

# Bisimulation Slices and Transfer Functions

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# Overview

- ▶ fragments of bisimulations
  - ▶ **motivation 1**: nearly collapsed LTSs (labeled transition systems) for the **process semantics of regular expressions**
  - ▶ bisimulating slices and **grounded bisimulation slices**
- ▶ functional bisimulation fragments
  - ▶ **motivation 2**: transfer of LTS spec's via functional bisimulations
  - ▶ transfer functions and **local transfer functions**
  - ▶ transfer functions via **elevation** from local-transfer functions
- ▶ **application aimed at**
  - ▶ using transfer to prove specifications equal on **nearly collapsed 1-LTSs**


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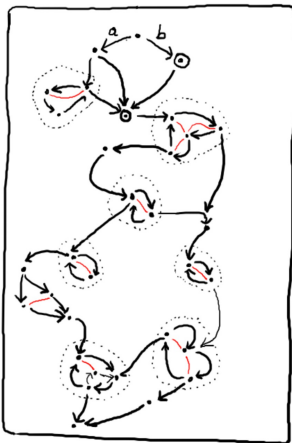
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# Motivation 1: nearly collapsed LTSs

 strongly connected components (scc's)


 termination permitted



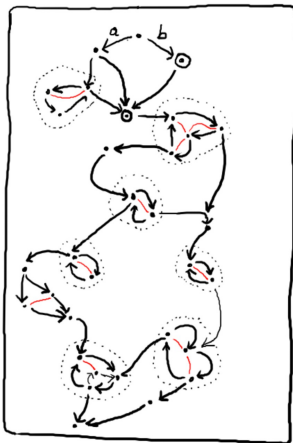
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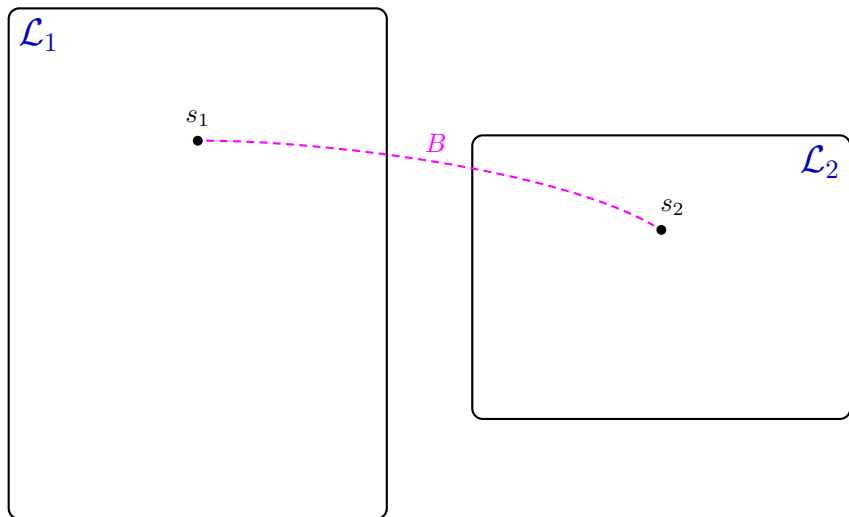
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## Definition

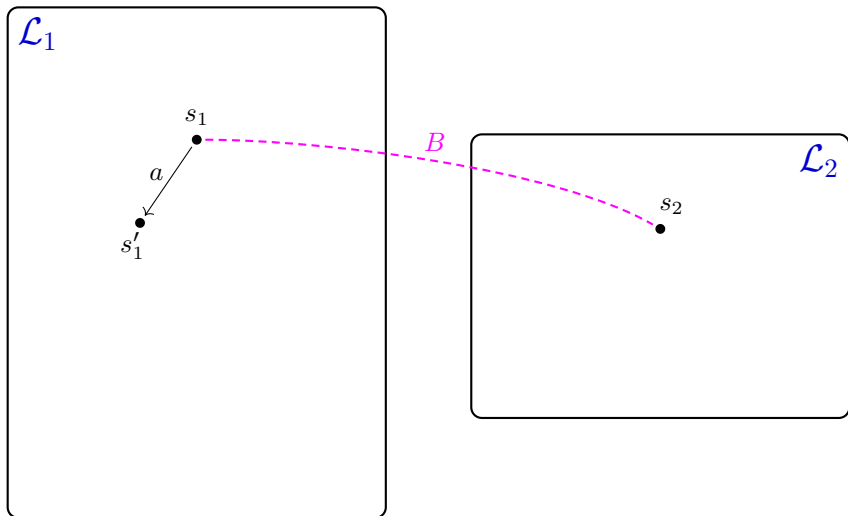
A *labeled transition system* is a tuple  $\mathcal{L} = \langle S, A, \rightarrow, \downarrow \rangle$  where:

- ▶  $S$  is a set of **states**,
- ▶  $A$  is a set of **actions**,
- ▶  $\rightarrow \subseteq S \times A \times S$  is a set of **labeled transitions**,
- ▶  $\downarrow \subseteq S$  is a set of **terminating states**.

# Bisimulation

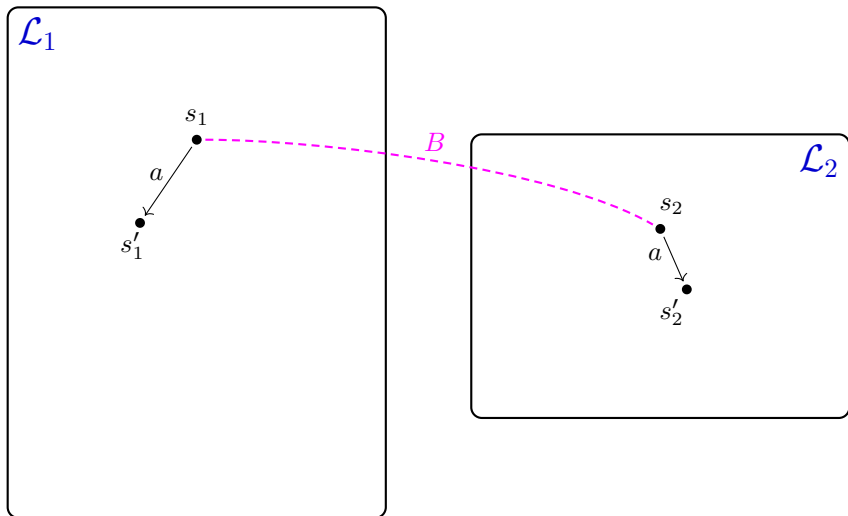


# Bisimulation (forth condition)

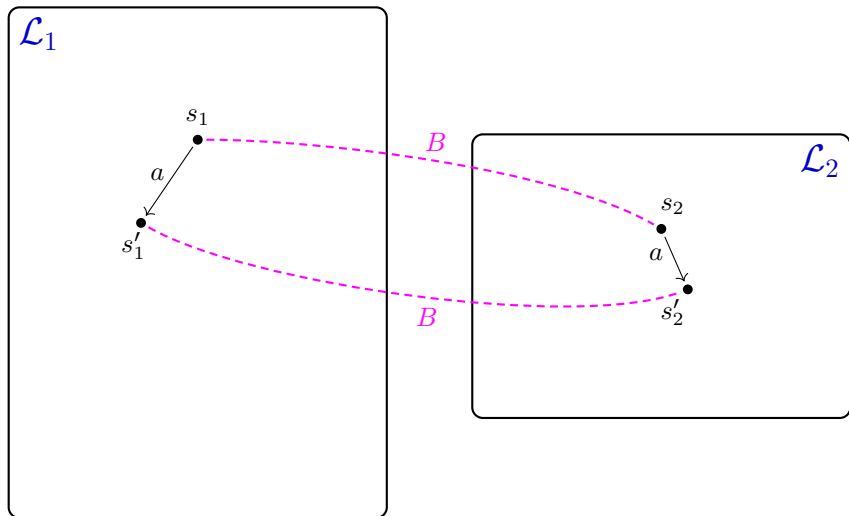




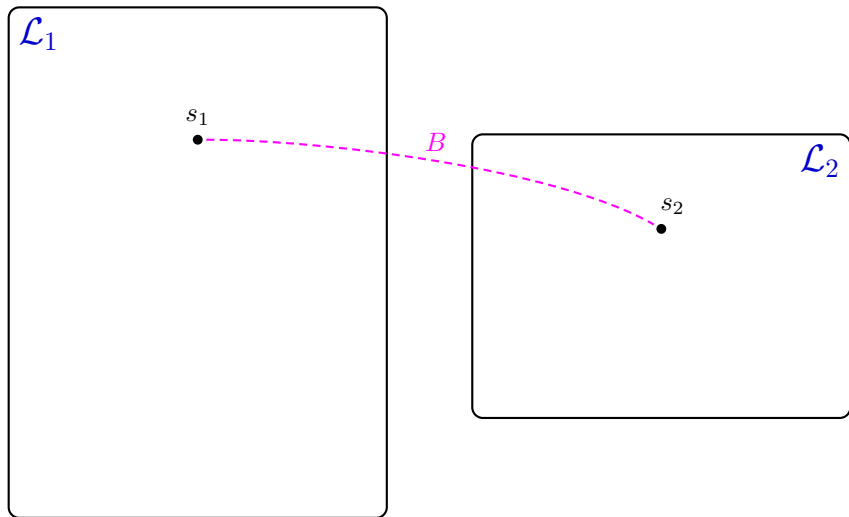
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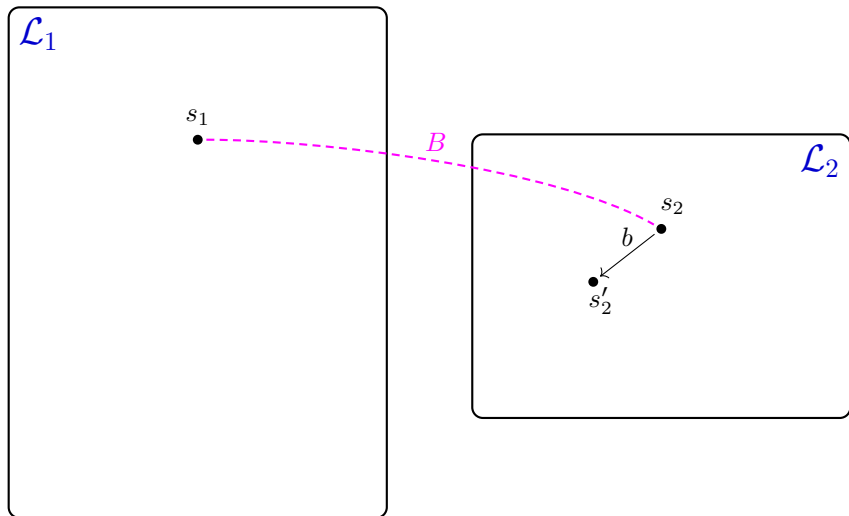
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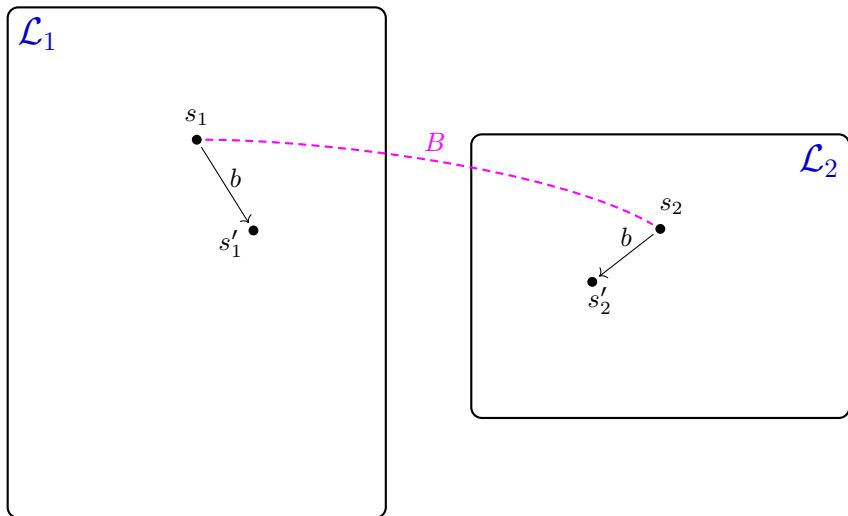
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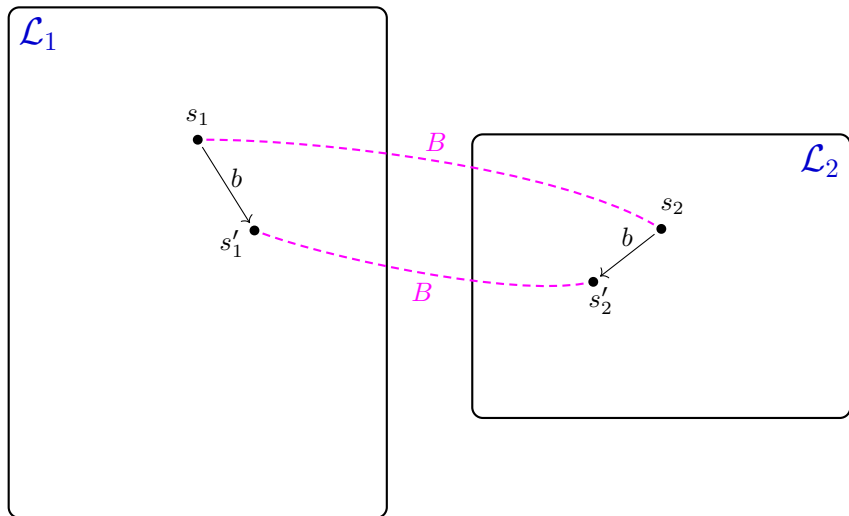
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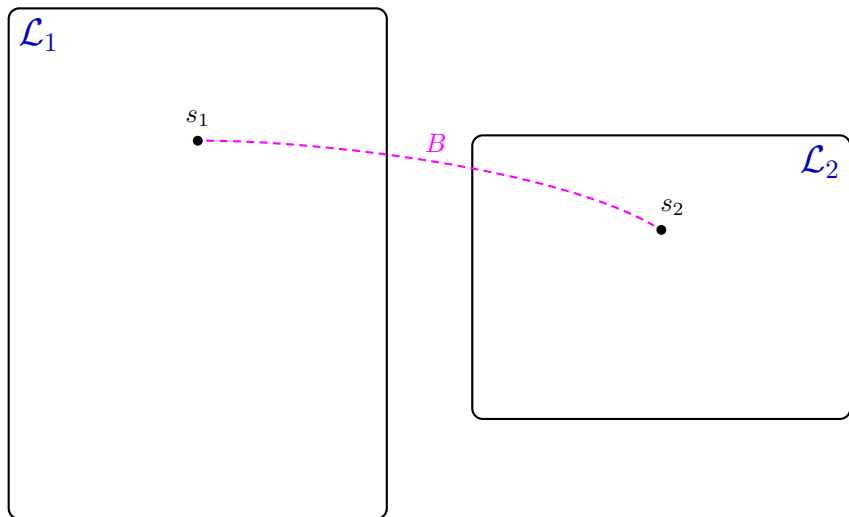
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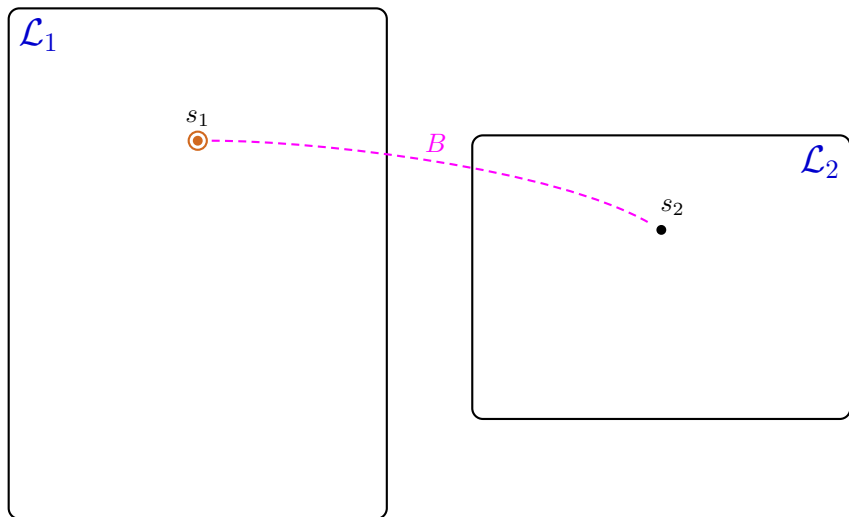
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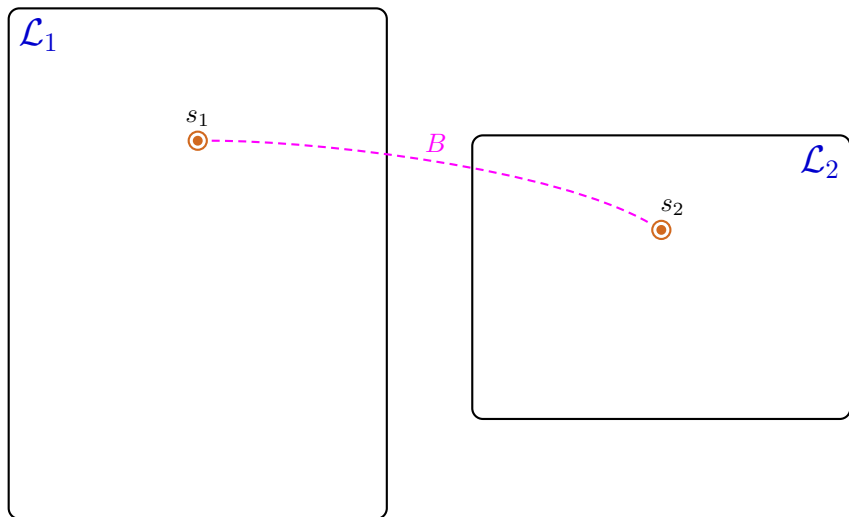


