  $\dagger' = (\dagger \cup \sigma^{-1}(y)) \setminus \{x\}$ .

### Example

Let  $G$  have start variable  $V_1$  and consist of the rules:

$$V_1 \Rightarrow \mu x.V_2 \quad V_2 \Rightarrow \mu y.V_3 \quad V_3 \Rightarrow F(V_4, V_5) \quad V_4 \Rightarrow x \quad V_5 \Rightarrow y$$

Note that  $G$  generates the term  $\mu x.\mu y.F(x, y)$ .

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## Step 3: deciding $=_{\mu/\alpha}$

### Theorem

*The following problem is decidable:*

- ▶ *Input: two  $\mu$ -terms  $M$  and  $N$ .*
- ▶ *Answer: are  $M$  and  $N$  convertible?*

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The decision procedure proceeds in the following steps:

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- 4 answer **yes** if  $\mathcal{L}(\mathcal{G}_{M'}^{\alpha}) \cap \mathcal{L}(\mathcal{G}_{N'}) \neq \emptyset$ , and **no**, otherwise.





# Overview

1. Weak  $\mu$ -equality
2. Avoiding  $\alpha$ -conversion in  $\mu$ -reductions
3. Decidability of  $=_{\mu/\alpha}$  by a first-order proof
4. Decidability of  $=_{\mu/\alpha}$  by a higher-order proof
5. Decidability of  $=_{\mu/\alpha}$  using regular languages
6. Summary

# Summary

We established **decidability of the weak  $\mu$ -equality problem** by:

- ▶ a proof using ‘first-order’ techniques:
  - ▶ characterising  $\mu$ -pseudoterms that **can be reduced without the need for  $\alpha$ -renaming**:
  - ▶ a **complete proof system** à la Coppo/Cardone for  $=_{\mu/\alpha}$  on  $\mu$ -pseudoterms
  - ▶ showing finiteness of proof-search by establishing **finiteness of the subterm closure** for capture-avoiding  $\mu$ -terms
- ▶ a proof using ‘higher-order’ techniques
- ▶ another proof using ‘first-order’ techniques:
  - ▶ the set of reducts of  $\mu$ -pseudoterms form a **regular tree language**
  - ▶ weak  $\mu$ -equality **reduces to the emptiness problem for the intersection of regular tree languages**