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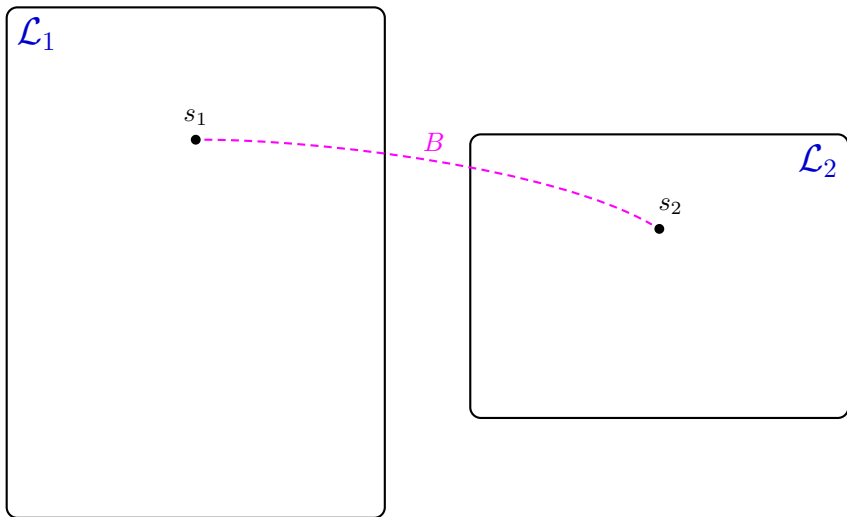





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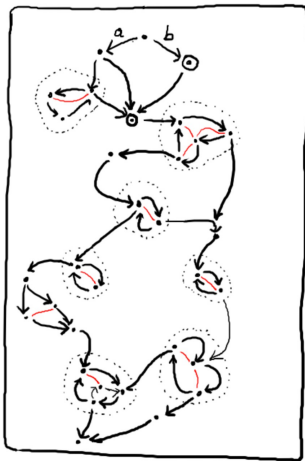
# Bisimulation



# Nearly collapsed LTSs

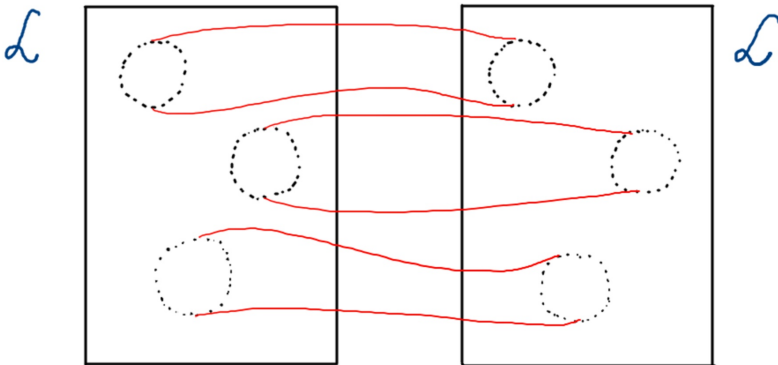
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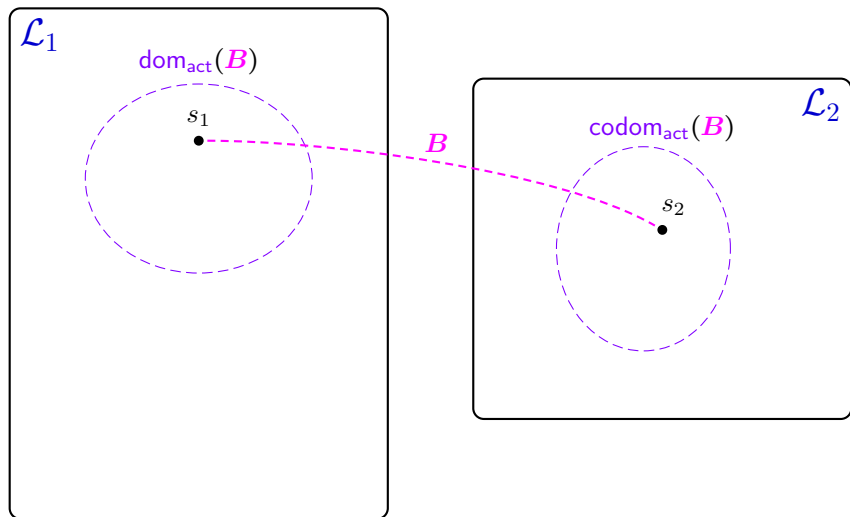


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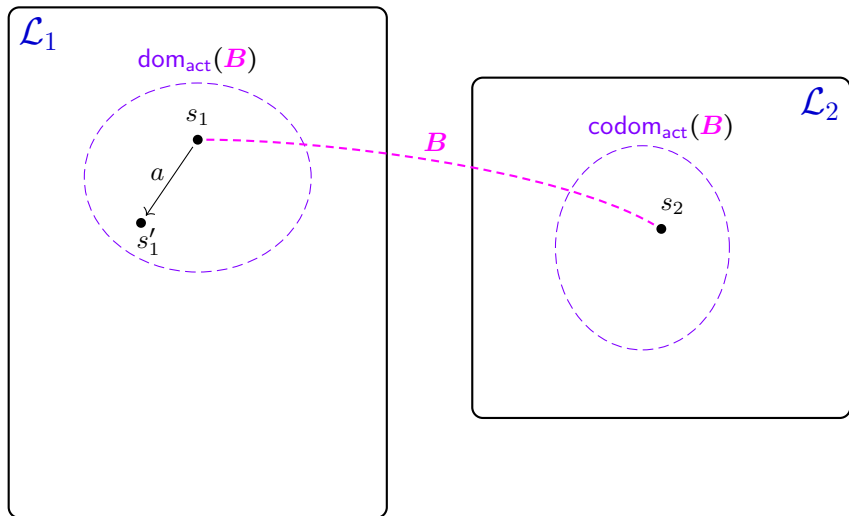
# Bisimulation/bisimulating slices



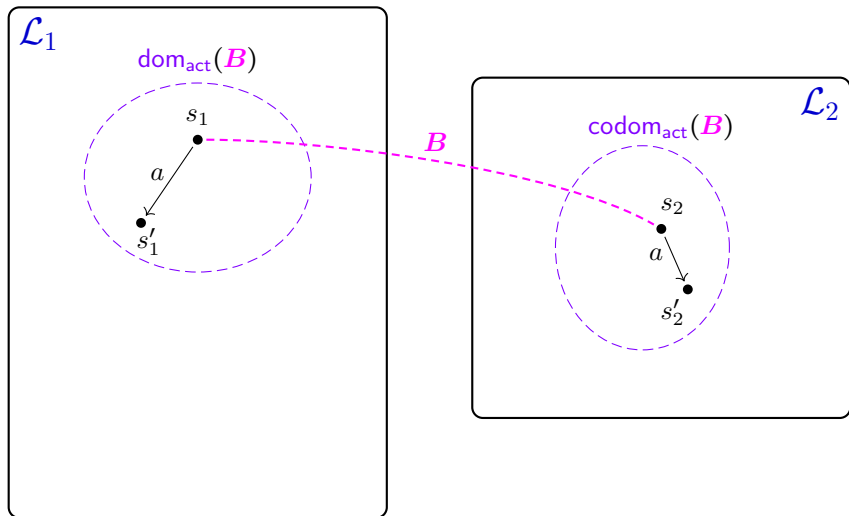
# Bisimulating slice



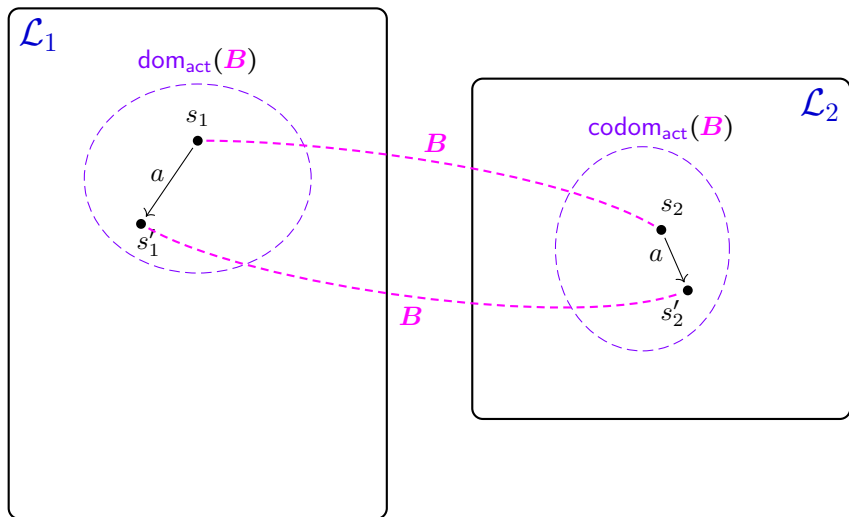
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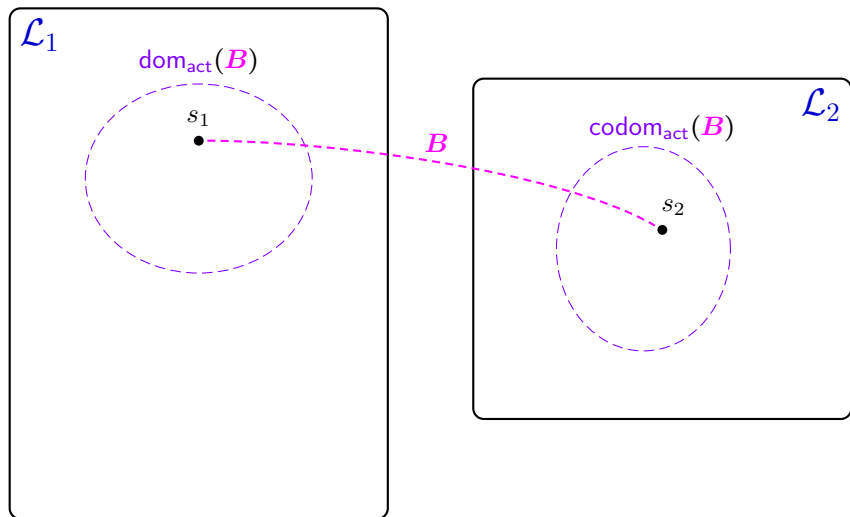


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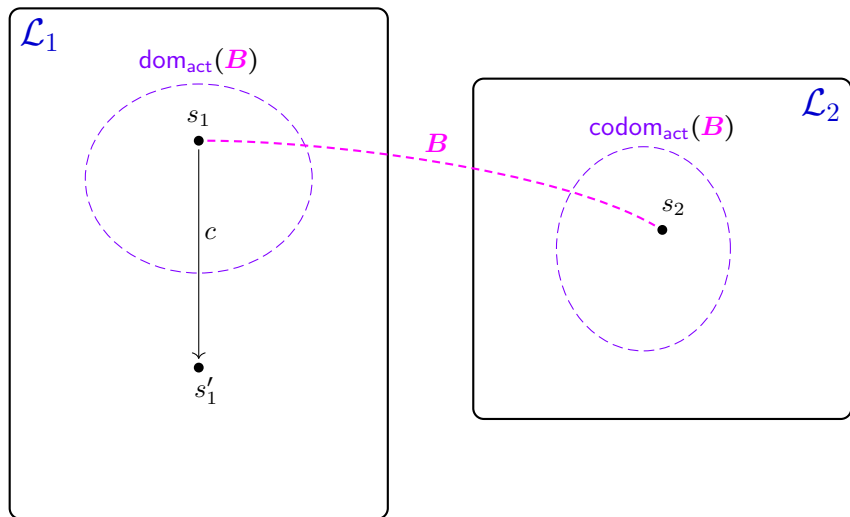




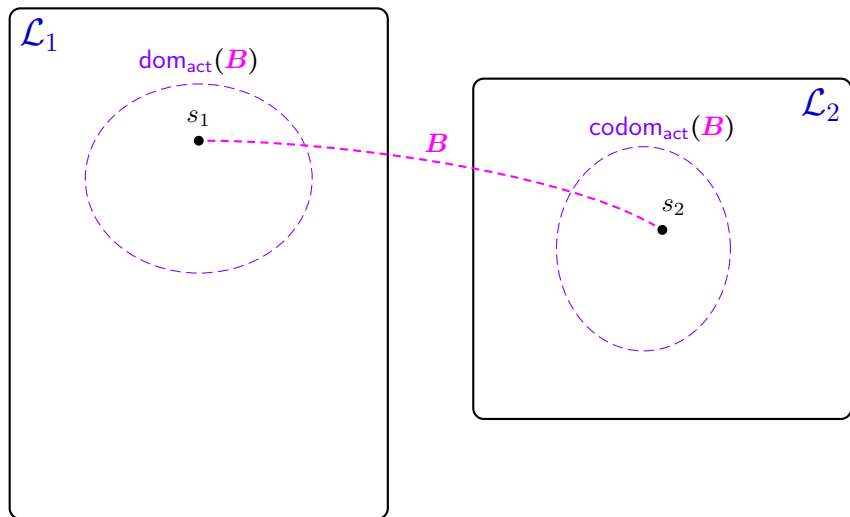
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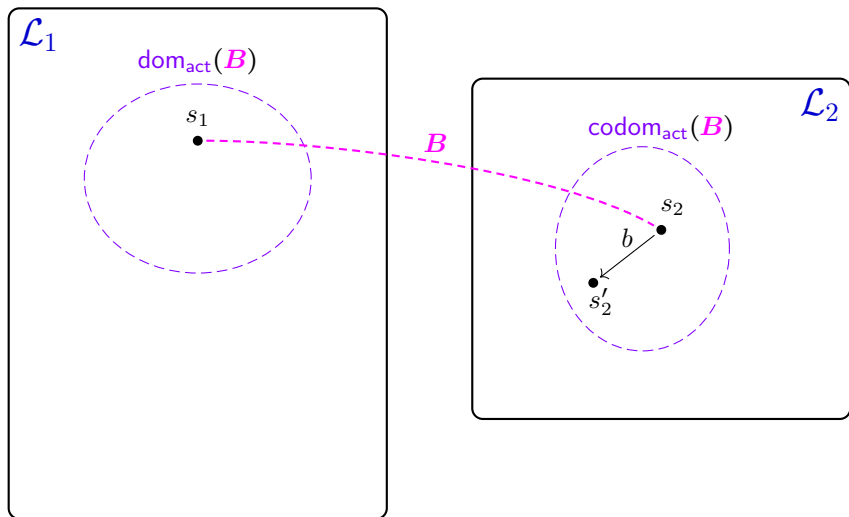
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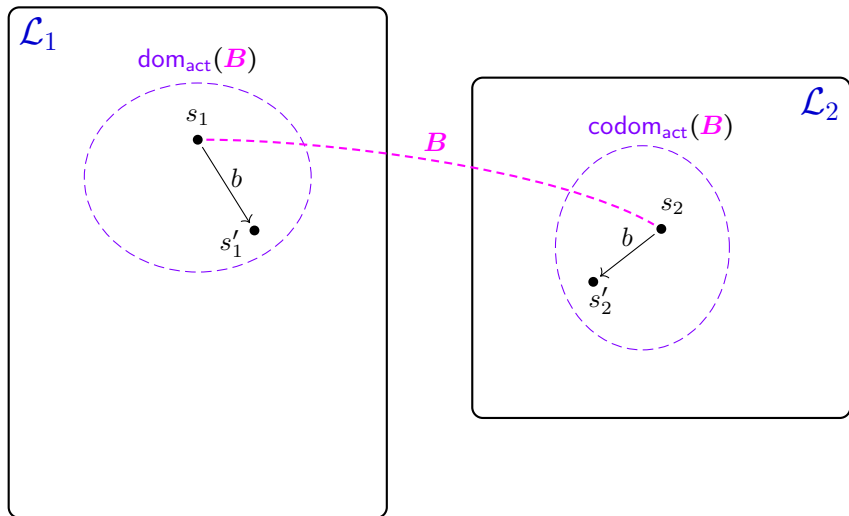
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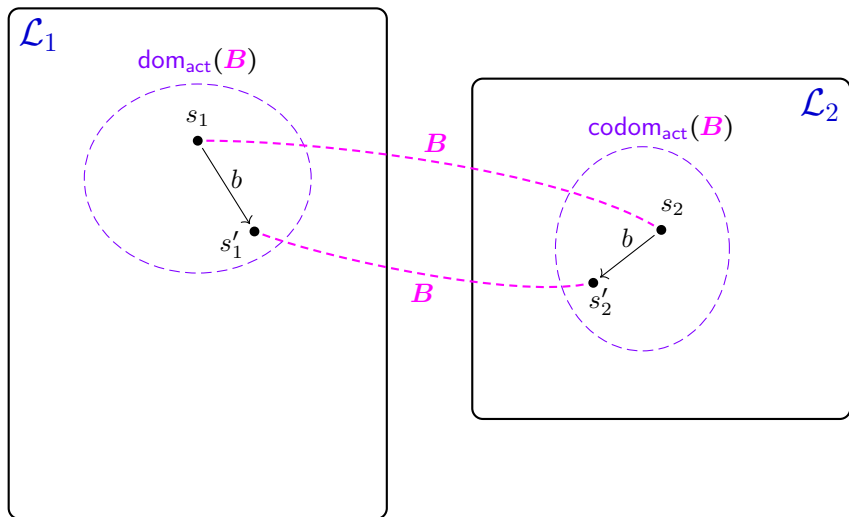
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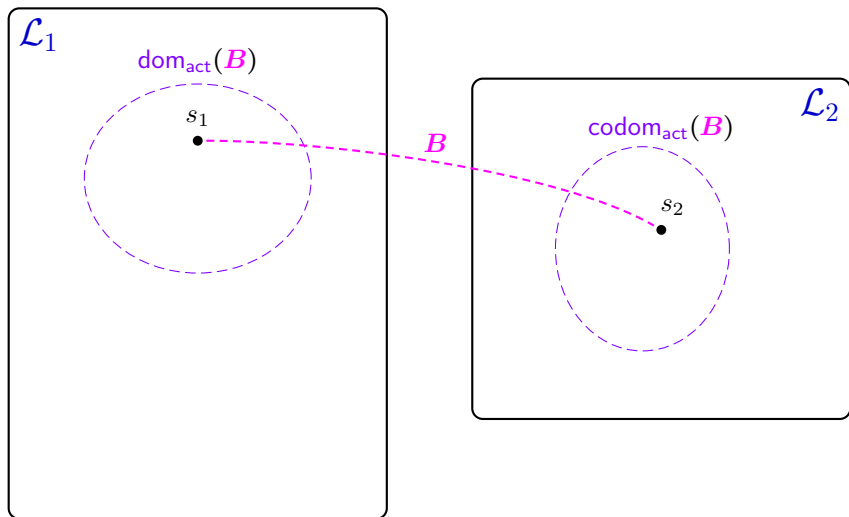
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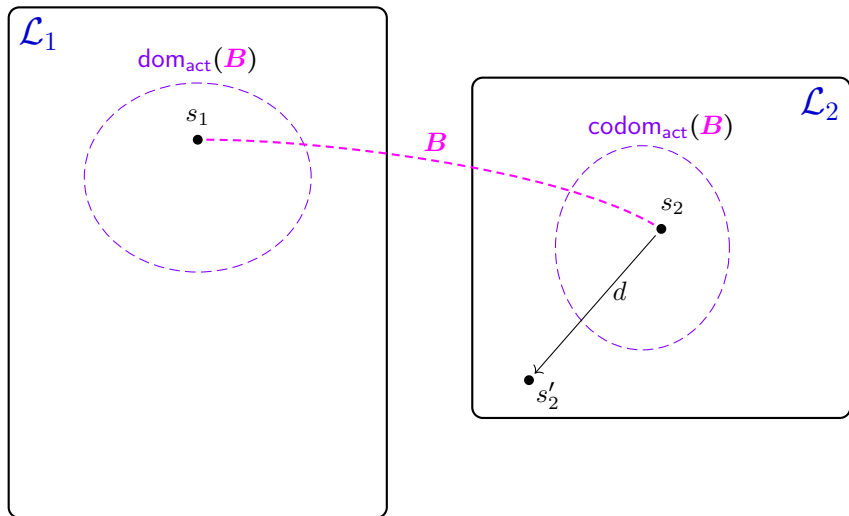
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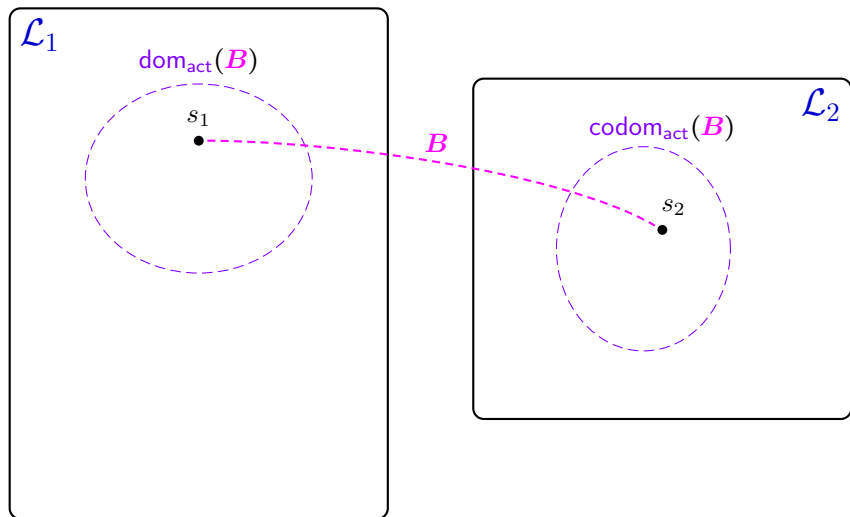


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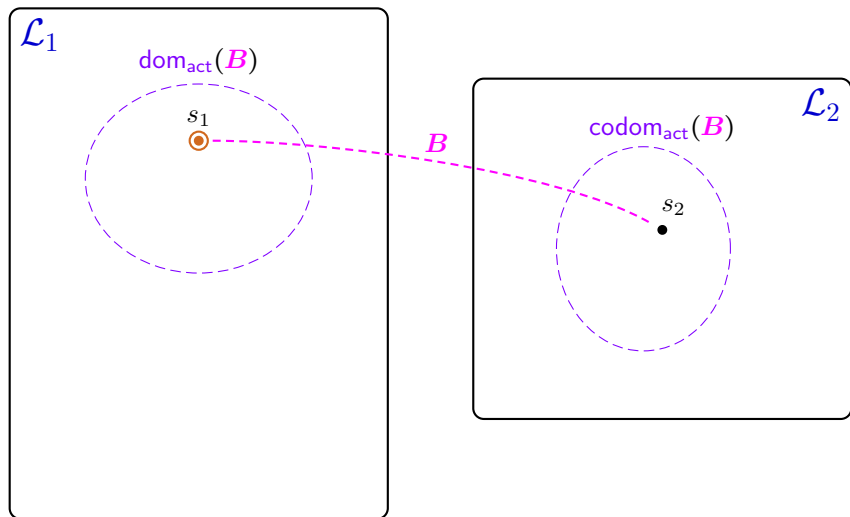




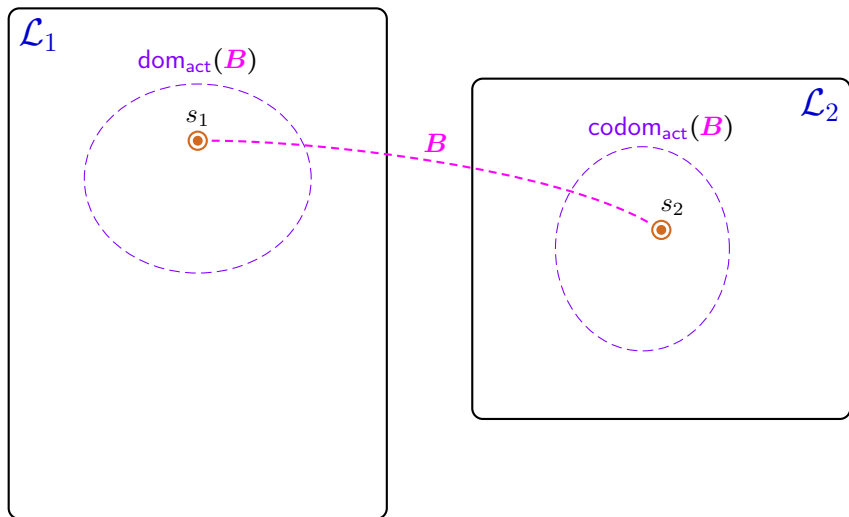
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# Bisimulating slice (termination condition)



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# Bisimulating/bisimulation slices: properties

## Proposition

*Bisimulating slice*

= *bisimulation on full sub-LTSs of active domain/codomain*

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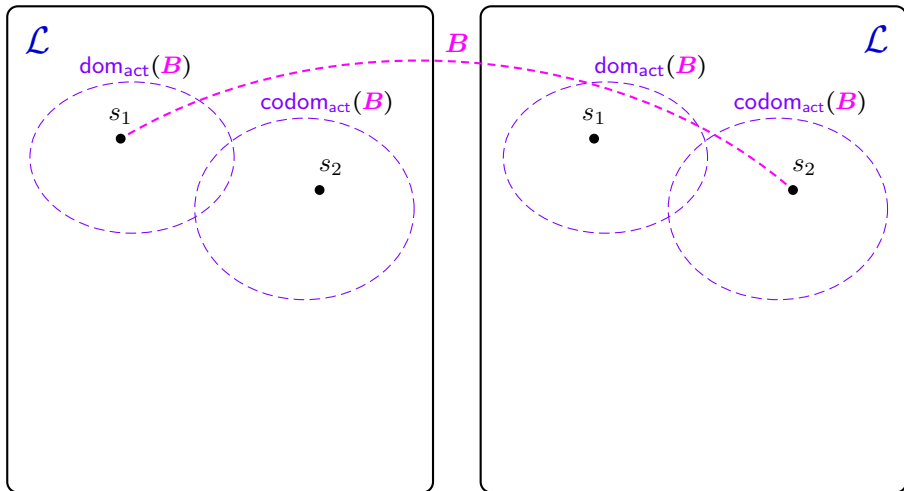
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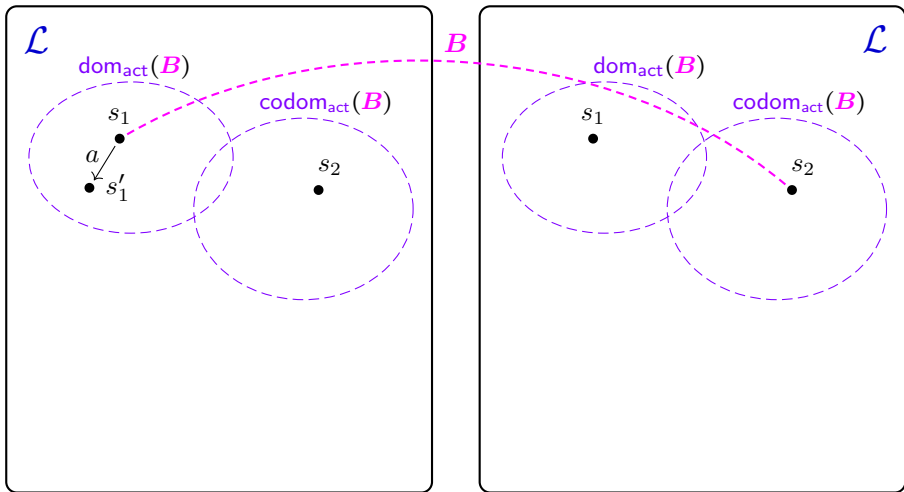
*Bisimulation*

= *bisimulating slice on transition-closed active domain/codomain*

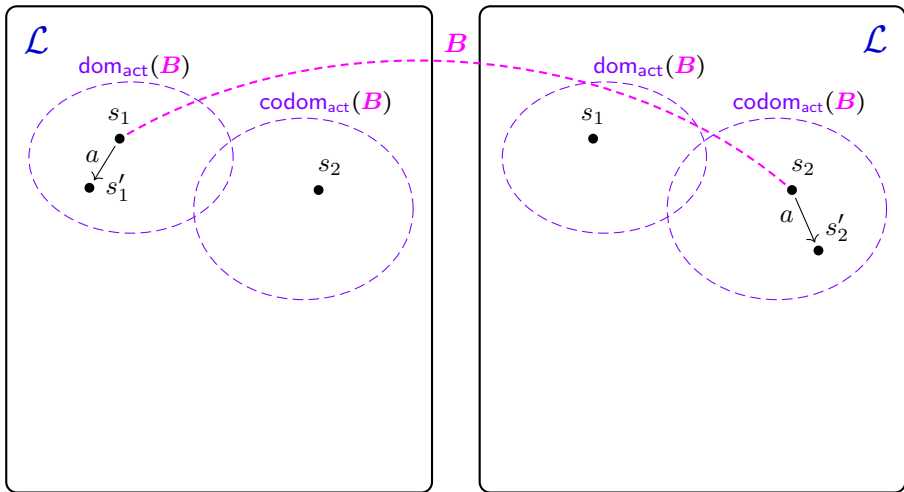
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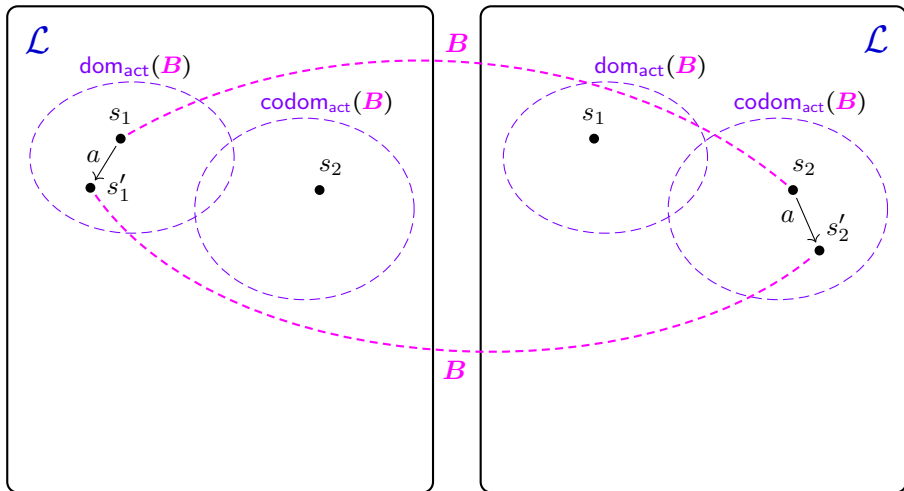


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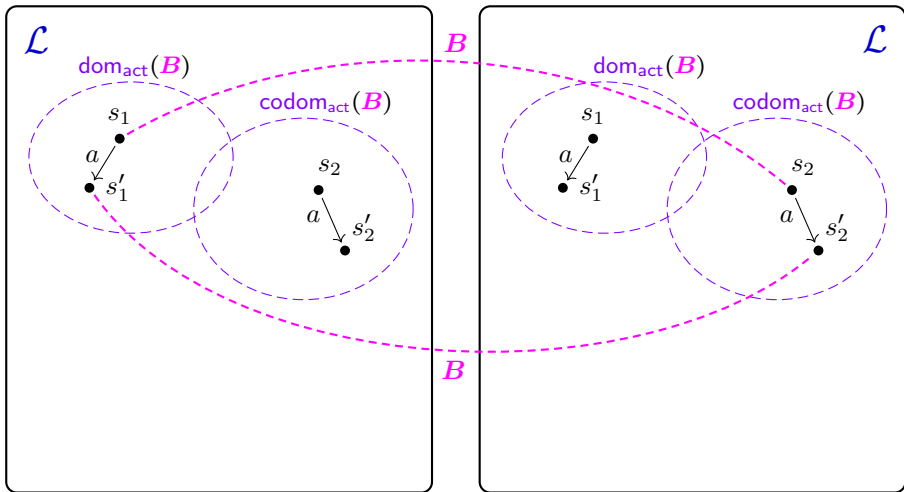




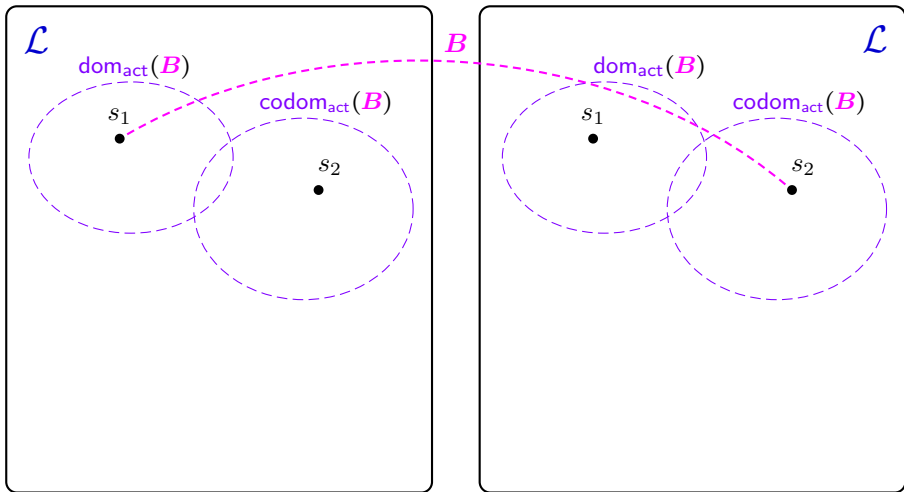
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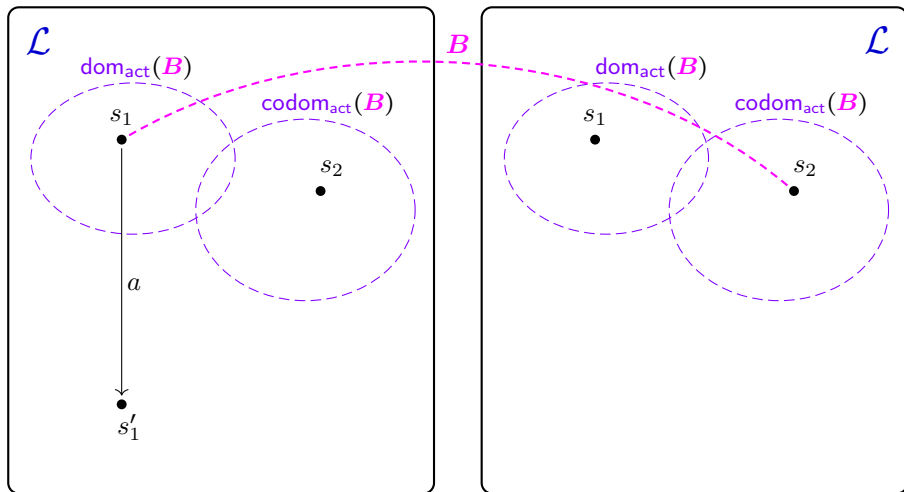
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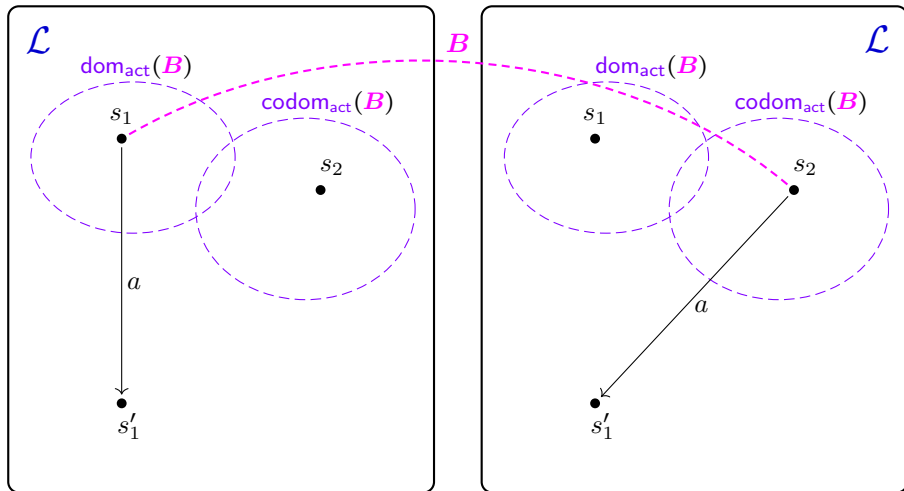
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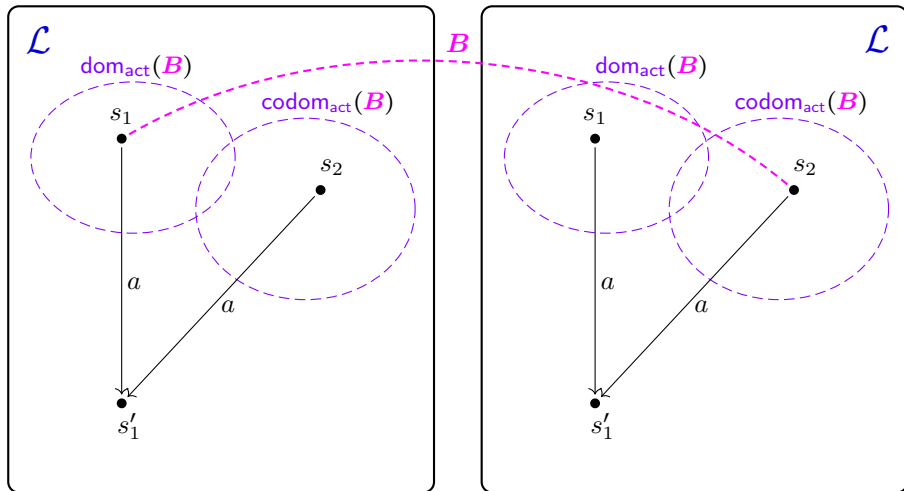
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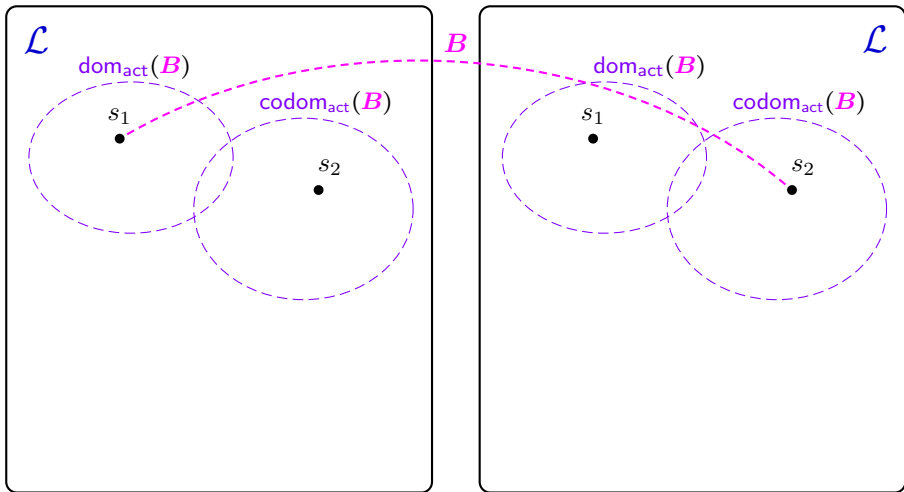
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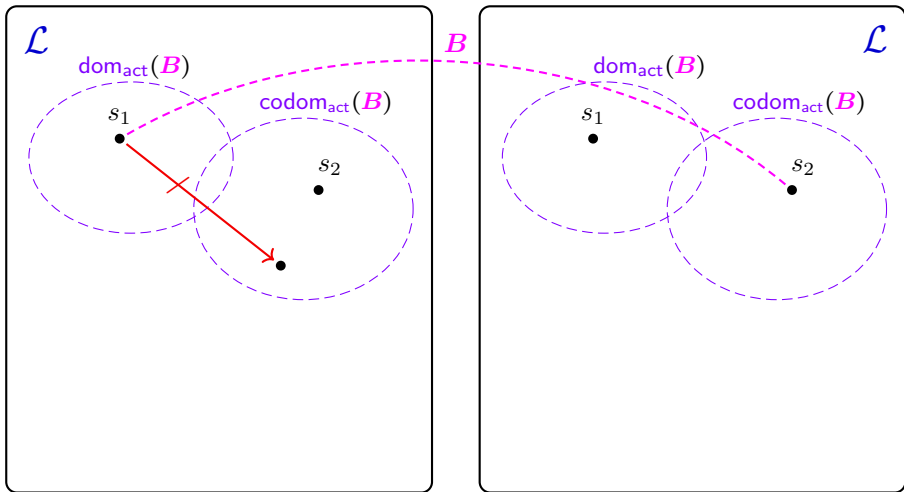
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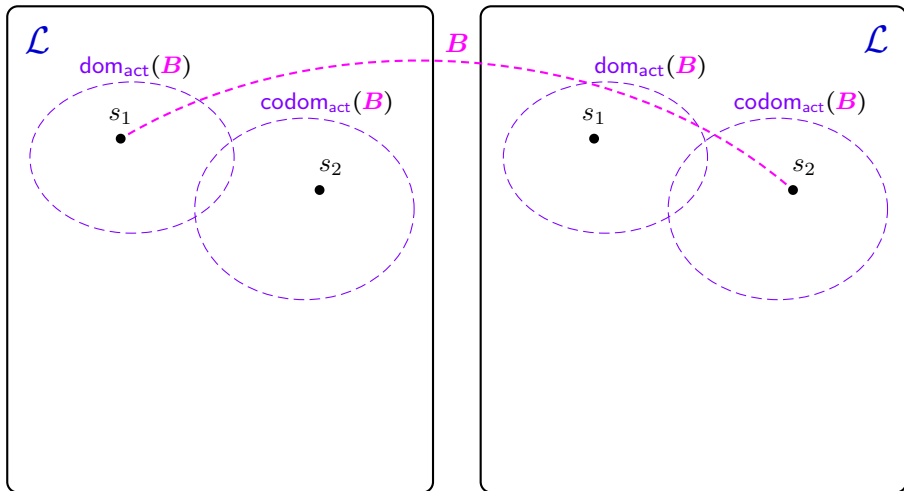


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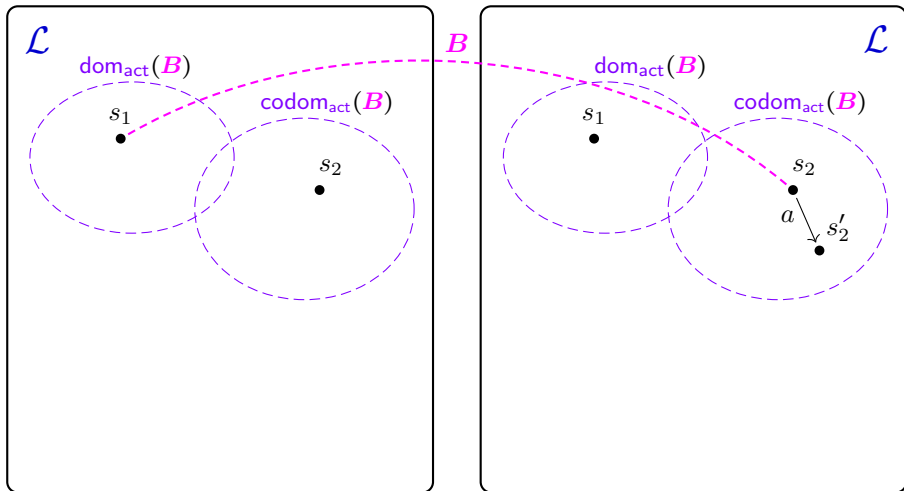




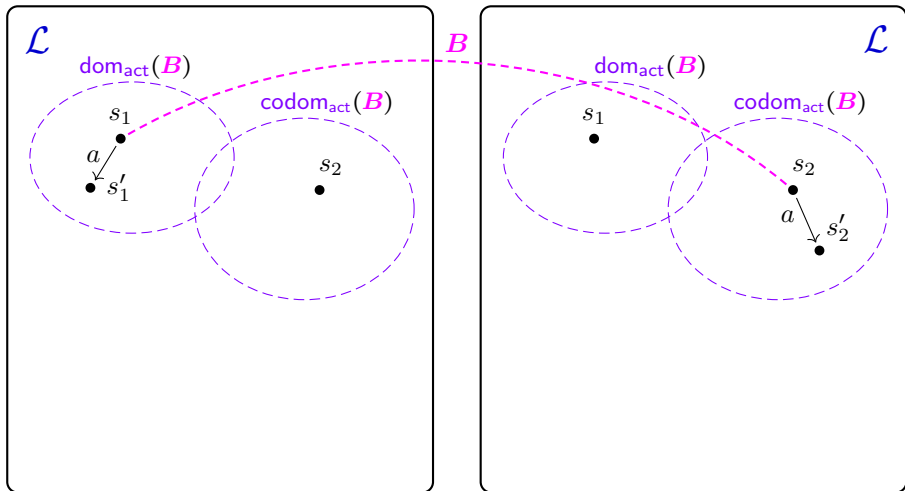
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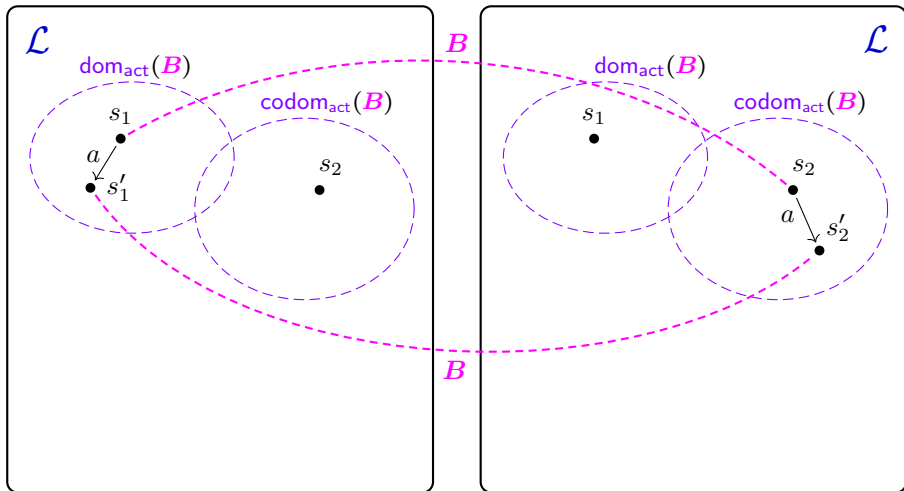
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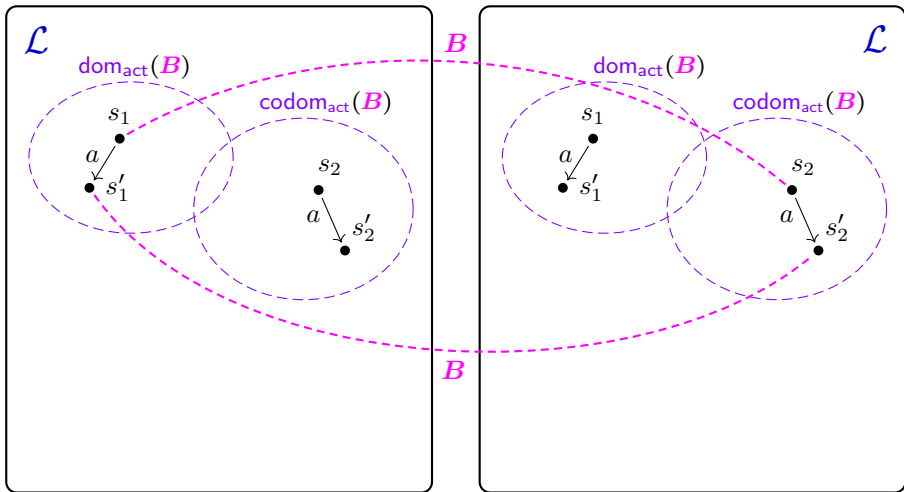
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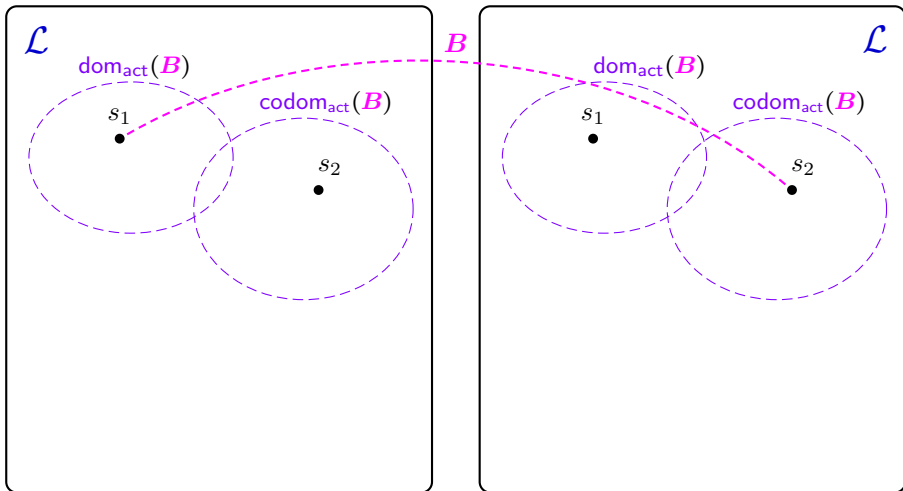
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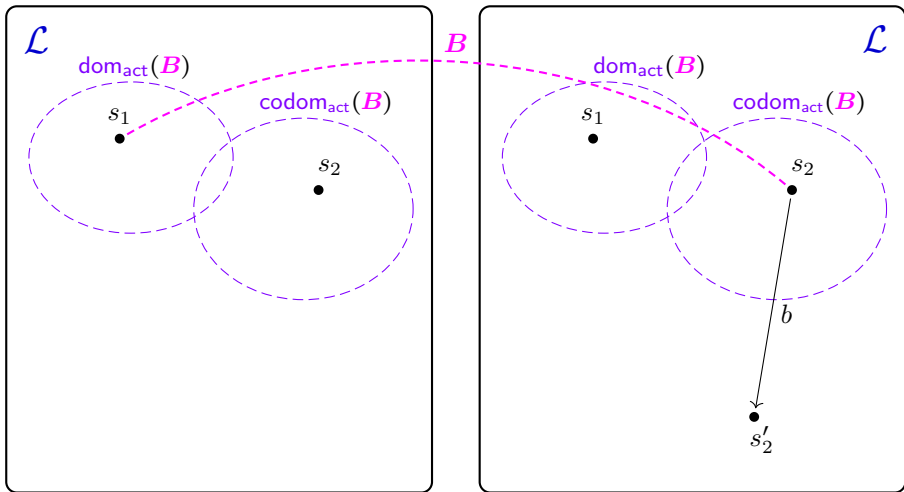
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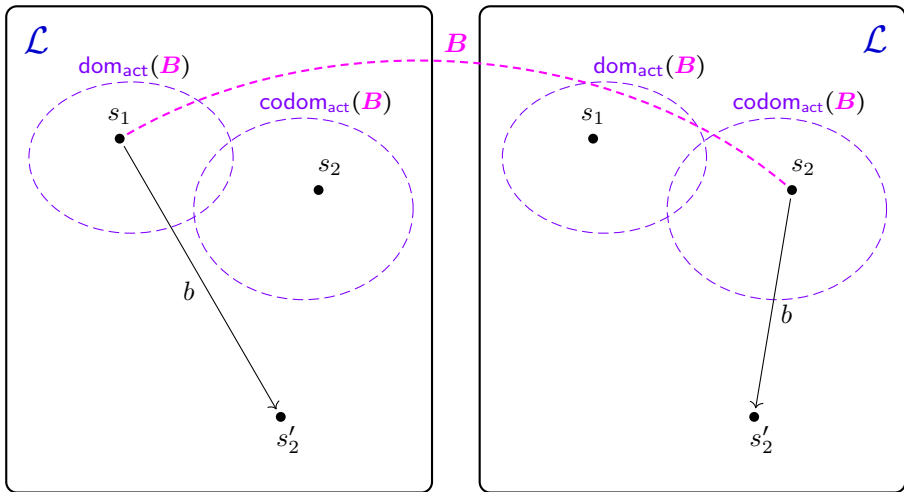
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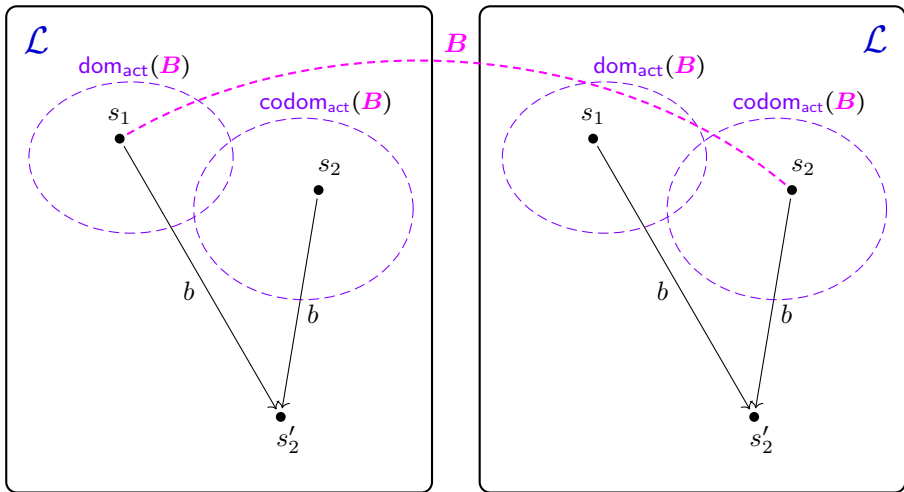


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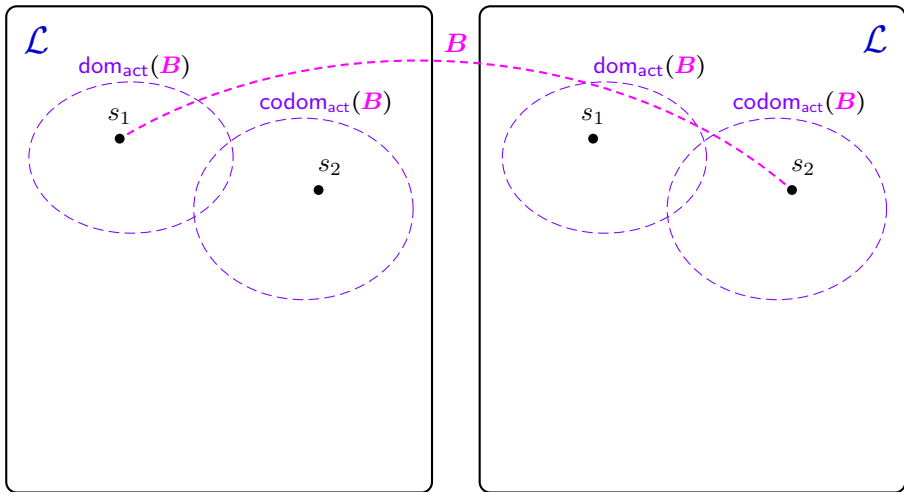




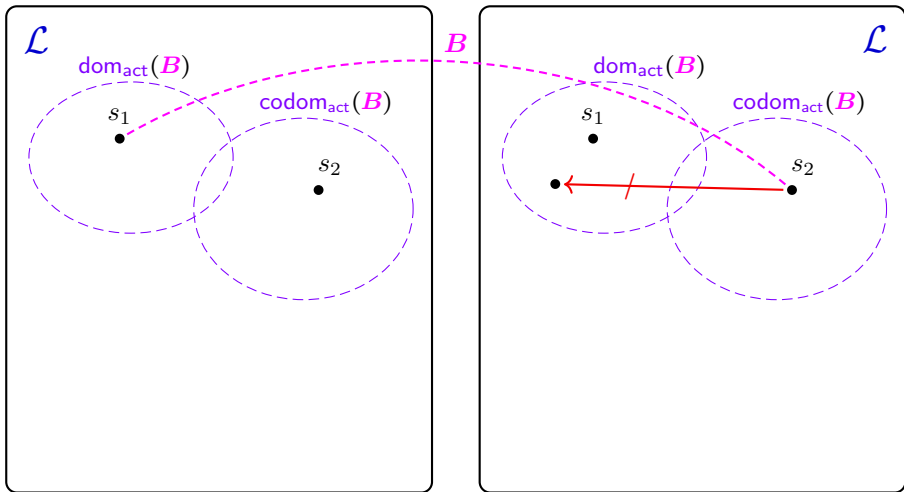
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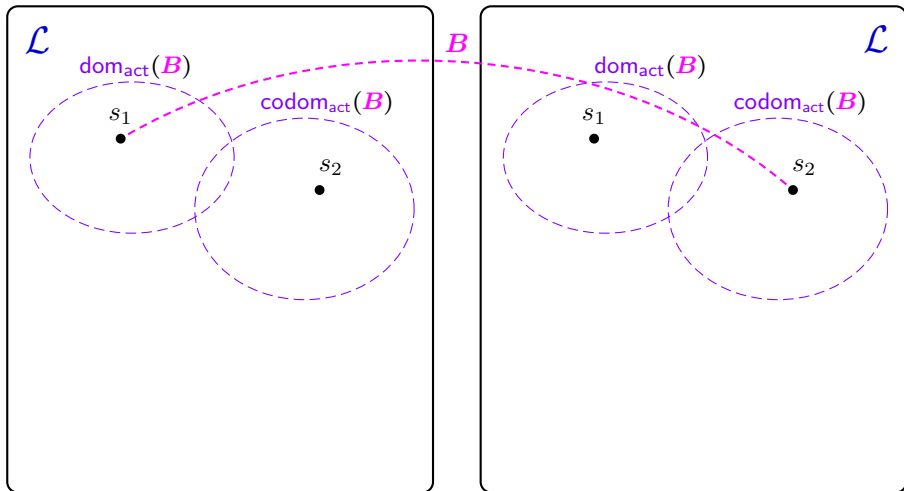
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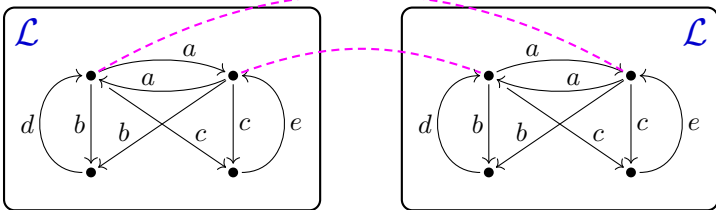
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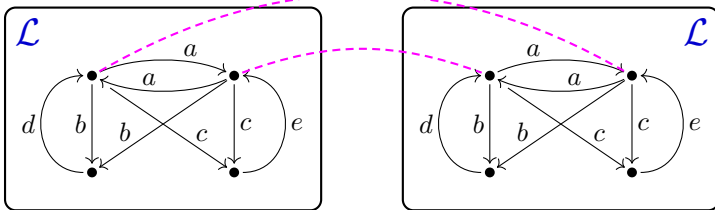
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# Grounded bisimulation slices: example



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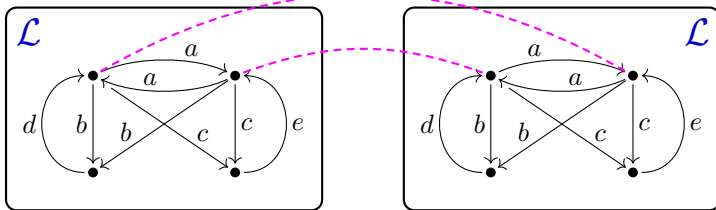
►  $B$  is grounded bisimulation slice,

# Grounded bisimulation slices: example and property

**Proposition** (*Grounded bisimulation slices induce bisimulations*)

For every grounded bisimulation slice  $B \subseteq S \times S$  on LTS  $\mathcal{L} = \langle S, A, \rightarrow, \downarrow \rangle$ ,

$$\overline{\overline{B}} := B \cup = \quad \text{is a bisimulation on } \mathcal{L}.$$



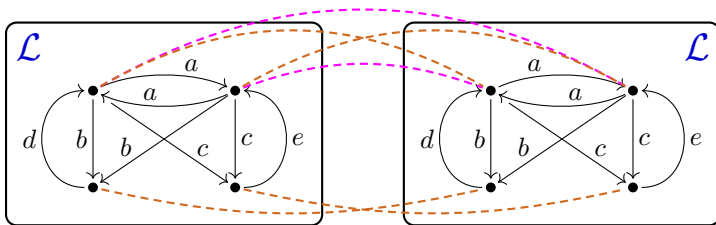
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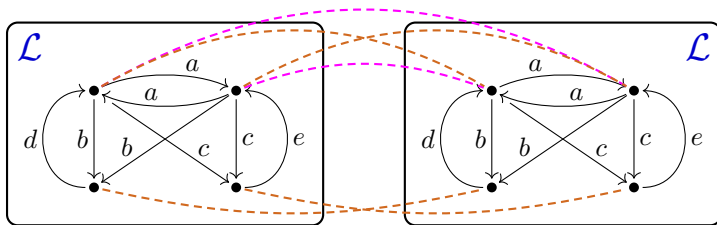


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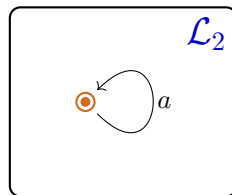
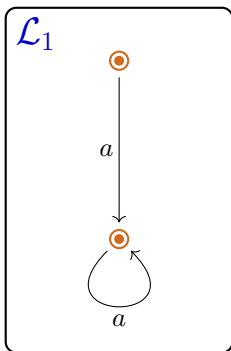
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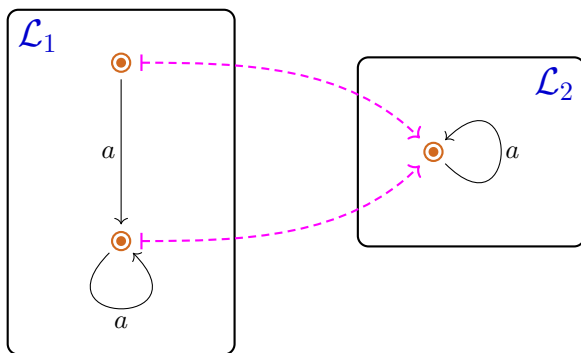
- ▶  $B$  is grounded bisimulation slice,
- ▶ the bisimulation  $\overline{\overline{B}}$  that extends  $B$ .

# Motivation 2: specification transfer via funct. bisimulations



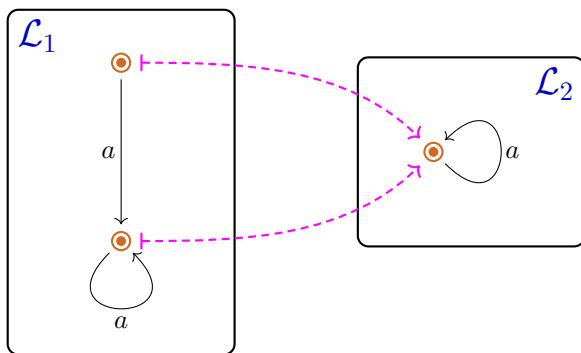
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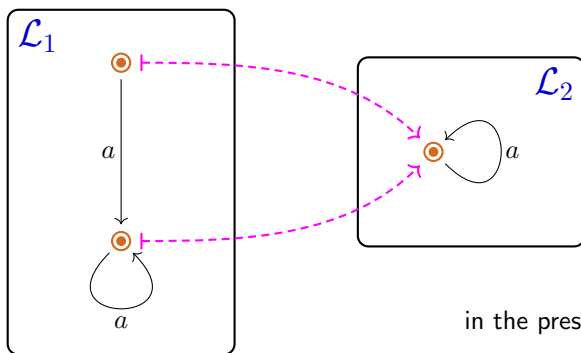


specification

$a^*$

# Motivation 2: specification transfer via funct. bisimulations

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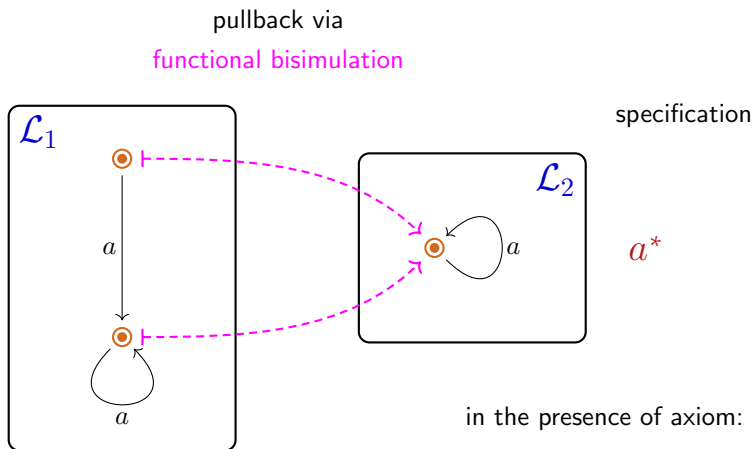
specification

$a^*$

in the presence of axiom:

$$a^* = 1 + a \cdot a^*$$

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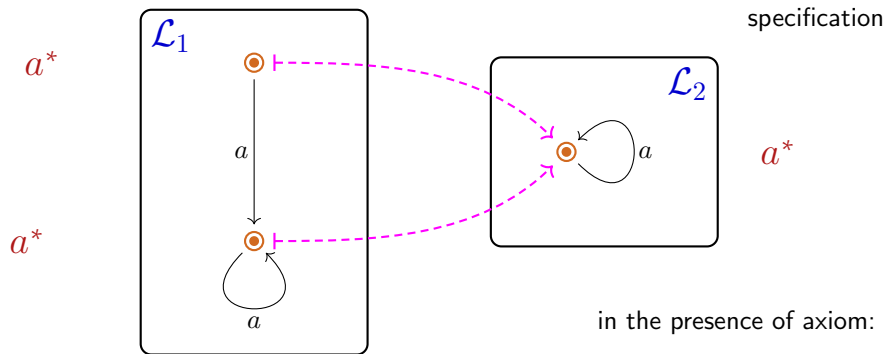


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# Motivation 2: specification transfer via funct. bisimulations

specifications

pullback via  
functional bisimulation



in the presence of axiom:

$$a^* = 1 + a \cdot a^*$$

# Transfer functions, and local-transfer functions

Transfer function  $\hat{=}$  functional bisimulation between LTSs

## Definition

A *transfer (partial) function* between LTSs  $\mathcal{L}_1, \mathcal{L}_2$  is:

- ▶ a partial function  $\phi : S_1 \rightarrow S_2$   
whose graph  $\{\langle v, \phi(v) \rangle \mid v \in S_1\}$  is a bisimulation betw.  $\mathcal{L}_1$  and  $\mathcal{L}_2$ .



# Transfer functions, and local-transfer functions

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**Local-transfer function**  $\hat{=}$  functional grounded bisimulation slice on an LTS

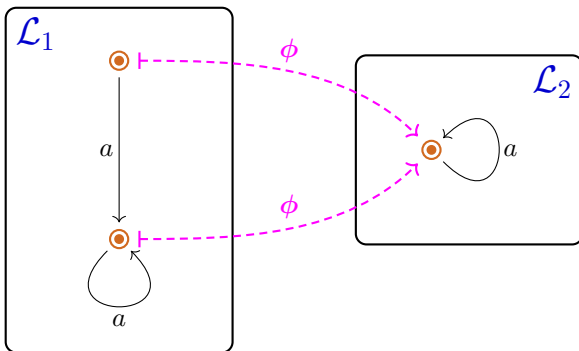
## Definition

A *local-transfer function* on an LTS  $\mathcal{L}$  is:

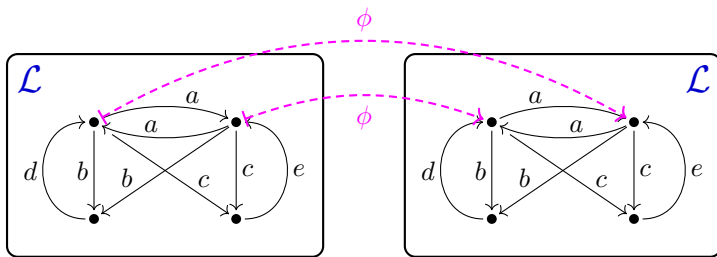
- ▶ a partial function  $\phi : S_1 \rightarrow S_2$   
whose graph  $\{\langle v, \phi(v) \rangle \mid v \in S\}$  is a **grounded bisimulation slice** on  $\mathcal{L}$ .

# Transfer function (example)

$\phi$  is transfer function

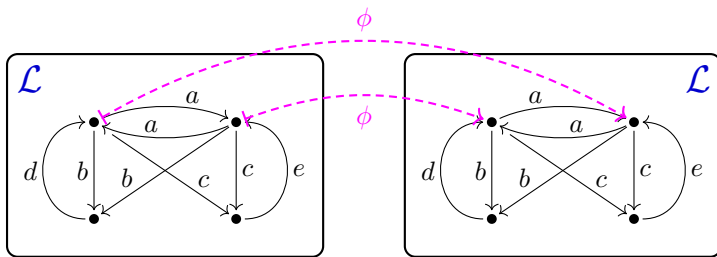


# Local transfer function (example)



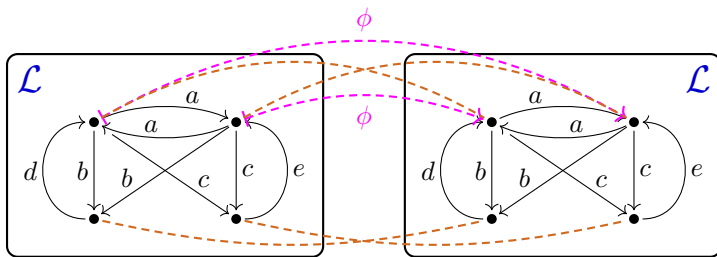
►  $\phi$  is local transfer function on  $\mathcal{L}$ ,

# Local transfer function (example)



- ▶  $\phi$  is local transfer function on  $\mathcal{L}$ ,
- ▶ but  $\phi$  cannot be extended into a transfer function on  $\mathcal{L}$ .

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# Goal: Lifting a local transfer function

$$\mathcal{L} \xrightarrow{\phi} \mathcal{L}$$

local transfer function  $\phi$

# Goal: Lifting a local transfer function to a transfer function

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local transfer function  $\phi$

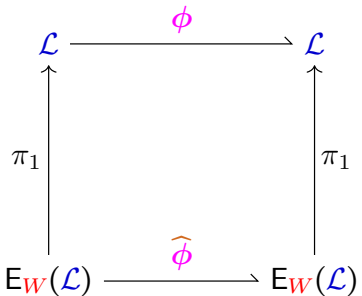
$$E_W(\mathcal{L}) \xrightarrow{\widehat{\phi}} E_W(\mathcal{L})$$

transfer function  $\widehat{\phi}$

elevation of  $W$  above  $\mathcal{L}$

for  $W = \text{dom}(\phi) \cup \text{ran}(\phi)$

# Goal: Lifting a local transfer function to a transfer function



local transfer function  $\phi$

projections  $\pi_1$

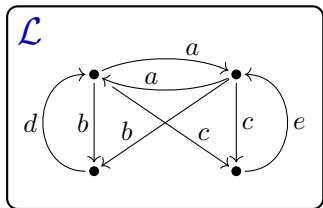
transfer function  $\widehat{\phi}$

elevation of  $W$  above  $\mathcal{L}$

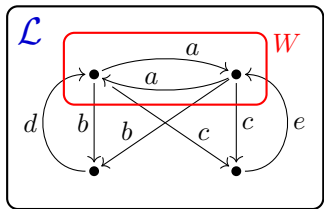
for  $W = \text{dom}(\phi) \cup \text{ran}(\phi)$



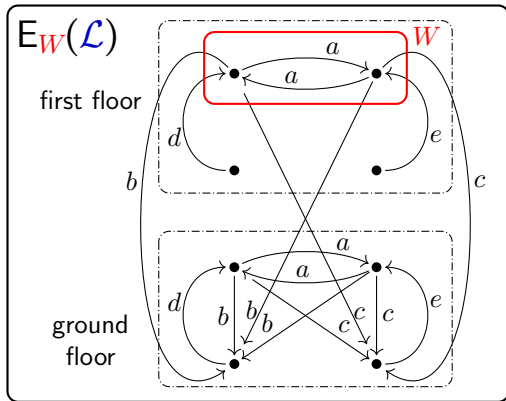
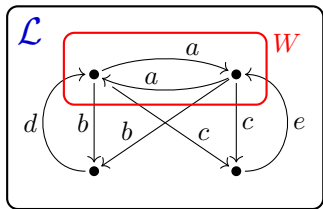
# Elevation of a vertex set above LTS (example)



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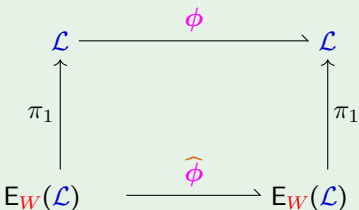


# Elevation of a vertex set above LTS (example)



# Local-transfer function $\Rightarrow$ transfer function on elevation

## Proposition

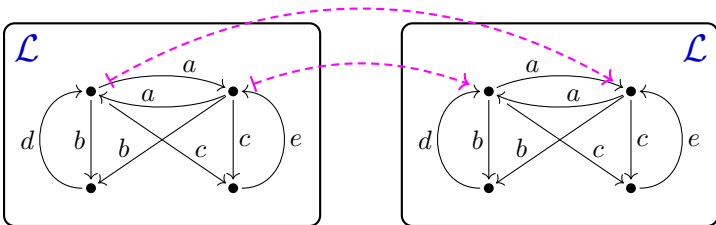


local transfer function  $\phi$

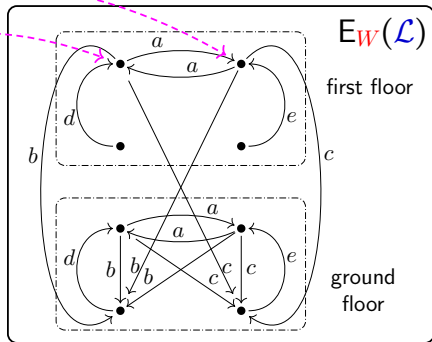
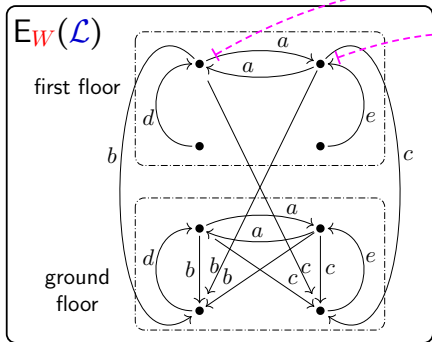
projections  $\pi_1$   
are transfer functions

transfer function  $\hat{\phi}$

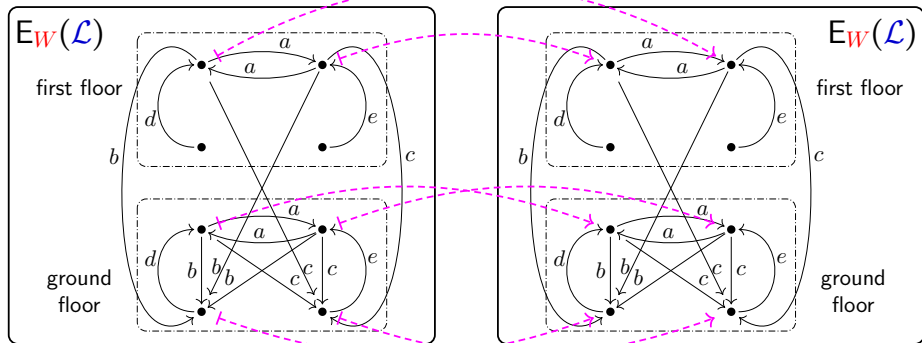
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Local-transfer function  $\Rightarrow$  transfer function on elevation

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Let  $\mathcal{L} = \langle S, A, \rightarrow, \downarrow \rangle$  be an LTS.

Every local-transfer function  $\phi : S \rightarrow S$  on  $\mathcal{L}$  lifts to a transfer function on the elevation  $E_{\text{field}(\phi)}(\mathcal{L})$  of  $W := \text{field}(\phi) = \text{dom}(\phi) \cup \text{ran}(\phi)$  above  $\mathcal{L}$

where:  $\widehat{\phi} : (S \times \{\mathbf{0}, \mathbf{1}\}) \longrightarrow (S \times \{\mathbf{0}, \mathbf{1}\})$

$$\langle s, \mathbf{i} \rangle \longmapsto \widehat{\phi}(\langle s, \mathbf{i} \rangle) := \begin{cases} \langle \phi(t), \mathbf{i} \rangle & \text{if } \mathbf{i} = \mathbf{1} \wedge t \in \text{dom}(\phi) , \\ \text{undefined} & \text{if } \mathbf{i} = \mathbf{1} \wedge t \notin \text{dom}(\phi) , \\ \langle t, \mathbf{i} \rangle & \text{if } \mathbf{i} = \mathbf{0} . \end{cases}$$



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$$\begin{array}{ccc}
 \mathcal{L} & \xrightarrow{\phi} & \mathcal{L} \\
 \pi_1 \uparrow & & \uparrow \pi_1 \\
 E_W(\mathcal{L}) \boxed{(1)} & \xrightarrow{\widehat{\phi}} & E_W(\mathcal{L}) \boxed{(1)}
 \end{array}$$

local transfer function  $\phi$   
 projections  $\pi_1$   
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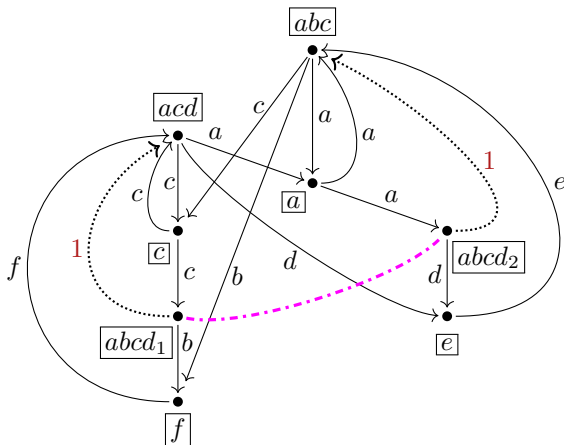
$$\begin{array}{ccc}
 \mathcal{L} & \xrightarrow{\text{id}_V} & \mathcal{L} \\
 \uparrow \pi_1 & & \uparrow \pi_1 \\
 E_W(\mathcal{L})^{\boxed{\mathbf{0}}} & \xrightarrow{\widehat{\phi}} & E_W(\mathcal{L})^{\boxed{\mathbf{0}}}
 \end{array}$$

local transfer function  $\phi$   
 projections  $\pi_1$   
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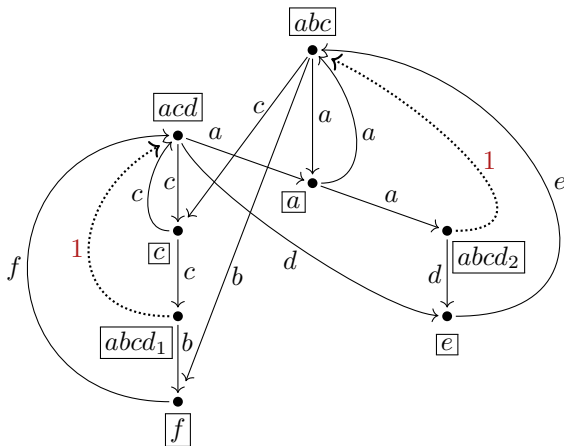
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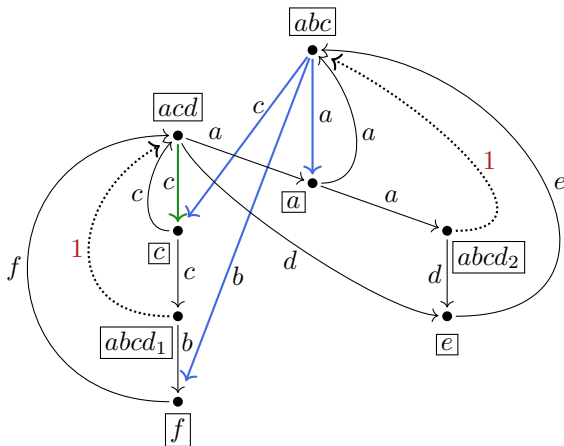
# Application aimed at: nearly collapsed 1-LTSs



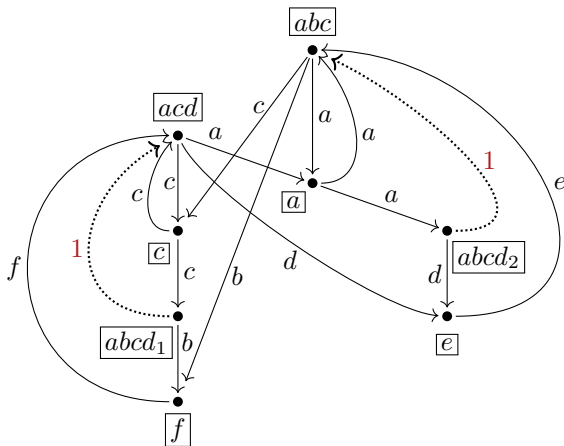
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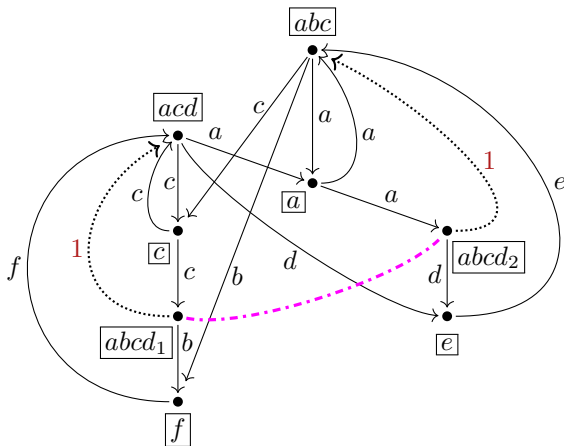
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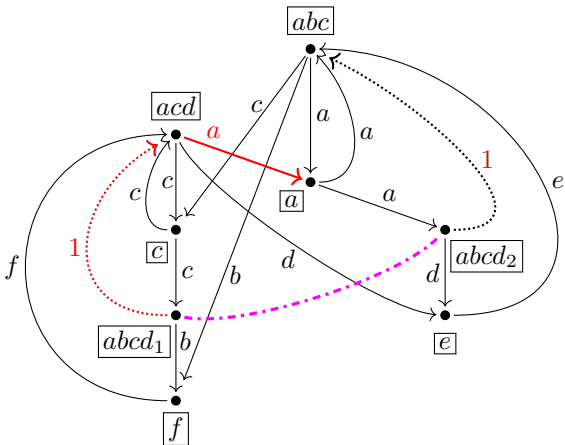
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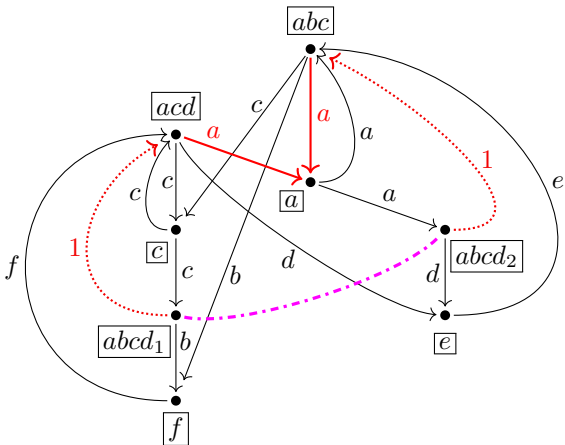


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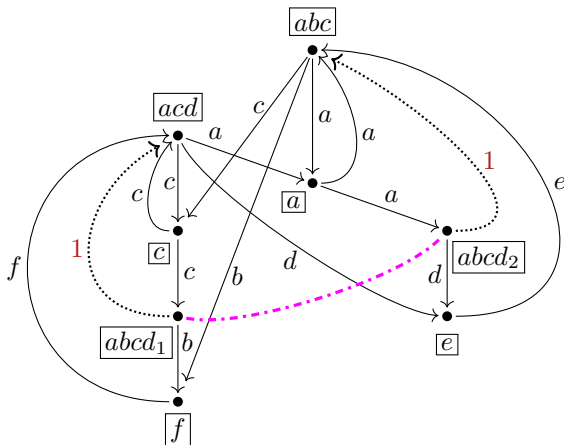




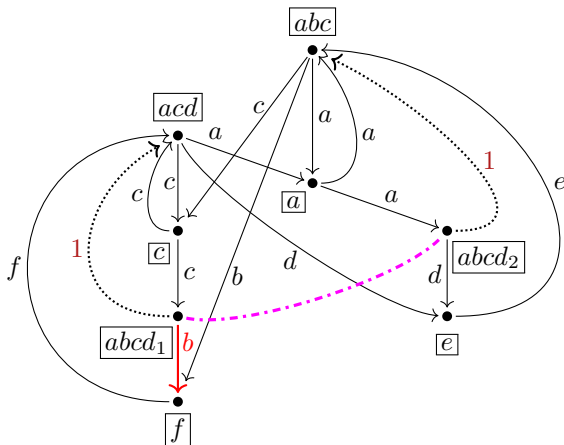
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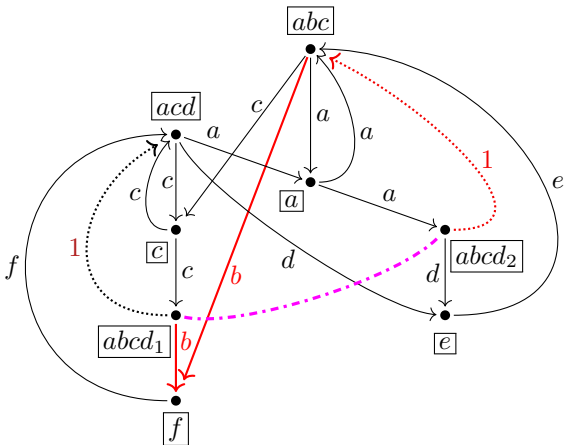
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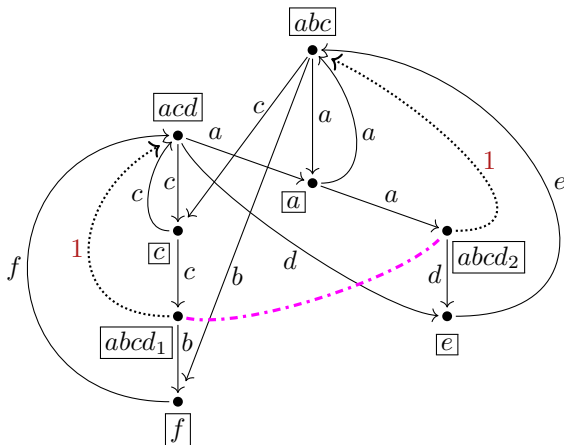
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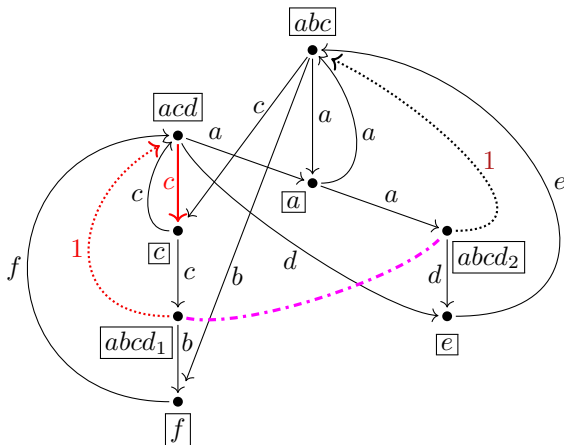
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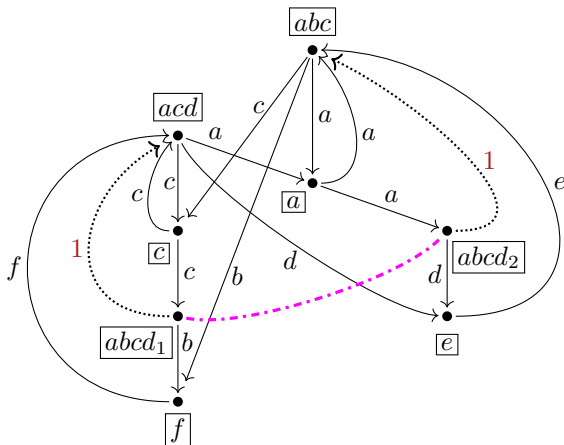


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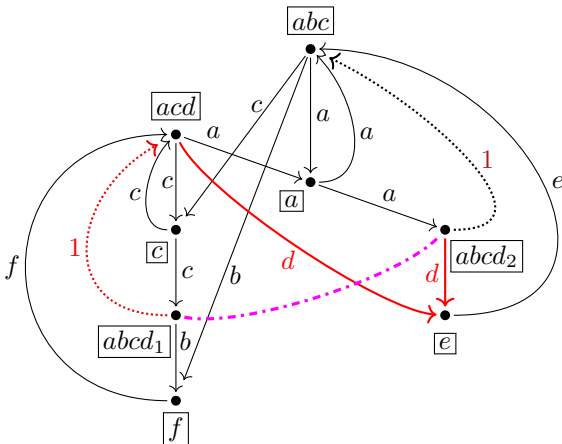
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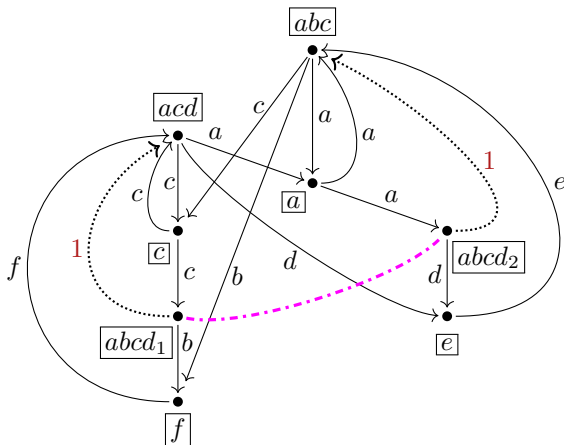




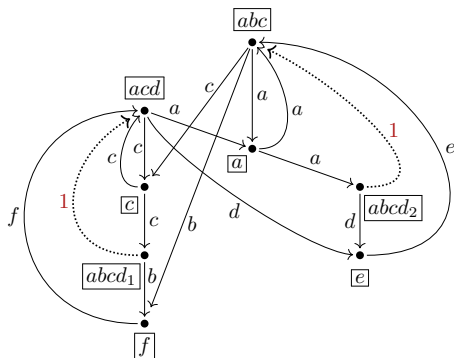
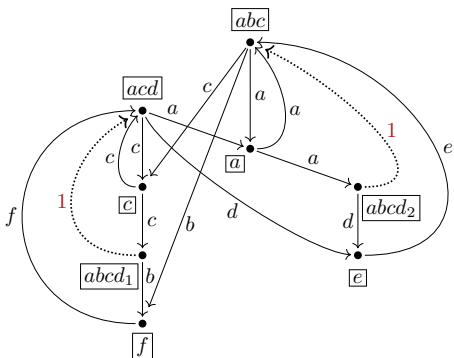
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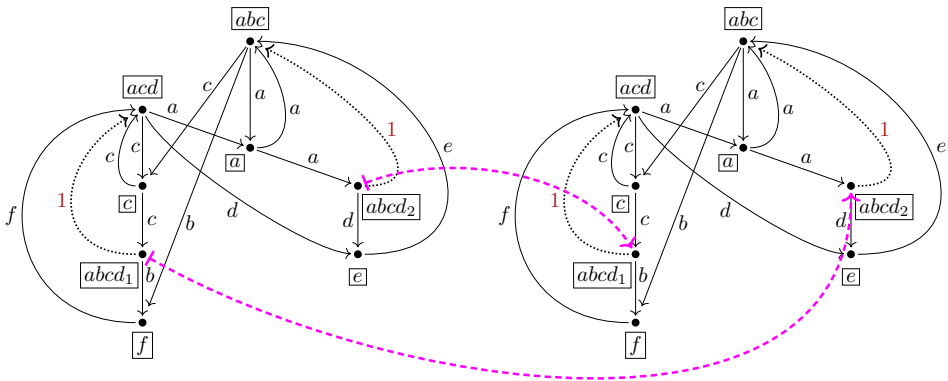
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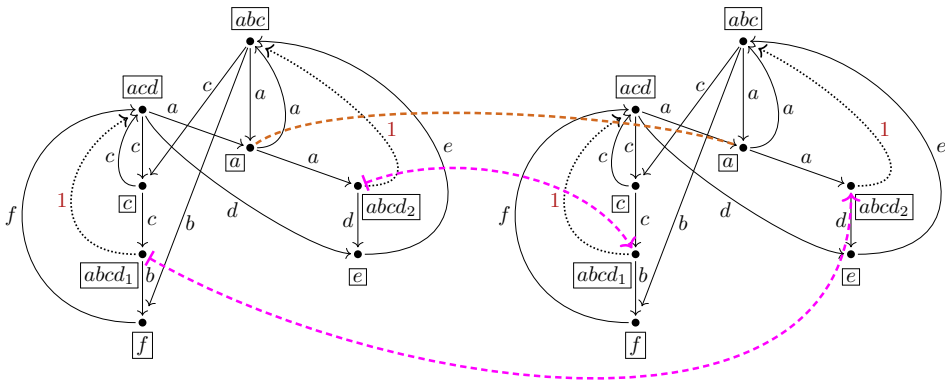
# Local transfer function on 1-LTS



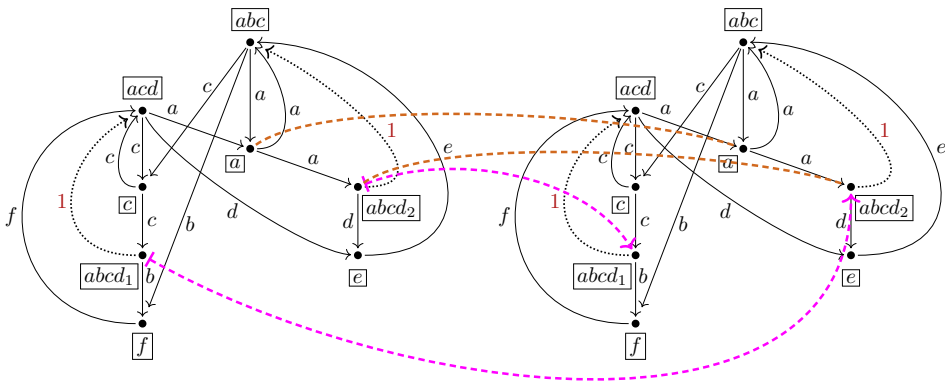
# Local transfer function on 1-LTS



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# Local transfer function on 1-LTS



# Summary

- ▶ fragments of bisimulations
  - ▶ bisimulating slices
    - ▶ sometimes useful to construct bisimulations
  - ▶ grounded bisimulation slices
    - ▶ a grounded bisimulation slice  $B$  on an LTS  $\mathcal{L}$  gives rise to a bisimulation  $\overline{B} := B \cup =$  on  $\mathcal{L}$ .
- ▶ functional fragments of bisimulations
  - ▶ pullback of specifications via functional bisimulations
  - ▶ transfer functions  $\hat{=}$  functional bisimulations
  - ▶ local-transfer functions  $\hat{=}$  functional grounded bisimulation slices
    - ▶ a local-transfer function  $\phi$  on an LTS  $\mathcal{L}$  lifts to a transfer function  $\widehat{\phi}$  on the elevation of  $E_{\text{field}(\phi)}(\mathcal{L})$  of  $\text{field}(\phi)$  above  $\mathcal{L}$ .
- ▶ application aimed at:
  - ▶ using local transfer functions on near-collapsed 1-LTSs
  - ▶ for transfer of specifications, to prove them equal in a proof system



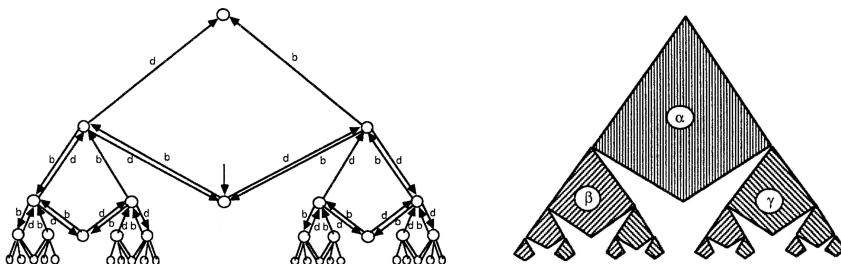
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# Bisimulating/bisimulation slices: origin and application



$$X = dY + bZ , \quad Y = b + bX + dYY , \quad Z = d + dX + bZZ$$

Example graph (concrete and abstract form) from:

Baeten, Bergstra, Klop: *Decidability of Bisimulation Equivalence for Processes Generating Context-Free Languages*, 1987

# Bisimulating/bisimulation slices: origin and application

If for *each* pair  $\alpha, \beta$  in the  $k$ -th slice such a copy  $\alpha', \beta'$  exists, then the partial bisimulation  $R$  is called *d-sufficient*.

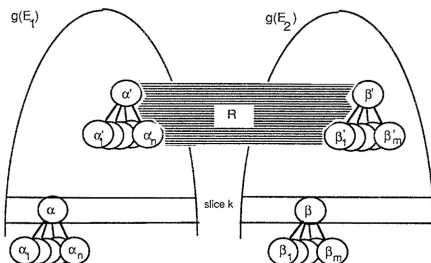


Figure 16

6.4. Let a partial bisimulation  $R$  as in 6.3 be given, which is sufficient. Then the *periodical continuation* of  $R$  is constructed as follows. Let  $\alpha, \beta$  be as in 6.3. The partial bisimulation  $R$  is extended to  $(\alpha_1 \cup \dots \cup \alpha_n) \times (\beta_1 \cup \dots \cup \beta_m)$  by copying the restriction of  $R$  to  $(\alpha_1' \cup \dots \cup \alpha_n') \times (\beta_1' \cup \dots \cup \beta_m')$ . This is done for all pairs  $\alpha, \beta$  in slice  $k$  of  $g(E_1), g(E_2)$ . It is

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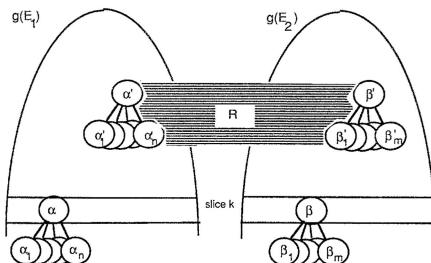


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# Bisimulation, functional bisimulation

## Definition

Let  $\mathcal{L}_i = \langle S_i, A, \rightarrow_i, \downarrow_i \rangle$ , for  $i \in \{1, 2\}$  be LTSs.

A *bisimulation between  $\mathcal{L}_1$  and  $\mathcal{L}_2$*  is a **non-empty** relation  $B \subseteq S_1 \times S_2$  such that for all  $\langle v_1, v_2 \rangle \in B$ :

$$\begin{aligned} \text{(forth)} \quad & \forall v'_1 \in S_1 \forall a \in A \\ & \left( v_1 \xrightarrow{a}_1 v'_1 \implies \exists v'_2 \in S_2 \left( v_2 \xrightarrow{a}_2 v'_2 \wedge \langle v'_1, v'_2 \rangle \in B \right) \right), \end{aligned}$$

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We denote it by  $\mathcal{L}_1 \Leftarrow B \Rightarrow \mathcal{L}_2$ .

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A *bisimulation between  $\mathcal{L}_1$  and  $\mathcal{L}_2$*  is a **non-empty** relation  $B \subseteq S_1 \times S_2$  such that for all  $\langle v_1, v_2 \rangle \in B$ :

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$$\text{(back)} \quad \forall v'_2 \in S_2 \forall a \in A \\ \left( \exists v'_1 \in S_1 \left( v_1 \xrightarrow{a}_1 v'_1 \wedge \langle v'_1, v'_2 \rangle \in B \right) \iff v_2 \xrightarrow{a}_2 v'_2 \right),$$

$$\text{(termination)} \quad v_1 \downarrow_1 \iff v_2 \downarrow_2 .$$

We denote it by  $\mathcal{L}_1 \Leftarrow B \Rightarrow \mathcal{L}_2$ .

A *functional bisimulation between  $\mathcal{L}_1$  and  $\mathcal{L}_2$*  is a bisimulation that is the graph  $\text{gr}(\phi)$  of a partial function  $\phi: S_1 \rightarrow S_2$ . We write  $\mathcal{L}_1 \equiv \phi \Rightarrow \mathcal{L}_2$ .

# Bisimulating/bisimulation slices

## Definition

Let  $\mathcal{L}_i = \langle S_i, A, \rightarrow_i, \downarrow_i \rangle$  for  $i \in \{1, 2\}$  be LTSs.

A **bisimulating slice between  $\mathcal{L}_1, \mathcal{L}_2$**  is a **non-empty** relation  $B \subseteq S_1 \times S_2$  with active domain  $W_1 := \text{dom}_{\text{act}}(B) := \{x \mid \langle x, y \rangle \in B\}$ , active codomain  $W_2 := \text{cod}_{\text{act}}(B) := \{y \mid \langle x, y \rangle \in B\}$  s.th. for all  $\langle v_1, v_2 \rangle \in B$ :

$$\begin{aligned}
 (\text{forth})_s \quad & \forall a \in A \quad \forall v'_1 \in S_1 \\
 & (v_1 \xrightarrow{a}_1 v'_1 \wedge v'_1 \in W_1) \\
 & \implies \exists v'_2 \in S_2 (v_2 \xrightarrow{a}_2 v'_2 \wedge \langle v'_1, v'_2 \rangle \in B) ,
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$$\begin{aligned} \text{(back)}_s \quad & \forall a \in A \forall v'_2 \in S_2 \\ & (\exists v'_1 \in S_1 (v_1 \xrightarrow{a}_1 v'_1 \wedge \langle v'_1, v'_2 \rangle \in B) \\ & \longleftarrow v_2 \xrightarrow{a}_2 v'_2 \wedge v'_2 \in W_2), \end{aligned}$$

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$$\begin{aligned} \text{(back)}_s \quad \forall a \in A \quad \forall v'_2 \in S_2 \\ \left( \exists v'_1 \in S_1 \left( v_1 \xrightarrow{a}_1 v'_1 \wedge \langle v'_1, v'_2 \rangle \in B \right) \right) \\ \iff v_2 \xrightarrow{a}_2 v'_2 \wedge v'_2 \in W_2, \end{aligned}$$

$$\text{(termination)}_s \quad v_1 \downarrow_1 \iff v_2 \downarrow_2.$$

A **bisimulation slice between  $\mathcal{L}_1$  and  $\mathcal{L}_2$**  is a bisimulating slice between  $\mathcal{L}_1$  and  $\mathcal{L}_2$  that is contained in a bisimulation between  $\mathcal{L}_1$  and  $\mathcal{L}_2$ .

# Transfer functions: conditions

## Proposition

Let  $\mathcal{L}_i = \langle S_i, A, \rightarrow_i, \downarrow_i \rangle$  for  $i \in \{1, 2\}$  be LTSs.

A partial function  $\phi : S_1 \rightarrow S_2$  with domain  $W_1 := \text{dom}(\phi)$  is a **transfer function between  $\mathcal{L}_1$  and  $\mathcal{L}_2$**  if and only if  $W_1 \neq \emptyset$ , and for all  $s_1, s'_1, s'_2 \in S$  and  $a \in A$ :

$$s_1 \in W_1 \wedge s_1 \xrightarrow{a}_1 s'_1 \implies \phi(s_1) \xrightarrow{a}_2 \phi(s'_1) ,$$

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$$s_1 \in W_1 \wedge s_1 \xrightarrow{a}_1 s'_1 \implies \phi(s_1) \xrightarrow{a}_2 \phi(s'_1) ,$$

$$\exists s'_1 \in S_1 ( s_1 \xrightarrow{a}_1 s'_1 \wedge \phi(s'_1) = s'_2 ) \iff s_1 \in W_1 \wedge \phi(s_1) \xrightarrow{a}_2 s'_2 ,$$



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$$\exists s'_1 \in S_1 ( s_1 \xrightarrow{a}_1 s'_1 \wedge \phi(s'_1) = s'_2 ) \iff s_1 \in W_1 \wedge \phi(s_1) \xrightarrow{a}_2 s'_2 ,$$

$$s_1 \in W_1 \implies ( s_1 \downarrow_1 \iff \phi(s_1) \downarrow_2 ) .$$

# Grounded bisimulation slices

## Definition

Let  $\mathcal{L} = \langle S, A, \rightarrow, \downarrow \rangle$  be an LTS.

A **grounded bisimulation slice on  $\mathcal{L}$**  is a bisimulating slice  $B \subseteq S \times S$  with  $\text{dom}_{\text{act}}(B) := W_1$ ,  $\text{cod}_{\text{act}}(B) := W_2$  such that for every  $\langle v_1, v_2 \rangle \in B$  holds:

$$\begin{aligned}
 (\text{forth})_g \quad & \forall a \in A \forall v'_1 \in S_1 \\
 & \left( v_1 \xrightarrow{a} v'_1 \wedge v'_1 \notin W_1 \implies v_2 \xrightarrow{a} v'_1 \wedge v'_1 \notin W_2 \right),
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$$\text{(back)}_g \quad \forall a \in A \forall v'_2 \in S_1 \\ \left( v_1 \xrightarrow{a} v'_2 \wedge v'_2 \notin W_1 \longleftarrow v_2 \xrightarrow{a} v'_2 \wedge v'_2 \notin W_2 \right).$$

# Elevation of a vertex set above LTS

## Definition

Let  $\mathcal{L} = \langle S, A, \rightarrow, \downarrow \rangle$  be an LTS, and  $W \subseteq S$  a subset of the vertices of  $\mathcal{L}$ .

The *elevation of  $W$  above  $\mathcal{L}$*  is the LTS  $E_W(\mathcal{L}) = \langle S_{E_W}, A, \rightarrow_{E_W}, \downarrow_{E_W} \rangle$ :

$$S_{E_W} := S \times \{0, 1\} ,$$

$$\begin{aligned} \rightarrow_{E_W} := & \{ \langle \langle v_1, 1 \rangle, a, \langle v_2, 1 \rangle \rangle \mid \langle v_1, a, v_2 \rangle \in \rightarrow \wedge a \in A \wedge v_2 \in W \} \\ & \cup \{ \langle \langle v_1, 1 \rangle, a, \langle v_2, 0 \rangle \rangle \mid \langle v_1, a, v_2 \rangle \in \rightarrow \wedge a \in A \wedge v_2 \notin W \} \\ & \cup \{ \langle \langle v_1, 0 \rangle, a, \langle v_2, 0 \rangle \rangle \mid \langle v_1, a, v_2 \rangle \in \rightarrow \} , \end{aligned}$$

$$\downarrow_{E_W} := \{ \langle v, i \rangle \mid v \in S, i \in \{0, 1\}, v \downarrow \} .$$

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$$\downarrow_{E_W} := \{ \langle v, i \rangle \mid v \in S, i \in \{0, 1\}, v \downarrow \} .$$

## Proposition (elevation above LTS functionally bisimilar with LTS)

Let  $\mathcal{L} = \langle S, A, \rightarrow, \downarrow \rangle$  be an LTS, and  $W \subseteq S$ .

Then  $E_W(\mathcal{L}) \equiv \pi_1 \Rightarrow \mathcal{L}$  holds, where  $\pi_1 : S \times \{0, 1\} \rightarrow S, \langle v, i \rangle \mapsto v$   
projection function.